# PKZIP®/SecureZIPTM for iSeries

User's Guide SZIU-V8R2000

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SecureZIP<sup>™</sup> for iSeries, PKZIP<sup>®</sup> for iSeries, PKZIP for MVS, SecureZIP for zSeries, PKZIP for zSeries, PKZIP for UNIX, and PKZIP for Windows are just a few of the many members in the PKZIP<sup>®</sup> family. PKWARE, Inc. would like to thank all the individuals and companies -- including our customers, resellers, distributors, and technology partners -- who have helped make PKZIP the industry standard for trusted ZIP solutions. PKZIP enables our customers to efficiently and securely transmit and store information across systems of all sizes, ranging from desktops to mainframes.

This edition applies to the following PKWARE, Inc. licensed programs:

PKZIP for iSeries (Version 8, Release 2, 2005) SecureZIP for iSeries (Version 8, Release 2, 2005) SecureZIP for iSeries Reader (Version 8, Release 2, 2005) SecureZIP for iSeries SecureLink (Version 8, Release 2, 2005)

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## **Preface**

This manual covers both **PKZIP** for iSeries and SecureZIP for iSeries.

**PKZIP for iSeries** provides powerful, easy-to-use data compression on the AS/400, iSeries and i5. **PKZIP for iSeries** Enterprise Edition also includes support for password-based decryption of encrypted files powered by trusted RSA® BSAFE. Files created by **PKZIP for iSeries** use the widely-adopted ZIP format and can be accessed on all major platforms throughout the enterprise—from iSeries to PC.

**SecureZIP for iSeries** provides powerful, easy-to-use data compression and data protection on the AS/400, iSeries and i5. **SecureZIP for iSeries** delivers high-performance data compression and protects data with digital signatures and trusted RSA BSAFE encryption, either password- or certificate-based, with key lengths of up to 256 bits. Like **PKZIP for iSeries**, **SecureZIP for iSeries** uses the widely-adopted ZIP format and creates files that can be accessed on all major platforms throughout the enterprise.

This manual also covers **SecureZIP Reader/SecureLink**. **SecureZIP Reader/SecureLink** is a special version of SecureZIP that is available through the PKWARE PartnerLink program. The PKWARE PartnerLink program provides a straightforward, secure way for an organization to exchange sensitive information with outside partners who perhaps do not have SecureZIP.

**SecureZIP Reader/SecureLink** differs from the full **SecureZIP for iSeries** in that it only extracts archives *from*, and only creates and encrypts archives *for*, a PartnerLink sponsor. Contact PKWARE for more information on PKWARE PartnerLink.

#### **About this Manual**

This manual provides the information needed to utilize **PKZIP** and **SecureZIP for iSeries** in an operational environment. It is assumed that people using this manual have a good understanding of (Control Language) CL and dataset processing. Note that the contents of this manual apply to the following operating systems:

- OS/400 V5R1M0 and above
- iSeries

This manual is intended for persons using both *PKZIP* and *SecureZIP for iSeries*. The manual assumes that the reader has a good understanding of CL and file processing.

#### **Conventions Used in this Manual**

Throughout this manual, the following conventions are used:

**PKZIP** (bold-italicized) refers to both **PKZIP** for iSeries and SecureZIP for iSeries products.

Program, screen display and printout examples may show either SecureZIP or PKZIP constructs. Unless specifically denoted within SecureZIP feature sections, the samples also apply to PKZIP.

If a line has (SecureZIP), it applies only to SecureZIP for iSeries.

If a section is flagged at the beginning with the phrase, *Requires SecureZIP*, formatted as shown below, that section applies only to *SecureZIP for iSeries*:

#### **Requires SecureZIP**

The use of the Courier font indicates text that may be found in control language (CL), parameter controls, or printed output.

The use of *italics* indicates a value that must be substituted by the user, for example, a dataset name. It may also be used to indicate the title of an associated manual or the title of a chapter within this manual.

Bullets (•) indicate items (or instructions) in a list.

The use of <angle brackets> in a command definition indicates a mandatory parameter.

The use of [square brackets] in a command definition indicates an optional parameter.

A vertical bar (|) in a command definition is used to separate mutually exclusive parameter options or modifiers.

#### **Related Publications**

**PKZIP/SecureZIP for iSeries** product manuals include:

- PKZIP/SecureZIP for iSeries System Administrator's Guide Provides
  detailed information to assist the system administrator with the installation
  and administrative requirements necessary to use SecureZIP for iSeries in
  an operational environment.
- PKZIP/SecureZIP for iSeries User's Guide Provides detailed information on the product set in OS/400 and iSeries operating environments. Also provided is a general introduction to data compression, SECZIP specific data compression, and an overview on how to use PKZIP and SecureZIP for iSeries, SECZIP control cards, and parameters.
- PKZIP/SecureZIP for iSeries Messages and Codes This provides information on the messages and codes that are displayed on the consoles, printed outputs, and associated terminals.

#### **Related IBM Publications**

IBM manuals relating to the **PKZIP**<sup>i</sup> product include:

- **System Messages:** This manual documents messages issued by the iSeries operating system. The descriptions explain why the component issued the message, provide the actions of the operating system, and suggest responses by the applications programmer, system programmer, and/or operator.
- OS/400 CL Programming (SC41-5721): This manual provides a widerange discussion of iSeries e Advanced Series programming topics, including: Control language programming, iSeries e Advanced Series programming concepts, objects and libraries, and message handling.
- OS/400 CL Reference (SC41-5722 thru SC41-5726): This manual may be used in the iSeries Information Center to find information on the following CL reference topics: OS/400 commands, OS/400 objects, command description format, command parts, command syntax, about syntax diagrams, CL character sets and values, object naming rules, expressions in CL commands, and command definition statements.
- Integrated File System Introduction (SC41-5711): This book provides an overview of the integrated file system includes these topics:
  - What is the integrated file system?
  - Why might you want to use it
  - Integrated file system concepts and terminology
  - Interfaces you can use to interact with the integrated file system
  - APIs and techniques you can use to create programs that interact with the integrated file system
  - Characteristics of individual file systems
- **File Management (SC41-5710):** This manual describes the data management portion of the Operating System/400 licensed program. Data management provides applications with access to input and output file data that is external to the application. There are several types of these input and output files, and each file type has its own characteristics. In addition, all of the file types share a common set of characteristics.
- DDS Reference (RBAF-P000-00): This manual contains detailed instructions for coding the data description specifications (DDS) for files that can be described externally. These files are the physical, logical, display, printer, and intersystem communications functions, hereafter referred to as ICF files.

#### Related Information on the Internet

PKWARE, Inc.

www.pkware.com

#### FTP site

Product downloads - <a href="ftp://bigiron.pkware.com/pub/products/pkzip/iseries">ftp://bigiron.pkware.com/pub/products/pkzip/iseries</a>
Product manuals - <a href="ftp://bigiron.pkware.com/pub">ftp://bigiron.pkware.com/pub</a>

National Institutes of Standards Computer Security Resource Center <a href="http://csrc.ncsl.nist.gov">http://csrc.ncsl.nist.gov</a>

Information on AES development <a href="http://csrc.nist.gov/encryption/aes/">http://csrc.nist.gov/encryption/aes/</a>

Information on key Management

http://csrc.nist.gov/CryptoToolkit/tkkeymgmt.html/

RSA BSAFE® Content library <a href="http://www.rsasecurity.com">http://www.rsasecurity.com</a>

## **Release Summary**

#### **New Products**

The following products have been added to the PKWARE SecureZIP suite for the iSeries operating environment:

- SecureZIP for iSeries Reader
- SecureZIP for iSeries SecureLink

#### **New Features**

New features in **PKZIP for iSeries** and **SecureZIP for iSeries** Release 8.2 include:

- New Compression algorithms with varying custom controls.
- Significant performances improvements with new compression algorithms.
- New ZIP64 Signal constraint checks to avoid building large archives.
- New default internal Translation Tables for EBCDIC to ADCII.
- A separate input archive can be specified other than the archive file to created. This will allow an inputted archive to be preserved.
- A special key word \*COPY for the FILES parameter has been added that allows a zip run that will only copy the files from another archive.
- The ability to extract zSeries files created with RDW (EBCDIC variable length records).
- iSeries PKWARE Save/Restore Application Feature or iPSRA.
- **SecureZIP** now supports multiple contingency keys with the use of inlist for a type code.
- Expanded maximum password length from 200 to 260 alphanumeric characters.

#### **New Commands**

There are no new commands for version 8.2.

## **Command Changes & Defaults**

The following commands have changes since version 8.1. Each command and parameter listed below should be reviewed before activating  $PKZIP^{i}$  8.2:

#### **PKZIP**

**ARCHIVE()** Two additional option added (1. ZIP64 check and 2.

optional Input archive name). Defaults are backward

compatible.

**COMPRESS()** Additional options have been added. Nine (9) new

compression levels for Level and a new option for compression method (Deflate or Deflate64). Defaults

are backward compatible.

FTRAN() Default has changed to \*ISO88591. See

Upgrade/Migration notes #1.

**TRAN()** Default has changed to \*ISO88591. See

Upgrade/Migration notes #1.

**FILES()** Revise to accommodate save commands for the iPSRA.

**PKUNZIP** 

FTRAN() Default has changed to \*ISO88591. See

Upgrade/Migration notes #1.

**TRAN()** Default has changed to \*ISO88591. See

Upgrade/Migration notes #1.

RSTIPSRA() The iPSRA Restore command

## **Migration Considerations for Version 8.2**

#### **Upgrade/Migration notes #1:**

Installations previously using text translation tables other than ISO9959\_1 or PKZ037419 for TRAN or FTRAN should review the data translation characters used. The newer default tables in\*ISO88591 use the IBM ICONV standard character sets for IBM-037 EBCDIC and ISO-8859-1 ASCII code page 819.

In general, the newer default table is better for general-purpose text translation than the older ASCIIUS, ASCIIUSE, ASCIIUK, and ASCIIUKE tables. However, the older tables are still provided for compatibility in case installation-dependent processing requires translation of specialized character sets. In fact the older tables are also provided as a selection in the TRAN and FTRAN parameters as \*INTERNAL. The new default for TRAN and FTRAN is \*ISO88591. If it is desired to continue with previous defaults, change the default in the PKZIP, PKZSPOOL, and PKUNZIP commands source and recompile the commands or use the CHGCMDDFT command.

#### For example:

- → CHGCMDDFT CMD(MYZIPLIB/PKZIP) NEWDFT('FTRAN(\*INTERNAL) TRAN(\*INTERNAL)')
- → CHGCMDDFT CMD(MYZIPLIB/PKUNZIP) NEWDFT('FTRAN(\*INTERNAL) TRAN(\*INTERNAL)')

## **User Help and Contact Information**

For Licensing, please contact the Sales Division at 937-847-2374 or email PKSALES@PKWARE.COM.

For Technical Support assistance, please contact the Product Services Division at 937-847-2687 or visit the <u>Support Web site</u>.

Appendix F lists the types of information needed to resolve issues with the product.

Getting Started

**PKZIP** is a broad, flexible product on the iSeries, and AS/400 platforms, allowing for compression and decompression of files. It is fully compliant with other PKZIP-compatible compression products running on other operating systems.

Because the PKZIP standard for text data storage is ASCII, *PKZIP* facilitates conversion between the ASCII and EBCDIC character sets. Therefore, compressed text files can be transferred between IBM mainframe environments and systems using the ASCII character sets, including UNIX, DOS, *SecureZIP for zSeries*, and *PKZIP for zSeries*.

In addition to PKZIP-format archive support, **PKZIP** can also produce and manipulate (GNU) GZIP-format archives. See Chapter 10.

#### **PKZIP and PKUNZIP Commands**

**PKZIP** uses two main commands—PKZIP and PKUNZIP—to control its high-performance data compression functionality. The PKZIP command launches a utility that compresses files and places them in a ZIP format archive. PKUNZIP reverses this process: it decompresses data in a ZIP archive created by PKZIP or another file compression program and restores the files to their original form. Both commands are controlled by options that allow a variety of functions to be performed.

Multiple levels of processing control are available through the use of customized option modules, shared command lists, and individual job inputs. In addition to file selection, features such as compression levels and performance selections can be specified. Also, a 32-bit cyclic redundancy check (CRC) is a standard feature used to guarantee data integrity.

A ZIP archive is platform-independent; therefore, data compressed (*ZIPPED*) on one platform, for example, UNIX, can be decompressed (*UNZIPPED*) on another platform, for example, OS/400 and MVS/ESA, by using a compatible version of PKUNZIP.

# Basic Features of PKZIP

 $PKZIP^{i}$  is generally compatible with PKZIP 2.x, and as such, has the following features:

Compliance with compression programs on other platforms, including Windows, LINUX, UNIX, DOS, *SecureZIP for zSeries*, and *PKZIP for zSeries*.

- User-selected compression ratios.
- Storage capability of 65,535 files within one ZIP Archive.
- Compression of files of up to 4 gigabytes.
- A maximum ZIP archive size of 4 gigabytes.
- Data integrity assurance using 32-bit CRC error detection.
- Translation of data to a system-independent format, thus providing easy file transfers within a mixed or varied file environment.

**PKZIP** also offers a series of extended features such as creation of GZIP archive, spool files support, large file support (files greater than 4 GB and files in archive exceeding 65,535), advanced encryption, and self-extracting archives.

## **Initializing the License**

#### **Evaluation Period**

You may obtain a key from the Sales Division to use to generate an evaluation license that allows full use of the product for 30 days. Contact PKWARE anytime during this period to obtain licensing to use the product beyond the initial period.

You can reach the Sales Division at 937-847-2374 or email pksales@pkware.com.

For technical support, contact the Product Services Division at 937-847-2687 or online at the Support Web site.

When you receive the license control card information from PKWARE, you build the license data set using the Build License program. Running the INSTPKLIC command updates the LICENSE data set and reports the license status of  $PKZIP^i$  at your location.

## Release Licensing

Each release of **SecureZIP for iSeries** and **PKZIP for iSeries** requires that a new license key be obtained from Customer Service and that a new license record be generated. The new release will fail with AQZ9077 "License Keys have invalid version setting" if the license file is used from a previous release.

## **Show System Information**

To report on the status of a license at your location, you can run the environment "WHATOSV" program by doing a program call: → CALL WHATOSV. It will provide a report similar to:

```
PKWARE WHATOSV Current Operating Environment Thu May 15 12:05:49 2003

SecureZIP for iSeries (tm) Version 8.2.0 with build date 2003/05/14

Current PKZIP Library is PKW82051S

IBM iSeries Model 9406, Type 270-23E7

Serial Number <010-7X8WT >, PRC Group < P10>, OS is at V5R2M0.

Press ENTER to end terminal session.
```

The output of this report is what you will need to send to your reseller or PKWARE sales representative to obtain a DEMO code.

Note: The *PKZIP*<sup>i</sup> Library must be added to the library list prior to running this program.

Please have the output of this report handy when speaking with your reseller or account rep. You will be expected to supply the following additional information:

- Company name
- Company contact
- Phone number
- Contact email

## Applying a License Key or Authorization Code

Installing the PKZIP license activation keys is done by adding the licensing information obtained from PKWARE, Inc. into a source file member (one is provided with distribution library call PKZLICIN) and then running the install license program to activate.

By executing the INSTPKLIC command, the LICENSE dataset will be updated and a report will be produced that will reflect the state of **PKZIP**<sup>i</sup> at your location.

Trial activation is accomplished by first editing the member PKWARELIC and adding the company customer record and keys supplied by PKWARE, Inc. One way of editing the member would to use the following command with the correct library:

→EDTF FILE(PKW82051S/PKZLICIN) MBR(PKWARELIC)

or

#### →STRSEU SRCFILE(PKW82051S/PKZLICIN) SRCMBR(PKWARELIC)

Remember since this a source file member and you use the EDTF command that the data will start in column 13, because the source sequence number and date stamp is in the true columns 1 thru 12.

For example:

→ EDTF FILE(PKW82051S /PKZLICIN) MBR(PKWARELIC)

Notice in this case the columns on the ruler shows column 13 for the first column of the license data.

For example:

#### → STRSEU SRCFILE(PKW82051S/PKZLICIN) SRCMBR(PKWARELIC):

```
Columns . . . :
                                Edit
                 1 71
                                                      PKW82051S/PKZLICIN
SEU==>
                                                             PKWARELIC
FMT **
       ...+... 1 ...+... 2 ...+... 3 ...+... 4 ...+... 5 ...+... 6 ...+... 7
       ******* Beginning of data *********
0001.00 *LICENSED BY PKWARE, Inc
                              06/03/03 Tait Hamiel
0002.00 55 A4CMD1NR 000014581 PKWARE Internal Demo Customer
0003.00 99 CMDOAXB1 20030703 0107X8WTP10
       ******* End of data **************************
F3=Exit F4=Prompt F5=Refresh F9=Retrieve F10=Cursor F11=Toggle
                                          F24=More keys
F16=Repeat find
                  F17=Repeat change
```

Once you have typed or copied the license information provided by PKWARE, you will need to save these changes by pressing F3 and exit the edited member by pressing F3 again. Next, run the install program using the following command:

#### **→**INSTPKLIC INFILE(\*LIBL/PKZLICIN) INMBR(PKWARELIC) or prompt F4

```
Install SecureZIP for iSeries License (INSTPKLIC)

Type choices, press Enter.

Type . . . . . *INSTALL *INSTALL, *VIEW
Input Control File . . . . PKZLICIN Name, PKZLICIN
Library name . . . . *LIBL Name, *LIBL
Control Member . . . . pkwarelic Name, *FIRST

Bottom
F3=Exit F4=Prompt F5=Refresh F12=Cancel F13=How to use this display
F24=More keys
```

By executing the INSTPKLIC command, the LICENSE dataset will be updated and a report will be produced that will reflect the state of **PKZIP**<sup>i</sup> at your location.

```
SecureZIP for iSeries (tm) Version
                                      8.2.0, 2003/06/09
Copyright. 2004 PKWARE, Inc. All Rights Reserved.
PKZIP (R) is a registered trademark of PKWARE (R), Inc.
Machine ID = 0107X8WT, Processor Group = P10
Rec - 1 *LICENSED BY PKWARE, Inc 06/03/03 Tait Hamiel
Rec - 2 55 A4CMD1NR 000014581 PKWARE Internal Demo Customer
Rec - 3 99 CMDOAXB1 20030703 0107X8WTP10
Compression
                       - Evaluation set to expire in 23 days on 20030703
                      - Evaluation set to expire in 23 days on 20030703
Database File Handlers- Evaluation set to expire in 23 days on 20030703
IFS File Handlers - Evaluation set to expire in 23 days on 20030703
                      - Evaluation set to expire in 23 days on 20030703
GZIP
Spool Files - Evaluation set to expire in 23 days on 20030703
Self Extracting - Evaluation set to expire in 23 days on 20030703
License File PKW82051S/PKZLIC(PKZLIC) Updated successfully
Press ENTER to end terminal session.
```

# Reporting the PKZIP<sup>i</sup> for iSeries License

By using the INSTPKLIC TYPE(\*VIEW) command, the current licensing settings will be displayed.

```
SecureZIP for iSeries (tm) Version
                                8.2.0, 2003/06/09
Copyright. 2004 PKWARE, Inc. All Rights Reserved.
PKZIP (R) is a registered trademark of PKWARE (R), Inc.
Machine ID = 0107X8WT, Processor Group = P10
***********
A License Report requested on 0107X8WT from CPU Serial#
8.2 Product Licensed to Customer # 000003079 -Key Testers Inc.
  *************
Compression :Licensed -Expires 02/28/2400 for processors:
 Serial# 0107X8WT
                   Processor Type P10
 ecompression :Licensed -Expires 02/28/2400 for processors:
Serial# 0107X8WT Processor Type P10
Decompression
                  :Licensed -Expires 02/28/2400 for processors:
 Serial# 0107X8WT
                   Processor Type P10
 **************
IFS File Handlers :Licensed -Expires 02/28/2400 for processors:
Serial# 0107X8WT Processor Type P10
 ************
Database File Handlers:Licensed -Expires 02/28/2400 for processors:
 Serial# 0107X8WT Processor Type P10
 **********
Advanced Encryption :Licensed -Expires 02/28/2400 for processors:
Serial# 0107X8WT Processor Type P10
**********
Spool Files
                   :Licensed -Expires 02/28/2400 for processors:
 Serial# 0107X8WT Processor Type P10
*************
Self Extracting :Licensed -Expires 00/00/0000 for processors:
**************
Press ENTER to end terminal session.
```

#### PKZIP and SecureZIP for iSeries Grace Period

PKWARE recognizes that there may be periods where the licensing environment established by the customer is no longer valid. Circumstances such as disaster recovery processing or the installation or upgrade of new processors will affect the environment.

To accommodate the installation, *PKZIP* has a process that will allow you to continue to use the product for a grace period of seven days when the established licensing environment is no longer valid. Note that the user *must* have write authority on the license dataset to invoke the grace period. This authority is only required the first time PKZIP/PKUNZIP is run after a CPU change has occurred; it is not required after the grace period has been successfully invoked (this is one time per CPU).

During the grace period, error messages will be displayed on the job log and/or display/printout for each execution of **PKZIP**<sup>i</sup>. At the end of the period, if the license is not updated, the product will no longer function for the new CPUs except to VIEW an archive. You must contact PKWARE at <a href="mailto:pkcustomerservice@pkware.com">pkcustomerservice@pkware.com</a> during the grace period to obtain licensing to allow extended use.

# Invoking PKZIP<sup>i</sup> Services

Three main commands control  $PKZIP^{j}$  functionality in the OS/400 operating environments. The commands are:

- PKZIP Launches compression utility
- PKUNZIP Launches archive extraction utility
- PKZSPOOL Launches compression utility for spool files

Each of the commands can be invoked interactively, submitted for a batch run, or used anywhere that an iSeries command can be issued.

Help panels for each command can be activated by using the F1 (help) key.

# PKZIP<sup>i</sup> Differences from other Platforms

This section covers the differences between  $PKZIP^{i}$  and other versions, including versions that run on other operating systems or platforms. Most of the differences are due to the QSYS library file type system and the iSeries object-oriented base.

Attributes (non-extended)	Various MS/DOS options support the selection of files by file attributes such as hidden, read-only, and system. These attributes are not meaningful on the OS/400 file system.		
ANSI comments	Because OS/400 does not support ANSI control codes, related options are not supported. When unzipping from an archive, the archive comment will be displayed, but ANSI control codes in this comment will not be masked out. This could cause attribute changes on the iSeries display.		
Archive file date controls <i>PKZIP</i>	DOS options control whether the ZIP file date is updated or retained when altering the archive. Because the last used date on OS/400 is not under program control or alterable by a command, these options are not supported.		

Archive Comments *PKZIP* 

DOS options allow editing of comments for individual files in an archive. This version supports editing of a file's text description, but is not recommended for batch running, or for a large number of files due to the interactive message responses required.

File naming differences

The files used in the QSYS library file system have their own naming style. Each file associated with a library file and members would be depicted as library/file(member). Usually, all file names are stored as open system file names with directories, ending with a file name. For a detailed description and techniques see Chapter 5.

**HELP** 

PKZIP for  $DOS^{m}$  has options to display a list of commands. Because  $PKZIP^{i}$  uses iSeries commands, the help system is built for each command and is activated by PF1 on each parameter.

Mixed Case Filenames When using the IFS (integrated file system), the file names are case sensitive and act like other file systems (UNIX, DOS, Windows, etc.). When using the QSYS library file system, the file names are always in UPPER CASE. Occasionally, when trying to update and archive (or select from an archive), you may encounter a case sensitive search. Use PKUNZIP view to get the exact name stored. This would be appropriate when doing a PKZIP TYPE(
\*DELETE) where the selection file would need to match.

#### **Use of SAVF Method**

At this time, only physical files with attributes of PF-DTA, PF-SRC, and SAVF in the QSYS file system, stream files and directories in the IFS, and spool files can be processed by **PKZIP**. Also, some special database functionality such as triggers, file constraints, alternate collating sequence, and large object fields, are not stored in the archives.

To overcome some of the restrictions listed above, *PKZIP'* can compress and decompress SAVF. The objects to be compressed are saved to a SAVF using SAVOBJ or SAVLIB. The SAVF is then compressed to an archive using PKZIP. To restore the data, first use PKUNZIP to re-create the SAVF, and then use RSTOBJ or RSTLIB to restore objects from within the decompressed SAVF. SAVF are binary and only pertain to OS/400.

Another solution may be to utilize the iSeries PKWARE Save/Restore Application Feature (iPSRA) feature, where the save command can issued with the save API based on the command defined in the FILES parameter. See Chapter 6 on the iPSRA feature for details.

## **Data Compression**

Because data compression techniques reduce file size, a compressed data file will use less storage space and can be transferred in a faster, more efficient manner. A file can be compressed (a ZIP candidate) to a compact size (ZIPPED file), and then to

use the file again, it must be uncompressed or extracted to its original size (UNZIPPED file).

One easy data compression method eliminates repeating or redundant data by replacing it with representative information that will be used when restoring the data. An example of this data compression technique is the Run-Length Encoding method, which applies to redundant data where a repeating character (the run) is represented as a count or value (the length). The compressed form is the repeated character with its count.

Example: B 2 2 2 2 E H H H H H H H H H

Compressed: B \*4 2 E \*9 H

**Note:** The efficiency of this method is dependent upon the amount of redundancy in the data.

To perform a thorough compression operation, more advanced algorithms and enhanced techniques are required.  $PKZIP^{i}$  uses just such methods to achieve maximum results.

#### **ZIP Archives**

**PKZIP** is capable of storing compressed data in ZIP archives. There is no limit to the number of archives you may create.

ZIP archive capability:

- ZIP archive refers to any valid ZIP-format file created by a PKZIP® 4.x-compatible product.
- ZIP64 refers to ZIP archives that include the ZIP64 format that can handle more than 65,534 files and files that exceed 4 GB. (See "Large Files Considerations.").
- Each standard archive can store up to 65,534 files.
- Files that are over 4 GB have to be archived with GIZP or by using the Large File Support.
- Each standard archive may contain up to 4 GB of data. ZIP64 is required for larger archives.

For each file in the archive, the following information is stored with the compressed data:

- Filename.
- File directory date and time.
- File's initial CRC value (see Cyclic Redundancy Check).
- Method of compression used.
- **PKZIP** version required for file extraction.
- File size, uncompressed.
- File size, compressed.

Some files may contain the following additional information:

- The version of **PKZIP**<sup>i</sup> that created the file.
- File attributes.
- Any comment about the file.
- Any comment about the archive.
- Platform specific attributes (see Cross Platform Compatibility).
- If encrypted and what method of encryption.

## **Cyclic Redundancy Check**

Cyclic redundancy check (CRC) is a method used to verify the integrity of a data file after it is restored from a ZIP archive.

Before a file is compressed, a  $PKZIP^{j}$  algorithm computes a 32 bit hexadecimal value for its data. The CRC value is stored in a file that is within the ZIP archive. When the data in the file is extracted,  $PKZIP^{j}$  processes it again using the same algorithm to produce a second CRC value. Once the file is processed, the original CRC value is compared to the new CRC value to ensure that they match.

**Note:** If the data is the same as its previous state, the same CRC value will be produced. When the two CRC values are compared, and should the extracted value not match the stored, initial value, the integrity of the file is in question and **PKZIP** reports the results. In this case, it is possible the data was corrupted within the ZIP Archive.

## **Encryption**

#### **Requires SecureZIP**

**SecureZIP for iSeries** can encrypt data for security control and provide a password lockout for extracting data. Various security levels are available, with multiple encryption algorithms. See Chapter 2 for a description of security features in **SecureZIP for iSeries**.

## File Selection and Name Processing

This section discusses how file selection is performed for ZIP processing with *PKZIP*<sup>1</sup>. The primary commands used for ZIP processing are discussed here, along with some overview notes and known restrictions.

This section also discusses how files are selected within an iSeries environment. Remember, ZIP directory entries within a ZIP archive will be defined in a system-independent format, which is not iSeries compatible.

**Note:** Directory entries within a ZIP archive are actually in a format compatible with UNIX systems and have been translated into the ASCII character set. In addition, the dataset level separators are typically set as the forward slash ("/"), not the period

(".") as in iSeries, although this can be controlled through command actions in **PKZIP**<sup>i</sup>.

See Chapter 5 for further information on how **PKZIP** handles file name interchanges between iSeries and common ZIP format.

## **Primary File Selection Inputs**

**PKZIP** will only process:

- iSeries objects of type FILE (only with attributes PF-SRC, PF-DTA, and SAVF).
- IFS stream files (\*STMR) and IFS directories(\*DIR).
- Spool files.

Other objects must first be unloaded into an iSeries save file (SAVF) before they can be processed by  $PKZIP^{i}$  (see: Use of SAVF Method) or use the Save Applications data with the iPSRA feature. See Chapter 6.

The FILES parameter in both PKZIP and PKUNZIP specifies which files are to be processed for all files except spool files (SPLF have their own selection parameters). One or more names can be specified, and each name is in either OS/400 QSYS format, or IFS format, depending on F2ZTYPE settings. An asterisk may be used at the end of the library name, file name, or member name to select names beginning with the prefix used. To select all members of a file, \*ALL may be used. To select all files in a library \*ALL may be used (as long as it is qualified by at least a library name), for example, FILES('mylib/\*ALL' ). If \*ALL is specified without at least a qualifying library name, the specification is ignored and no files will be selected.

The **PKZIP** QSYS file system expands a partial file specification in several ways to make file specification more convenient. Each file specification may consist of a filename; a library name; a file name and member name; a library name and file name; or a library name, file name, and member name. iSeries SAVF may also be selected, but because a \*SAVF file does not contain <u>members</u>, a SAVF will not be selected if a member name was included in the file specification.

In the Integrated file system, each file specification may consist of a directory, a path of directories, a directory and file, or a path of directories and file.

The various combinations that may be used are shown below:

File Type	File specification	Expanded As	Notes
QSYS	library*/	library*/*all(*all)	Finds all files in libraries beginning with <i>library</i> .
	fileinlib	*LIBL/fileinlib(*ALL)	Searches library list for all files called <i>fileinlib</i> . If a matching file is found, all of its members will be selected. If a SAVF is found, it will be selected.

File Type	File specification	Expanded As	Notes
	fileinlib*(mem*)	*LIBL/fileinlib*(mem*)	Searches library list for all files beginning with fileinlib. If a matching file is found, members beginning with mem* will be selected. If a SAVF is found, it will NOT be selected because the file specification includes a member name.
	library*/file*	library*/file*(*ALL)	Searches libraries that begin with <i>library prefix</i> and for files that begin with <i>file prefix</i> . If a matching file is found, all of its members will be selected. If a SAVF is found, it will be selected.
	library*/file*(memo*)	library*/file*(mem*)	Searches libraries that begin with <i>library</i> prefix and files that begin with <i>file</i> prefix. If a matching file is found, members beginning with <i>mem</i> prefix will be selected. If a SAVF is found, it will not be selected because the file specification includes a member name.
IFS	Dir/*	Dir/*all	Searches all files in path DIR.
Spool Files	N/A		Uses parameters: SPLFILE, SFUSER, SFQUEUE, SFFORM, SFUSRDTA, SFSTATUS, SFJOBNAM, and/or SPLNBR.
iPSRA	Full Save Command		SAV, SAVLIB, SAVOBJ, or SAVDLO

**Note:** If parameter TYPE(\*DELETE) is used, then the file name format for these names must be in MS/DOS format (that is, if CVTFLAG has not been used). See the FILES keyword. Files may also be excluded. See the EXCLUDE keyword.

The valid parameter values for the FILES keyword are as follows:

'file specification 1' 'file specification 2'...'file\_specification nn'

This is the list of one or more file specifications, separated by spaces.

For example:

```
mylib/myfile(prf*)
mylib/*all(*all)
```

By default,  $PKZIP^{i}$  does a match on files in the QSYS library system with no case sensitivity and in the IFS with case sensitivity. Some IFS file systems contain case sensitive file names. To force  $PKZIP^{i}$  to perform non-case sensitive file name matching use TYPFL2ZP(\*IFS2).

## File Exclusion Inputs

Using similar file specification techniques as described above in the Primary file Selection Inputs section,  $PKZIP^i$  can specify from one to many file patterns that will be used to exclude files that were selected with the FILE parameter. The files can be inputted into the command parameter EXCLUDE or into a text file that can be processed by parameter EXCLFILE.

Care should be taken when using wildcards excluding inputs to ensure that FILES and EXCLUDE parameters select the desired files.

## **Input ZIP Archive Files**

During a FRESHEN or UPDATE request, files contained within the existing ZIP archive are added to a candidate list. Names stored previously are used to search the system files for viability (any file names not found in the system remain in the ZIP archive).

## SPOOL File Selecting

The FILES parameter is not used to select spool files for compression, but instead uses its own selection parameters.

There are eight positional parameters that can be specified to select the spool files: the SPOOL FILE NAME (SPLFILE), the SPOOL FILE NUMBER (SPLNBR), the user that created the files (SFUSER), the OUTQ that the file is residing (SFQUEUE), the form type specified (SFFORM), the user data tag associated with the spool file (SFUSRDTA), the status of the spool file (SFSTATUS), or the specific job name/user name/job number (SFJOBNAM). Only files that meet all of the selection values will be selected.

If the parameter SFJOBNAM is coded, the job must exist and the parameter SFUSER will be ignored, since it is already part of the SFJOBNAM parameter.

## **Large Files Considerations**

## **Large File Support Summary**

The large file support feature known as ZIP64 throughout this manual was added to PKZIP for iSeries in release 5.6. This separately licensed feature of  $PKZIP^i$  provides several enhancements relating to capacity, size, and performance. Some of the key features include:

Processing support (ZIP and UNZIP) for Archives enabled with the standard ZIP64 formats from other platforms.

An increased ZIP archive file capacity is raised from 65,534 to the theoretical limit of 4,294,967,295 files.

An increased user file size handler, raised from 4 Gigabytes minus 1 byte (32 bit binary counter) to a theoretical limit of 9 Exabytes (64 bit binary counter).

An increased support for ZIP archive sizes exceeding 4 Gigabytes (same as user file size limit).

The preceding values are given only as theoretical limits. In practice, there are reasonable limitations due to the availability of resources along with processing tolerances

**Note:** 4 GB or Gigabyte is equal to 4,294,967,295 bytes. 9 EB or Exabyte is equal to 9,223,372,036,854,775,807 bytes.

## **Large File Support File Capacities**

The original .ZIP file format has faithfully met the needs of computer users since it was introduced by PKWARE in 1989. As computer technology has advanced over time, storage capacities have increased dramatically. These increases make the numbers and sizes of files that seemed unimaginable ten years ago a reality today. To extend the utility of the .ZIP file format to meet these changing system needs, PKWARE extended the .ZIP file format to support more than 65,535 files per archive and archive sizes greater than 4 Gigabytes (GB). This is known as the ZIP64 format.

The specification for the .ZIP file format has been publicly available and distributed by PKWARE in a file called APPNOTE.TXT. This file documents the internal data structures and layout that define a .ZIP archive. The extensions introduced by PKWARE fully supports all the features of your existing archives and newer versions of PKZIP that supports these new extensions will continue to read all of your current archives. Prior to the **PKZIP for iSeries** 5.6 release, versions of PKZIP on the OS/400 were limited to storing no more than 65,534 files in a .ZIP archive.

Another limitation that existed prior to the 5.6 version of the *PKZIP for iSeries* was that a single .ZIP archive or files in archive could not be larger than 4 GB (4,294,967,295 bytes). The extended ZIP64 file format specification available with PKZIP 5.6 supports creating .ZIP archives containing over 4 billion files and with sizes larger than 9 quintillion bytes. These are only theoretical limits and most iSeries systems and other computer systems in common use today do not have enough storage capacity, CPU or available memory to create and store ZIP64 archives approaching these limits.

The practical limits imposed by a typical iSeries system in use today and configured with various memory sizes will support compressing up to approximately 265,000 files. Compressing this number of files can take a long time, not only for the compression process, but to manage the directories and properties of each of these files.

Your available system resources (processor speed, DADS, Memory, and other processing) limits the performance you can expect from PKZIP when processing large numbers of files or large archives. If you are compressing large numbers of files on an iSeries with insufficient memory or other resources you can expect slow processing.

When compressing large files, it is a good idea to have your archives set up to be stored in the IFS rather than in a library/file. The overhead is much less when storing the archive in the IFS. It is even more important when updating or adding to an archive where the temporary archive will also be processed in the IFS

Versions of *PKZIP for iSeries* prior to 5.6 will not recognize these new features and will be unable to view or extract any files in your archives that are dependent on these ZIP64 features. Also, any ZIP compatible programs you may be using from other companies will not be able to access all of the contents of your large archives. They may report that an archive is too large, or they may incorrectly report that the archive has errors. To ensure access to data in your large archives, always use genuine PKZIP/SecureZIP from PKWARE.

## **Cross Platform Compatibility**

Cross platform compatibility provides  $PKZIP^{j}$  its ability to allow data to move between different computer operating environments.  $PKZIP^{j}$  was intentionally designed for cross platform use. Regardless of platform,  $PKZIP^{j}$  archives are compatible with SecureZIP for ZSeries, PKZIP for ZSeries, ZSERI

**PKZIP** uses the same <u>ZIP file format</u> used by other ZIP compatible products, independent of the platform on which it is running. **PKZIP** archives are not platform dependent allowing greater flexibility in file usage. Data can be zipped on one platform, for example UNIX, and unzipped onto another platform, such as OS/400. To do this, **PKZIP** converts the data structure into the ZIP format and saves the appropriate file information in the ZIP archival directory entries.

## **ZIP File Format Specification**

The following table lists features of the ZIP file format specification supported on the zSeries and iSeries platforms. The notation (*EE*) on some entries—for example, *PK8.2(EE)*—stands for *Enterprise Edition*.

ZIP Feature	Version	MVS/zSeries	OS400/iSeries
Default	1.0		
File represents a volume label	1.1	Not supported	Not supported
File represents a folder	2.0	Not supported	Not supported
Deflate compression	2.0	2.x	2.x
Traditional encryption	2.0	2.x	2.x
Deflate64 compression	2.1	Not supported	Not supported
DCL Implode compression	2.5	Not supported	Not supported
File is a patched data set	2.7	Not supported	Not supported
File uses Zip64 size extensions	4.5	5.6	5.6
BZip2 compression	4.6	Not supported	Not supported
DES encryption	5.0	SZ8.0, PK8.2(EE)	SZ8.0, PK8.2(EE)
3DES encryption	5.0	SZ8.0, PK8.2(EE)	SZ8.0, PK8.2(EE)
RC2 encryption	5.0	SZ8.0, PK8.2(EE)	SZ8.0, PK8.2(EE)
RC4 encryption	5.0	SZ5.5, PK8.2(EE)	SZ5.5, PK8.2(EE)
AES encryption	5.1	SZ8.0, PK8.2(EE)	SZ8.0, PK8.2(EE)
Certificate encryption using non-OAEP key wrapping	6.1	8.0 (SecureZIP)	8.0 (SecureZIP)
Central Directory Encryption (File Name Encryption)	6.2	8.0 (SecureZIP)	8.0 (SecureZIP)

If you want to transfer data across platforms using any other "ZIP compatible" product, you should check with the supplier first to confirm which versions of PKZIP it is compatible with.

For more information regarding data formats, see "Data Format - Text Records vs. Binary Records" in Chapter 3 for a discussion regarding special considerations when transferring files between different platform types.

## PKZIP/SecureZIP for iSeries Restrictions

Due to various iSeries processing characteristics, the following restrictions should be carefully reviewed to determine the best way to proceed when using  $PKZIP^{j}$ :

**PKZIP** in the QSYS file system will only work with objects that have an object type of \*FILE and an attribute of PF-DTA, PF-SRC, and SAVF. To process other objects

such as \*PGM, \*CMD, etc., use the SAVF method (see "Use of SAVF Method" in Chapter 1).

**PKZIP** in the integrated file system (IFS) will only work with stream files (\*STRM) and directories (\*DIR).

Special database functionality, such as triggers, file constraints, alternate collating sequence, and logical files are not stored in an archive. To maintain this functionality, use the SAVF method (see "Use of SAVF Method").

Special database fields for large objects (LOB) are not supported. These fields include: character large objects (CLOBs), double-byte character large objects (DBCLOBs), and binary large objects (BLOBs). In cases where the database contains one of these types of fields, use the SAVF Method.

# 2

# **Introduction to Data Security**

#### **Requires SecureZIP**

This chapter details how *SecureZIP for iSeries* can strongly encrypt data for security control and protection. Much of the reference information in this chapter derives from the National Institutes of Standards and Technology. The NIST Computer Security Resource Center web site, <a href="http://csrc.ncsl.nist.gov/">http://csrc.ncsl.nist.gov/</a>, contains FAQ's and documentation relating to computer security along with the Federal Information Processing Standard (FIPS) documents. In addition, the PKWARE web site, <a href="https://www.PKWARE.COM">WWW.PKWARE.COM</a>, contains information relating to security and links to the RSA Security, Inc web site that describes in detail the BSAFE implementation used in *SecureZIP for iSeries*.

The following sections describe encryption, authentication, types of algorithms in use, information about specific mandates requiring the use of secure data and how **Secure ZIP for iSeries** will secure that data.

## **Encryption**

Encryption provides confidentiality for data. The data to be protected is called plaintext. Encryption transforms the plaintext data into an unreadable form, called ciphertext, using an encryption key. Decryption transforms the ciphertext back into plaintext using a decryption key. Several algorithms have been approved in FIPS for the encryption of general purpose data. Each of these algorithms is a symmetric key algorithm, where the encryption key is the same as the decryption key. In order to maintain the confidentiality of the data encrypted by a key, the key must be known only by the entities that are authorized to access the data. These symmetric key algorithms are commonly known as block cipher algorithms, because the encryption and decryption processes each operate on blocks (chunks) of data of a fixed size.

FIPS 46-3 and FIPS 197 have been approved for the encryption of general-purpose data. The protection of keys is discussed below under Key Management.

SecureZIP for iSeries uses symmetric key algorithms when encrypting user data.

**Note:** *PKZIP for iSeries* provides support for password-based encryption and decryption using a 96-bit "Standard" encryption algorithm that is supported by older ZIP-compatible utilities. In addition, *PKZIP for iSeries* Enterprise Edition supports the decryption of all password-based algorithms provided in *SecureZIP for iSeries*.

#### **Authentication**

Authentication is the process of validating digital signatures that may be attached to files in an archive or to an archive's central directory.

Authentication is a separate operation from data encryption. Whereas encryption is concerned with preventing parties from accessing sensitive data (such as private medical or financial information), authentication confirms that information actually comes unchanged from the purported source.

Authenticating digitally signed data both verifies the signature and validates the signed data.

## **Data Integrity**

SecureZIP uses a cyclic redundancy check (CRC) to ensure that data is successfully transferred into and out of a ZIP archive. The CRC process creates a unique hash value "thumbprint" from the original data stream. The thumbprint is regenerated at the receiving end and compared with the hash of the source for equality. The thumbprint value is stored independently of the data stream and is used during UNZIP processing to complete validation of the data.

**SecureZIP** extends the concept of the CRC in two ways for the purpose of providing a tamper-resistant container within the ZIP archive. First, more rigorous HASH algorithms (MD5 and SHA-1) are used (as specified by the SIGN\_HASHALG command) in place of the 32-bit CRC to accurately reflect the uniqueness of the data stream. Second, the hash value is encrypted within a digital signature using a private-key certificate to protect it from tampering.

For more information regarding SHA-1 (Secure Hash Algorithm), see FIPS PUB 180-1, describing the Secure Hash Standard, at <a href="http://www.itl.nist.gov/fipspubs/fip180-1.htm">http://www.itl.nist.gov/fipspubs/fip180-1.htm</a>.

**SecureZIP for iSeries** provides two commands, SIGN\_ARCHIVE and SIGN\_FILES, to intiate the creation of digital signatures within the ZIP archive. The AUTHCHK command is used to perform a tamper check operation using the digital signature and hash.

## **Digital Signature Validation**

**SecureZIP** makes use of certificate-based encryption within the public key infrastructure (PKI) to generate and validate digital signatures. PKI provides an authentication chain for certificates to guarantee that the signature was created by the purported source. **SecureZIP** supports the certificate chain authentication process by including necessary identification information within the ZIP archive. Subsequently, the certificate(s) used for signing can be authenticated through a complete chain of trust.

To complete the chain of trust, a *root* (or self-signed) certificate representing the certificate's issuing organization is installed on the authenticating system. This provides the receiving organization with the authority to declare how the final trust sequence should be treated. Signatures based on certificates from certificate authorities (CA) that are not authorized or trusted are declared as being *untrusted* by *SecureZIP*.

Additional facets of validating a certificate's viability for use include a defined range of dates within which a certificate may be used and whether the certificate has been declared to have been revoked. Configurable SecureZIP policies (EXPIRED and REVOKED attributes) provide support to ensure that the certificates involved in authentication also adhere to these restrictions.

**SecureZIP for iSeries** provides a means to install and access the certificates necessary for signing and authentication. The AUTHCHK command, along with configured policy settings governs the type (archive directory or data files) and level of authentication that is to be performed.

### **Digital Signature Source Validation**

A final step in completing the authentication process is to ensure that the archive and/or file data was sent from a particular source. Up to this point, using the previous two aspects of authentication, we are certain that the archive directory and/or files were signed with a private-key certificate that came from a trusted source (CA) and that the data stream has not been tampered with since it was placed into the ZIP archive. However, these steps alone do not guarantee that a different party under the same root/CA chain did not perform the signing operation.

**SecureZIP for iSeries** provides an optional parameter in the AUTHCHK command to declare the specific party from whom the data is expected.

## **Public-Key Infrastructure and Digital Certificates**

## **Public-Key Infrastructure (PKI)**

Use of digital certificates for encryption and digital signing relies on a combination of supporting elements known as a *public-key infrastructure* (PKI). These elements include software applications such as SecureZIP that work with certificates and keys as well as underlying technologies and services.

The heart of PKI is a mechanism by which two cryptographic keys associated with a piece of data called a certificate are used for encryption/decryption and for digital signing and authentication. The keys look like long character strings but represent very large numbers. One of the keys is private and must be kept secure so that only its owner can use it. The other is a public key that may be freely distributed for anyone to use to encrypt data intended for the owner of the certificate or to authenticate signatures.

#### **How the Keys Are Used**

With encryption/decryption, a copy of the public key is used to encrypt data such that only the possessor of the private key can decrypt it. Thus anyone with the public key can encrypt for a recipient, and only the targeted recipient has the key with which to decrypt.

With digital signing and authentication, the owner of the certificate uses the private key to *sign* data, and anyone with access to a copy of the certificate containing the public key can authenticate the signature and be assured that the signed data really proceeds unchanged from the signer.

Authentication has one additional step. As an assurance that the signer is who he says he is—that the certificate with Bob's name on it is not fraudulent—the signer's certificate itself is signed by an issuing certificate authority (CA). The CA in effect vouches that Bob is who he says he is. The CA signature is authenticated using the public key of the CA certificate used. This CA certificate too may be signed, but at some point the *trust chain* stops with a self-signed *root* CA certificate that is simply trusted. The PKI provides for these several layers of end-user public key certificates, intermediate CA certificates, and root certificates, as well as for users' private keys.

#### X.509

*X.509* is an International Telecommunication Union (ITU-T) standard for PKI. X.509 specifies, among other things, standard formats for public-key certificates. A public-key certificate consists of the public portion of an asymmetric cryptographic key (the public key), together with identity information, such as a person's name, all signed by a certificate authority. The CA essentially guarantees that the public key belongs to the named entity.

## **Digital Certificates**

A digital certificate is a special message that contains a public key and identify information, such as the owner's name and perhaps email address, about the owner. An ordinary, end-user digital certificate is digitally signed by the CA that issued it to warrant that the CA issued the certificate and has received satisfactory documentation that the owner of the certificate is who he says he is. This warrant, from a trusted CA, enables the certificate to be used to support digital signing and authentication, and encryption of data uniquely for the owner of a certificate.

For example, Web servers frequently use digital certificates to authenticate the server to a user and create an encrypted communications session to protect transmitted secret information such as Personal Identification Numbers (PINs) and passwords.

Similarly, an email message may be digitally signed, enabling the recipient of the message to authenticate its authorship and that it was not altered during transmission.

To use PKI technology in **SecureZIP for iSeries** for encryption and to attach digital signatures, you must have a digital certificate.

## Certificate Authority (CA)

A certificate authority (CA) is a company (usually) that, for a fee, will issue a public-key certificate. The CA signs the certificate to warrant that the CA issued the certificate and has received satisfactory documentation that the owner of the new certificate is who he says he is.

## **Private Key**

A digital certificate contains both private and public portions of an asymmetric cryptographic key together with identity information, such as a person's name and (possibly) email address. The private portion of the key is called the *private key* and

is used to decrypt data encrypted with the associated public key and to attach digital signatures.

A private key must be accessible solely by the owner of the certificate because it represents that person and provides access to encrypted data intended only for the owner.

**SecureZIP for iSeries** uses a private key maintained in x.509 PKCS#12 format. This means that the private key cannot be accessed unless a password is entered for each SecureZIP request.

## Public Key

A *public key* consists of the public portion of an asymmetric cryptographic key in a certificate that also contains identity information, such as the certificate owner's name.

The public key is used to authenticate digital signatures created with the private key and to encrypt files for the owner of the key's certificate.

For information on the *digital enveloping* process **SecureZIP for iSeries** uses for certificate-based encryption, see the <u>Secure .ZIP Envelopes</u> whitepaper at the PKWARE Web site.

## **Certificate Authority and Root Certificates**

End entity certificates and their related keys are used for signing and authentication. They are created at the end of the trust hierarchy of certificate authorities. Each certificate is signed by its CA issuer and is identified in the "Issued By" field in the end certificate. In turn, a CA certificate can also be issued by a higher level CA. Such certificates are known as *intermediate* CA certificates. At the top of the issuing chain is a self-signed certificate known as the *root*.

**SecureZIP for iSeries** uses public-key certificates in PKCS#7 format. The intermediate CA certificates are maintained independently from the ROOT certificates.

## Types of Encryption Algorithms

## FIPS 46-3, Data Encryption Standard (DES)

The FIPS (Federal Information Processing Standards) specification 46-3 formerly specified the DES algorithm for use in Federal government applications. In 2004, the specification was changed such that DES is no longer approved for Federal government applications.

## **Triple DES Algorithm (3DES)**

Triple DES is a more recent algorithm related to DES. Triple DES is a method for encrypting data in 64-bit blocks using three 56-bit keys by combining three successive invocations of the DES algorithm.

ANSI X9.52 specifies seven modes of operation for 3DES and three keying options: 1) the three keys may be identical (one key 3DES), 2) the first and third key may be the same but different from the second key (two key 3DES), or 3) all three keys may be different (three key 3DES). One key 3DES is equivalent to DES under the same key; therefore, one key 3DES, like DES, will not be approved after 2004. Two key 3DES provides more security than one key 3DES (or DES), and three key 3DES achieves the highest level of security for 3DES. NIST recommends the use of three different 56-bit keys in Triple DES for Federal Government sensitive/unclassified applications.

**SecureZIP for iSeries** uses three-key 3DES when Triple DES is selected as the data encryption algorithm.

## **Advanced Encryption Standard (AES)**

The Advanced Encryption Standard (AES) encryption algorithm specified in FIPS 197 is the result of a multiyear, worldwide competition to develop a replacement algorithm for DES. The winning algorithm (originally known as Rijndael) was announced in 2000 and adopted in FIPS 197 in 2001.

The AES algorithm encrypts and decrypts data in 128-bit blocks, with three possible key sizes: 128, 192, or 256 bits. The nomenclature for the AES algorithm for the different key sizes is AES-x, where x is the size of the AES key. NIST considers all three AES key sizes adequate for Federal Government sensitive/unclassified applications.

Please see <a href="http://www.nist.gov/public\_affairs/releases/g00-176.htm">http://www.nist.gov/public\_affairs/releases/g00-176.htm</a> a press release recapping NIST's position

SecureZIP for iSeries uses AES as the default encryption algorithm.

## Comparison of the 3DES and AES Algorithms

Both the 3DES and AES algorithms are considered to be secure for the foreseeable future. Below are some points of comparison:

- 3DES builds on DES implementations and is readily available in many cryptographic products and protocols. The AES algorithm is new; although many implementers are quickly adding the algorithm to their products, and protocols are being modified to incorporate the algorithm, it may be several years before the AES algorithm is as pervasive as 3DES.
- The AES algorithm was designed to provide better performance (e.g., faster speed) than 3DES.
- Although the security of block cipher algorithms is difficult to quantify, the AES algorithm, at any of the key sizes, appears to provide greater security than 3DES. In particular, the best attack known against AES-128 is to try every possible 128-bit key (i.e., perform an exhaustive key search, also known as a brute force attack)). By contrast, although three key 3DES has a 168-bit key, there is a "shortcut" attack on 3DES that is comparable, in the number of required operations, to performing an exhaustive key search on 112-bit keys. However, unlike exhaustive key search, this shortcut attack requires a lot of memory. Assuming that such shortcut attacks are not discovered for the AES algorithm, the uses of the AES algorithm may be more appropriate for the protection of high-risk or long-term data.

- The smallest AES key size is 128 bits; the recommended key size for 3DES is 168 bits. The smaller key size means that fewer resources are needed for the generation, exchange, and storage of key bits.
- The AES block size is 128 bits; the 3DES block size is 64 bits. For some constrained environments, the smaller block size may be preferred; however, the larger AES block size is more suitable for cryptographic applications, especially those requiring data authentication on large amounts of data.

See <a href="http://www.nist.gov/public\_affairs/releases/g00-176.htm">http://www.nist.gov/public\_affairs/releases/g00-176.htm</a> for a press release describing NIST's position on the two algorithms.

With a block cipher algorithm, the same plaintext block will always encrypt to the same ciphertext block whenever the same key is used. If the multiple blocks in a typical message were to be encrypted separately, an adversary could easily substitute individual blocks, possibly without detection. Furthermore, data patterns in the plaintext would be apparent in the ciphertext. Cryptographic modes of operation have been defined to alleviate these problems by combining the basic cryptographic algorithm with a feedback of the information derived from the cryptographic operation.

FIPS 81, DES Modes of Operation, defines four confidentiality (encryption) modes for the DES algorithm specified in FIPS 46-3: the Electronic Codebook (ECB) mode, the Cipher Block Chaining (CBC) mode, the Cipher Feedback (CFB) mode, and the Output Feedback (OFB) mode.

SecureZIP for iSeries uses Cipher Block Chaining for data encryption.

#### RC4

The RC4 algorithm is a stream cipher designed by Rivest for RSA Security. It is a variable key-size stream cipher with byte-oriented operations. The algorithm is based on the use of a random permutation. Analysis shows that the period of the cipher is overwhelmingly likely to be greater than 10<sup>100</sup>. Eight to sixteen machine operations are required per output byte, and the cipher can be expected to run very quickly in software. Independent analysts have scrutinized the algorithm and it is considered secure.

RC4 is used for secure communications, as in the encryption of traffic to and from secure web sites using the SSL protocol.

## **Key Management**

The proper management of cryptographic keys is essential to the effective use of cryptography for security. Keys are analogous to the combination of a safe. If the combination becomes known to an adversary, the strongest safe provides no security against penetration. Similarly, poor key management may easily compromise strong algorithms. Ultimately, the security of information protected by cryptography directly depends on the strength of the keys, the effectiveness of mechanisms and protocols associated with keys, and the protection afforded the keys.

Cryptography can be rendered ineffective by the use of weak products, inappropriate algorithm pairing, poor physical security, and the use of weak protocols. All keys need to be protected against modification, and secret and private keys need to be protected against unauthorized disclosure. Key management provides the foundation

for the secure generation, storage, distribution, and destruction of keys. Another role of key management is key maintenance, specifically, the update/replacement of keys.

Further information is available on key management at the NIST Computer Security Resource Center web site: http://csrc.nist.gov/CryptoToolkit/tkkeymgmt.html

#### **Passwords and PINS**

FIPS 112, *Password Usage*, provides guidance on the generation and management of passwords that are used to authenticate the identity of a system user and, in some instances, to grant or deny access to private or shared data. This standard recognizes that passwords are widely used in computer systems and networks for these purposes, although passwords are not the only method of personal authentication, and the standard does not endorse the use of passwords as the best method.

The password used to encrypt a file with SecureZIP may be from 1 to 260 characters in length. Different passwords may be used for various files within a ZIP archive, although only one password may be specified per run.

The password is not stored in the ZIP archive and, as a result, care must be taken to keep passwords secure and accessible by some other source.

#### **Recipient Based Encryption**

Password-based encryption depends on both the sender and receiver knowing, and providing intellectual input (the password) in clear text. The password is used to derive a binary master session key for each decryption run. No key information is kept within the ZIP archive, so both parties must retain the password in an external location.

Recipient-based encryption provides a means by which the master session key (MSK) information can be hidden, protected, and carried within the ZIP archive. This is done by using technique known as digital enveloping with public key encryption. The technique requires that the creating process have a copy of the recipient's public key digital certificate, which is used to protect and store the MSK. In addition, the receiving side must have a copy of the recipient's private key digital certificate. With these two pieces of information in place, there is no need for users to retain or recall a password for decryption.

## **Integrity of Public and Private Keys**

Public and private keys must be managed properly to ensure their integrity. The key owner is responsible for protecting private keys. The private signature key must be kept under the sole control of the owner to prevent its misuse. The integrity of the public key, on the other hand, is established through a digital certificate issued by a certificate authority that cryptographically binds the individual's identity to his or her public key. Binding the individual's identity to the public key corresponds to the protection afforded to an individual's private signature key.

A PKI includes the ability to recover from situations where an individual's private signature key is lost, stolen, compromised, or destroyed; this is done by revoking the digital certificate that contains the private signature key's corresponding public key. The user then creates or is issued a new public/private signature key pair, and receives a new digital certificate for the new public key.

The certificate authority (CA) plays a critical role in ensuring the integrity of public keys in the PKI. Upon being presented with proper evidence of identity (usually through a separate entity called a *registration authority*), the CA issues a digital certificate which contains the applicant's public key, identity, and other information (such as duration of the certificate), all signed by the CA's private signature key. The certificate may then be distributed or placed in publicly available databases, called *repositories*.

#### **Data Encryption**

**SecureZIP for iSeries** security functions include strong encryption tools using RSA BSAFE and the PKWARE implementation of the Advanced Encryption Standard. **SecureZIP for iSeries** provides the option for password encryption using DES, RC4, 3DES and AES.

**RSA** *High-Quality Security* - RSA Security submits its Crypto-C products for FIPS 140 testing and validation. FIPS 140-1 and FIPS 140-2 are U.S. Government standards which specify the security requirements to be satisfied by a cryptographic module. RSA Security supports this testing and certification with over 20 years of experience in the security industry.

**SecureZIP for iSeries** uses a multi-layer key generation process, based on a user-specified password of up to 260 characters, and/or a user's digital certificate, that creates a unique internal key for each file being processed. In addition, the same password will result in a different system generated key for each file.

**SecureZIP for iSeries** also implements the use of Cipher Block Chaining (CBC) to further enhance industry standard encryption algorithms. This feature ensures that each block of data is uniquely modified, further protecting the data from fraudulent access.

**SecureZIP for iSeries** encryption is activated through the use of the PASSWORD and ENTPREC parameters. If a value is present for either setting, whether through commands or default settings, then encryption will be attempted in accordance with other settings (for example, -ADVCRYPT); however, if ADVCRYPT(\*NONE) is specified, then encryption will be bypassed.

## **Operating System Levels**

V5R1M0 or above is required to run certificate-based operations.

## **Windows Compatibility**

When using BSAFE AES encryption with recipients, there is a cross-system compatibility issue to be addressed by the user community. Windows operating systems running pre-Windows XP may experience a decryption problem depending on the state of the private-key certificate on the workstation. During the Windows certificate import process, a dialog check-box "Mark the private key as exportable"

may be selected. If this option was not selected, then Windows will not allow an AES encrypted file to be decrypted unless the master session key was wrapped with 3DES.

The setting of the parameter is enterprise wide and is set using the PKCFGSEC command. When turned on, the MSK3DES flag is set in the NDH/DIB; indicating that the master session key information is protected with 3DES when recipients are specified.

PKZIP for Windows has a variance in processing for 6.0 and 7.x due to OAEP processing. PKZIP for Windows 5.0 through 6.0 used OAEP processing. However, that was found to be incompatible with SmartCards, so 6.1 and above began setting the NO\_OAEP flag in the NDH/DIB flags and stopped creating OAEP encryption-mode files.

**SecureZIP for iSeries** will always set NO\_OAEP, therefore PKZIP for Windows 5.0 - 6.0 will not be able to read recipient-based files from the large platforms.

**SecureZIP for iSeries** should be able to detect whether the NO\_OAEP flag is set and successfully extract either. No change in logic is required within the SecureZIP high-level code, but the low-level EVTCERTD code should handle the switch based on the flag.

#### What is Filename Encryption?

Someone who cannot decrypt the contents of an archive may still be able to infer sensitive information just from the unencrypted names of files. To prevent this, you can encrypt the names of files in addition to their contents. Encrypted file names can be viewed in the clear—that is, unencrypted—only when the archive is opened by an intended recipient, if the archive was encrypted using a recipient list, or by someone who has the password, if the archive was encrypted using a password.

**SecureZip for iSeries** encrypts file names using your current settings for (strong) encryption method and algorithm. File names can be encrypted using either strong password encryption or a recipient list (or both). You must use one of the strong encryption methods: you cannot encrypt file names using traditional, ADVCRYPT(ZIPSTD), which uses a 96-bit key.

Encrypting names of files and folders in an archive encrypts and hides a good deal of other internal information about the archive as well. To encrypt file names, **SecureZip for iSeries** encrypts the archive's central directory, where virtually all such metadata about the archive is stored. Be aware, however, that archive comments are not encrypted even when you encrypt file names. Do not put sensitive information in an archive comment.

## **User Encryption Examples**

Below are examples of how to invoke encryption processing using PKZIP commands.

## Zip Compress File(s) and Write to an Archive File

This is the main PKZIP compression screen. Here you specify the method and mode of encryption.

```
File Compression
                                        8.0 (PKZIP)
Type choices, press Enter.
Archive Zip File name . . . . .
                               '/yourpath/encryption/as400.des3.zip'
List Include file or pattern . .
                                '/yourpath/encryption/*.txt'
             + for more values
                                *ADD
                                            *ADD, *UPDATE, *FRESHEN ..
Type of processing . . . . . .
Compression Level . . . . . .
                                *SUPERFAST
                                             *FAST, *NORMAL, *MAX...
*DETECT
                                             *DETECT *TEXT *BINARY .....
Advance Encryption:
             Method . . . . > 3des
                                            ZIPSTD, AES128, AES192...
             Mode . . . . . > BSAFE
                                            PKWARE, BSAFE
                                                         More...
F3=Exit F4=Prompt
                   F5=Refresh
                               F10=Additional parameters
                                                         F12=Cancel
F13=How to use this display
                               F24=More kevs
Parameter ARCHIVE required.
```

Placing the cursor on the "Method" and hitting F4 presents the next screen that allows you to select one of the encryption methods to use.

```
Specify Value for Parameter ADVCRYPT

Type choice, press Enter.

Method . . . . . > 3DES

ZIPSTD

AES128

AES192

AES256

3DES

DES

RC4_128
```

When the next screen appears if you do not enter a password no encryption processing is completed on the file(s) to be archived. If you desire encryption, you must enter the password twice; once in the Archive Password and again in the Verify Password.

```
File Compression
                                        8.0 (PKZIP)
Type choices, press Enter.
Archive Password . . . . . . .
Verify Password . . . . . . .
Archive File Type . . . . . .
                                             *DB, *IFS
                                *ifs
Files to Zip Type . . . . . . .
                                *ifs
                                             *DB, *IFS, *IFS2, *DBA, *SPL
Before/After Selection . . . .
                                *NO
                                             *NO, *BEFORE, *AFTER
Date for Selection . . . . . .
                                0
                                             Date mmddyyyy
                                *SUFFIX
File Name actions . . . . . .
                                             *NONE, *DROP, *SUFFIX
External Conversion Flags . . .
                                *NONE
                                             Character value, *NONE
                                *MAINTAIN
Create Self Extract Archive . .
                                            *MAINTAIN, WINDOWS, AIX...
                                                           Bottom
F3=Exit F4=Prompt F5=Refresh F10=Additional parameters F12=Cancel
F13=How to use this display
                                F24=More keys
```

Following is the output of the PKZIP run.

```
SecureZIP for iSeries (tm) Version 8.0.0, 2004/02/13
Copyright. 2004 PKWARE, Inc. All Rights Reserved.
PKZIP (R) is a registered trademark of PKWARE (R), Inc.
Scanning files in *IFS for match ...
Found 2 matching files
Compressing /yourpath/encryption/appnote.txt in BINARY mode
Add /yourpath/encryption/appnote.txt -- Deflating (69%) encrypt(BSAFE 3DES)
Compressing /yourpath/encryption/readme.txt in BINARY mode
Add /yourpath/encryption/readme.txt -- Deflating (58%) encrypt(BSAFE 3DES)
PKZIP Compressed 2 files in Archive /yourpath/encryption/as400.des3.zip
PKZIP Completed Successfully
```

Commands generated from the PKZIP screen using the retrieve key after the PKZIP run.

```
Command Entry COSMOS
Request level: 4
Previous commands and messages:

(No previous commands or messages)

Bottom
Type command, press Enter.
==> PKZIP ARCHIVE('/yourpath/encryption/as400.des3.zip')
FILES('/yourpath/encryption/*.txt') ADVCRYPT(3DES BSAFE) PASSWORD() VPASSWORD()
TYPARCHFL(*IFS) TYPF
```

#### Display the contents of an Archive File

When the files within an archive have strong encryption the "!" (bang) character is placed in front of the file name to inform you that you must have the correct password to view or extract the file.

```
File Extraction
                                          8.0 (PKUNZIP)
Type choices, press Enter.
Archive Zip File name . . . . .
                                   '/yourpath/encryption/as400.des3.zip'
List Include file or pattern . .
                                   *AT.T.
               + for more values
Type of processing . . . . . .
                                   *VIEW
                                                 *VIEW, *EXTRACT, *NEWER...
File Types . . . . . . . . . . . .
                                   *DETECT
                                                 *DETECT *TEXT *BINARY ...
   Press ENTER to end terminal session.
   SecureZIP for iSeries (tm) Version 8.0.0, 2004/02/13
   Copyright. 2004 PKWARE, Inc. All Rights Reserved.
   PKZIP (R) is a registered trademark of PKWARE (R), Inc.
   PKZIP for iSeries(tm) is running under Beta release B1
   Archive: /yourpath/encryption/as400.des3.zip 33451 bytes
```

#### **Incorrect Password Use**

The following illustration is an example of what to expect if you enter an incorrect password. The error message indicates that the file(s) were skipped because of an incorrect password and that PKUNZIP completed with errors.

```
PKZIP for iSeries(tm) Version 8.0.0, 2004/02/17
Copyright. 2004 PKWARE, Inc. All Rights Reserved.
PKZIP (R) is a registered trademark of PKWARE (R), Inc.
PKZIP for iSeries(tm) is running under Beta release B1
PKUNZIP Archive: /yourpath/encryption/as400.des.zip
Archive Comment: "PKZIP for iSeries by PKWARE"
Searching Archive /yourpath/encryption/as400.des.zip for files to extract skipping: /yourpath/encryption/appnote.txt incorrect password skipping: /yourpath/encryption/readme.txt incorrect password Caution: zero files tested in /yourpath/encryption/as400.des.zip.
2 file(s) skipped because of incorrect password PKUNZIP Completed with Errors
Press ENTER to end terminal session.
```

# 3 ZIP Files

A ZIP archive is the storage facility for files that are compressed (or simply stored) using the *PKZIP* product. The basic archive can hold up to 65,535 files, which may have been compressed by up to 99% of their original size. Data integrity is validated by a cyclic redundancy check (CRC) to maintain integrity of the data from the compression through the extraction process. If the archive contains the ZIP64 archive format, the archive can support more than the 65,535 files and can be larger than 4 GB (see "Large Files Considerations" in Chapter 1).

In addition to the data, file attributes are retained, allowing extraction of the same file characteristics without the need of control card specifications. An archive can exist in three possible states during processing, described as "old archive," "temporary archive," and "new archive." An explanation of the functions of each of these is described in the sections below.

A ZIP archive is transferable between platforms. That is, files that are compressed by **PKZIP** on one platform may be extracted by **PKZIP** on a different platform, maintaining identical data.

This chapter describes the types of files used by  $PKZIP^{i}$  and provides a description of the way in which they are accessed by  $PKZIP^{i}$  ZIP archives.

**PKZIP** (by default) creates a new archives in the \*DB file system as members of PF-DTA files with 132-byte records. The archive file is given a text field of "file created by **SecureZIP for iSeries**" or "file created by **PKZIP for iSeries**". The archive member is given a text field of "Member created by **SecureZIP for iSeries**" or "Member created by **PKZIP for iSeries**". If you wish to create your own archive (perhaps to have a larger record size, for performance), then you can do so, but try to adhere to the following:

- When you create the file, do not create any members in it.
- After having created the file, change the MAXMBRS parameter for the file from 1 to \*NOMAX.

A ZIP archive holds files internally in one of several formats, which are compatible with other platforms supported by *PKZIP*. These formats are described here, and several commands are available for transforming files into one of these formats as they are compressed. You may specify in which format a file is stored using the FILETYPE(\*BINARY) or FILETYPE(\*TEXT) command parameters. OS/400 SAVF are always stored as \*BINARY type. If you do not specify FILETYPE(\*BINARY) or

(\*TEXT), then the PKZIP and PKUNZIP programs both will default to FILETYPE(\*DETECT). For more information, see FILETYPE(\*DETECT).

#### "Old" ZIP Archive

Starting with **PKZIP** Version 8.2, an optional input archive can be specified that can be a different name than the archive that will be created for an output archive file. If this is present, it is considered to be the "Old" ZIP archive. Otherwise the first ARCHIVE parameter is considered to be the "Old" ZIP archive.

The new input archive parameter (2<sup>nd</sup> option of ARCHIVE) allows the ability to preserve the input archive and create a new archive with a different name. This would allow the new archive to take on new attributes such as FNE or non FNE archive. The one requirement is that both archives must reside on the same file system such as IFS or the QSYS Library file system.

When there is not inputted archive, the 1<sup>st</sup> option of the ARCHIVE parameter for PKZIP is known as the old ZIP archive, except when the TYPE(\*ADD) parameter is being used to create a new ZIP archive. The old ZIP archive may have been created by *PKZIP* during an earlier operation or may have been created by *PKZIP* on another platform and transferred from there. When a ZIP archive is being updated (or when PKUNZIP is extracting files from a ZIP archive), the necessary details are taken from the old ZIP archive. It should be noted that when *PKZIP* is updating a ZIP archive, it takes the necessary data from the old ZIP archive, merges it with any new data, and transfers it to a new ZIP archive (in a temporary member in the same iSeries file as the old archive). When all updating is completed, *PKZIP* deletes the old ZIP archive and then renames the new ZIP archive to the same name as the old ZIP archive. For this reason a file containing a ZIP archive should allow for at least one temporary member to be allocated. When *PKZIP* creates an archive file, it uses MAXMBRS(\*NOMAX).

## "Temporary" Archive File

A temporary archive file refers to an archive work in progress. *PKZIP* will always use a temporary archive file and its definition depends on the file system. If the file system type is IFS, then the temporary archive file will be in the same **directory** of the specified new archive. If the file system type is QSYS, the temporary archive file will become a **member** of the specified archive file. The temporary file or member will have a unique name PKnnnnnnnn (where nn represents an internal random number). When the file has been completed successfully, the temporary name will be renamed to the specified name in the ARCHIVE parameter. If this is a process in which an old archive is being updated, then (if successful) the old archive will be deleted before the rename. If a problem occurs, the temporary archive may stay with the temporary name. View the job log if this happens to determine the status of the archive.

#### "New" ZIP Archive

When the processing of the temporary dataset is finalized, *PKZIP*<sup>*i*</sup> creates a new ZIPPED archive that is the modified "after" version of the old archive. The modified name of the old archive and specified allocation information is transferred automatically to the new archive after updating, and the old Archive is deleted. A new ZIP archive is created when an old ZIP archive is updated, or when a TYPE(\*ADD) parameter (see Chapter 7) is used with *PKZIP*<sup>*i*</sup> where there is no old ZIP archive.

#### **Self-Extracting Archive**

The self extracting programs are held as binary entities in the file PKZIPSFX of the  $PKZIP^{i}$  library. The appropriate member is loaded and the executable data copied to the beginning of the Archive as a preamble when requested.

The resulting archive can still be processed by  $PKZIP^{i}$  as a normal ZIP Archive.

When an input archive containing a self-extraction preamble is passed to **PKZIP** for PKZIP processing and no value is supplied by SELFXTRACT, the default of \*MAINTAIN will keep any preamble if one exist. If the parameter SELFXTRACT(\*REMOVE) is supplied then the PREAMBLE is removed when writing the new archive.

A self-extracting archive can be created from an existing archive by using SELFXTRACT with a valid self-extractor. If the original archive contained a preamble, it will be removed and the newly specified preamble will be inserted.

When transferring a self-extracting archive to a target system, be sure to transfer the archive in binary format and adhere to requirements for executables in that environment. (For example, a Windows program should be saved with an application extension of EXE, and a UNIX file attribute should have executable authorization set via the UNIX chmod command).

The self-extraction programs provided are at the 2.5 level of PKZIP. As such, the following restrictions apply to the operation of the self-extraction program(s). Care should be taken to control the creation of the self-extracting archive within these restrictions, although the resulting archive may still be processed with PKZIP programs at higher levels that support these features.

- The number of files in the archive should be limited to 65,535 or less.
- Strong encryption is not supported.
- The size of the archive should not exceed 2 gigabytes: Most Windows and UNIX systems will not load executables larger than 2 GB.
- The uncompressed size of individual files should be less than 2 gigabytes for target systems which are Windows or LINUX (less than 4 gigabytes for other UNIX systems).

To assist in the usage of the self-extraction programs on the target systems, some of the command parameters are listed below. Note that some parameters may not be valid on all systems. By executing the transferred self-extracting archive on the target system with "-help", the commands syntax appropriate to that system will be displayed.

```
Usage: sfx.exe [options] [.ZIP archive] [files...]
Where sfx.exe = the name of the self-extracting executable file
Options:
after
             extract files that are newer than or equal to a specified date
                suboptions:
                   "date specification" [format: mmddyy or mmddyyyy]
                e.g.: sfx.exe -aft=12311999 file.zip
before
             extract files that are older than a specified date
                suboptions:
                   "date specification" [format: mmddyy or mmddyyyy]
                e.g.: sfx.exe -bef=12311999 file.zip
console
             display the contents of specified archived files on your screen
                e.g.: sfx.exe -con= file.zip readme.txt
directories recreate directory path while extracting including any
                   sub-directories
                e.g.: sfx.exe -dir file.zip
exclude
             exclude specified files from being extracted
                e.g.: sfx.exe -exc=*.txt file.zip
             extract files from the .ZIP archive
extract
                suboptions:
                   all [extract everything in archive]
                   freshen [extract if newer than destination copy]
                   update [extract if newer or not in destination directory]
                e.g.: sfx.exe -ext=all file.zip
help
             display help screen
                e.g.: sfx.exe -help
           preserve original file uid/gid. Must be root/file owner (UNIX only)
Τđ
include
             include specified files for extraction
                e.g.: sfx.exe -inc=*.txt file.zip
larger
             extract files that are the specified size (in bytes) and larger
                suboptions:
                   a numerical value (in bytes) that indicates a minimum desired
                   file size
                e.g.:sfx.exe -larger=400
license
             displays license information
                e.g.: sfx.exe -lic
locale
             reads and/or adjusts the locale variable for date and time format
             input
                suboptions:
                   environment [read system variable and apply accordingly]
                   "valid country name" [for example locale=germany]
                e.g.: sfx.exe -loc=us -aft=12311999 file.zip
lowercase
             change filenames to lower case on extraction
                e.g.: sfx.exe -lowercase
mask
             remove specified file attributes upon extraction
                suboptions:
                   archive [mask archive attribute from file(s)/folder(s)]
                   hidden [mask hidden attribute from file(s)/folder(s)]
                   system [mask system attribute from file(s)/folder(s)]
                   readonly [mask read-only attribute from file(s)/folder(s)]
                   none [do not mask attributes from file(s)/folder(s)]
                   all [mask all attributes from file(s)/folder(s)]
                e.g.: sfx.exe -mask=archive, readonly file.zip
             display output one screen at a time
more
                e.g.: sfx.exe -more file.zip
```

```
newer
             process only those files that are newer than a specified
             (calendar) day in the past
                suboptions:
                  a numerical value (in calendar days) that indicates some
                  date in the past relative to the current date
                e.g.: sfx.exe -newer=2
             suppress the extraction of extended attributes
noextended
                e.g.: sfx.exe -noex file.zip
older
             process only those files that are older than a specified
             (calendar) day in the past
                suboptions:
                  a numerical value (in calendar days) that indicates some
                  date in the past relative to the current date
                e.g.: sfx.exe -older=2
overwrite
             overwrite existing files
                   prompt [prompt before overwriting]
                   all [always overwrite]
                   never [never overwrite]
                e.g.: sfx.exe -o=all file.zip
password
             specify a decryption password
                e.g.: sfx.exe -pass=grendel file.zip
print
             print the specified archived file
                suboptions:
                   "print device name" [for example print=lpt1]
                e.g.: sfx.exe -print=lpt2 file.zip readme.txt
silent
             suppress warning messages when extracting
                e.g.: sfx.exe -silent file.zip
smaller
             extract files that are the specified size (in bytes) and
             smaller
                suboptions:
                   a numerical value (in bytes) that indicates a maximum desire
                   file size
                e.g.:sfx.exe -smaller=400
sort
             sort files when extracting
                suboptions:
                   crc [sort by crc value]
                   date [sort by date of the file]
                   extension [sort by file extension]
                   name [sort by file name]
                   natural [sort in the order that the file was archived]
                   ratio [sort by compression ratio]
                   size [sort by file size]
                   none [do not sort]
                e.g.: sfx.exe -sort=size file.zip
test
             test the integrity of archived files
                suboptions:
                   all [test everything in archive]
                   freshen [test if newer than destination copy]
                   update [test if newer or not in destination directory]
                e.g.: sfx.exe -test=all file.zip
times
             preserve specified file date/time stamp
                suboptions:
                   access [preserve accessed date/time stamp on extraction]
                   modify [preserve modified date/time stamp on extraction]
                   create [preserve created date/time stamp on extraction]
                   all [preserve all date/time stamps on extraction]
                   none [do not preserve date/time stamps on extraction]
                e.g.: sfx.exe -time=access,modify file.zip
translate
             translate the end of line sequence for give operating system
```

```
suboptions:
                   DOS [convert to DOS style line endings]
                   MAC [convert to MAC style line endings]
                   unix [convert to unix style line endings]
                e.g.:sfx.exe -translate=unix
version
             display SFX version and return appropriate value to the shell
                suboptions:
                   major [return major version number]
                   minor [return minor version number]
                   step [return step or patch version number]
                e.g.: sfx.exe -ver=step
             restore the volume label when extracting
volume
                e.g.: sfx.exe -vol file.zip
warning
             prompt to continue after warning message
                e.g.: sfx.exe -warn file.zip
```

#### **Data Format - Text Records vs. Binary Records**

Binary data is stored in a ZIPPED archive in its original format. Binary data may be graphics or numbers that are already in "computer format." Therefore, no translation is done, and EBCDIC will remain EBCDIC. The length of binary records in UNZIP processing is determined by the archive's fixed-length records. *PKZIP* will fill the available block automatically according to allocation specifications.

In the context of ZIPPED archives, a "text file" is one that is stored in the ASCII format. A text file contains records of data, each separated by a delimiter to signify the end of the record.

**Note:** An EBCDIC file containing text information (such as source code) can be stored in its original format by using BINARY, but it is not considered to be a "text" file within the ZIP architecture.

 $PKZIP^{j}$  uses the default line delimiter CR-LF (X'0D0A') at the end of each text record. Text file members in the QSYS library file system use new line characters (NL=X'15') internally.  $PKZIP^{j}$  will handle the CR-LF and NL in both extraction and compressions automatically.

At the time of PKUNZIP file extraction,  $PKZIP^i$  will convert text data from ASCII to EBCDIC by using a translation table. During installation, several translation tables are available, and the customization process will select one of the translation tables as a default. Additional translation tables may be created through the customizing procedure.

Situations may arise in unique platform interchanges, or when working with text files from other countries where the default text translation table is not adequate. Users may select any available translation table by using TRAN and FTRAN parameters.

 $PKZIP^{j}$  extracts text records stored in the ZIP archive by examining data for record delimiter and file terminator indicators. Using these indicators,  $PKZIP^{j}$  aligns records in accordance with target file attributes.

Text files (such as program source code) are held within an archive using the ASCII character set for compatibility with other versions of **PKZIP**<sup>®</sup>. For these to be usable on OS/400, they must be converted to the IBM EBCDIC character set. Additionally, the carriage return and line feed characters must be removed before writing lines to

a file because OS/400 files are record-based and do not use control characters to separate records or lines. Text files usually have spaces at the end of a line. When using the text file handlers,  $PKZIP^{i}$  has less data to read because the input/output routines remove trailing spaces and replace them with a new line character. This improves  $PKZIP^{i}$  performance.

When extracting files from an archive,  $PKZIP^{i}$  must know whether to perform text conversions.  $PKZIP^{i}$  stores an indicator in the archive file's local header defining if a file is binary or text-based. Because this indicator may be wrong in some circumstances, use the FILETYPE keyword to specify whether text conversions are required. When adding files to an archive,  $PKZIP^{i}$  will flag the file according to the FILETYPE used.

**PKZIP** uses translation tables that should be suitable for most customers, but some users may wish to alter the tables. The procedure for changing the translation tables is discussed. If text files are only used on iSeries, then the FILETYPE(\*EBCDIC) may be used. This uses iSeries files "as is" for the file (which are faster for text files), but does not translate the data to ASCII. This will provide a small improvement in performance.

Additionally, **PKZIP** will translate each character in a text file from EBCDIC character format to ASCII character format by default. This is done using one of the two internal translation tables, which are named UKASCII and USASCII. It is recognized that these translation tables may not suffice for all countries or all situations, especially on those sites where text files are received from several different countries for processing into a single format. The source of the translation tables used by the PKZIP and PKUNZIP programs has been supplied, together with instructions for modifying the tables to create additional files (see Appendix D for details). This enables sites to modify the translation table as required.

In a case where FILETYPE is neither \*TEXT nor \*BINARY, \*DETECT is the default mode. **PKZIP** will read up to 64K of data from the input file and scan it for non-translatable text characters using the active text translation table. If any characters will not translate successfully using this method, the entire file will be treated as if \*BINARY has been used.

**Note:** One exception to this is X'00' or the NULL terminator character, which is commonly used in C language. The NULL character will be allowed within the files. If file type is of a file in the archive is unknown whether it is text or binary, the user may use the TYPE(\*VIEW) and VIEWOPT(\*DETAIL) parameters to examine the file attributes.

#### File Attributes

Within each ZIP archive there are two different directories providing information about the files held in that archive. A local directory is included at the front of each file, with information pertaining to each file (for example: file size and date ZIPPED), and a central directory is located at the end of the ZIP archive. The central directory lists the complete contents of the ZIP archive and is the primary source of information for UNZIP processing.

**PKZIP** stores extended attributes about the file that can be useful in recreating the file during UNZIP processing. See the *System Administrator's Guide*.

#### **PC Shared Drives Format**

One common mistake made when extracting a text file to a shared drive folder in the IFS where the file will be used by a Windows application is to extract the file in text mode. Extracting a file as a TEXT file on the iSeries will cause PKUNZIP to translate the file to the EBCDIC format since this is the native iSeries format. The Windows application expects the file to be in ASCII, so therefore this file should be extracted using binary, since the files are stored in ASCII in the archive.

4

## **File Extraction Process**

#### **Extracting Files to the QSYS Library File System**

When extracting files to the QSYS library file system using TYPFL2ZP(\*DB), some items to consider are 1) does the file exist or will a new file be create?, 2) did the file come from  $PKZIP^{i}$  or did it come from another platform?, 3) are the files text type files from another platform where I need to know the record length, etc. These are just a few questions that might impact how you want to extract the files.

If the file does <u>not</u> exist and if the file did not come from  $PKZIP^{i}$ , you should provide a record length for the file with the parameter DFTDBRECLN. If the file is coming from  $PKZIP^{i}$  or PKZIP for zSeries the record length will be in the extra data. If the file is from  $PKZIP^{i}$  and the parameter was DBSERVICE(\*YES), the complete database definition from the extract data for database will be used to create the file.

If the file is to be created as text and the record length is too short then you will receive messages indicating the records are being truncated.

Two common parameters that are used to alter or guide the extraction process are the EXDIR and DROPPATH parameters. EXDIR provides the path library or library/file that the file will be extracted to when no library or path exist for the files in the archive. Of course, this is where the DROPPATH comes in to drop the first path or library with \*LIB or to remove all paths in a name with \*ALL.

For example, files in an archive might look like this:

```
Archive/#1:
My Document/myfiles/test/myheader.txt
My Document/myfiles/test/mydata.txt
My Document/myfiles/test/mytrailer.txt

Archive/#2:
QGPL/QCLSRC/MYCL01
QGPL/QCLSRC/MYCL02
QGPL/QCLSRC/MYCL03
QGPL/QCLSRC/MYCL04
```

In archive #1 lets assume that all three text files are of different records lengths. If we want to extract each with their own length, we would have to make three runs to

create the files with different parameters. Or we could use CRTPF and create each of the files so the files would exist with the proper record length.

- → PKUNZIP ARCHIVE('Archive/#1') FILES('My Document/myfiles/test/myheader.txt') TYPE(\*EXTRACT) EXDIR('MYLIB/MYHEADER') DROPPATH(\*ALL) DFTDBRECLN(50) CVTTYPE(\*DROP)
- → PKUNZIP ARCHIVE('Archive/#1') FILES('My Document/myfiles/test/mydata.txt') TYPE(\*EXTRACT) EXDIR('MYLIB/MYDATA') DROPPATH(\*ALL) DFTDBRECLN(150) CVTTYPE(\*DROP)
- → PKUNZIP ARCHIVE('Archive/#1') FILES('My Document/myfiles/test/myheader.txt') TYPE(\*EXTRACT) EXDIR('MYLIB/MYTRAILER') DROPPATH(\*ALL) DFTDBRECLN(20) CVTTYPE(\*DROP)

The commands above would create three files in library MYLIB, with all files having different record lengths.

Now suppose the files already exist with the names and record lengths. In this case, we could do all three files at once with:

## → PKUNZIP ARCHIVE('Archive/#1') TYPE(\*EXTRACT) EXDIR('MYLIB/?MBR') DROPPATH(\*ALL) CVTTYPE(\*DROP) OVERWRITE(\*YES)

The MBR will force each member name to also become the file name.

In archive #2, let's assume that we want to extract the CL source member and place them in a different library call MYNEWLIB. If the QCLSRC file does not exist and the archive was not built with DBSERVICE(\*YES), then you would need to do a

#### → CRTSRCPF FILE(MYNEWLIB/QCLSRC)

to have the file setup correctly for source files. If the QCLSRC file already exist in MYNEWLIB or the archive was built with DBSERVICE(\*YES), then no special handling is required.

## **Authority Settings**

When extracting files into the QSYS library file system, whether the files came from the AS/400 or another platform, the authorities are not taken from the archive, but from the user's current environment settings. The file's authority is not stored in the archive.

If a library is required to be created, PKUNZIP would create the library as if the current user was issuing a CRTLIB command. For standard settings, it might create the library with the following authority settings:

	Data	Obje	ct Au	thoriti	es
User *PUBLIC	Authority *RWX	Exist	Mgt	Alter	Ref
USER	*RWX	x	x	x	х.

If the file does not exist, PKUNZIP will be required to create the file. If the file does exist no authorities are changed. If the file is created, the authority will be the same as if the user was issuing a CRTPF command in their environment. For most standard settings, it would create the file with the following authority settings:

	Data	Objec	t Aut	horitie	s
User	Authority	Exist	Mgt	Alter	Ref
*PUBLIC	*RWX				
MYOWNER	*RWX	x	X	x	X

### **Extracting Files to the IFS**

When extracting files to the integrated file system with TYPFL2ZP(\*IFS), record lengths are not a concern as they were in the QSYS library file system. The main considerations when extracting to the IFS is "what paths do you want for the file, or should the file be stored in EBCDIC or ASCII".

#### **Path Considerations**

If the name of files in the archive, starts with '/', then with no other changes this will be extracted to the root of the system with the first name in the path. This form of pathname is called a fully qualified path.

If the name does not start with a '/', the item will be extracted to the paths based on the current directory (DSPCURDIR). This form of pathname is called a relative path.

In both cases if the path(s) does not exist, the path(s) will be created with the attributes of the parent folder.

## Changing the path(s)

In cases where the path that is stored with a name of the file in archive is not desired, then using the EXDIR and DROPPATH parameters should help guide the file to where it should be placed.

Using EXDIR, you can define the path of the file(s) that will be extracted. If you need to remove the path of the file in the archive, you can use DROPPATH(\*ALL) to remove all the paths before extracting or you can use DROPPATH(\*LIB) to remove only the first path name.

Again the coding of EXDIR follows the same rule with regards to fully qualified path or relative path.

## File Type Considerations

When extracting a file, the decision to whether the contents of file should be stored in ASCII or EBCDIC needs to be made.

If the file is not a text file, it does not matter and should be stored as binary. If the file is text, and will be used by a PC program, chances are the data is expected to be in ASCII. Since the files are stored in the archive as ASCII, these files should be extracted as TYPEFILE(\*BINARY). If the file is to be used by an AS/400 application or will be translated later, then chances are the file should be stored in EBCDIC. In this case use TYPEFILE(\*TEXT) to extract the file in EBCDIC.

#### **Authority Settings**

If directories are required to be created during the extraction, the authority settings will be created according to the create directory definitions of the DTAAUT(\*INDIR) and OBJAUT(\*INDIR) parameters.

The authority for the directory being created is determined by the directory it is being created in. The directory immediately preceding the new directory determines the authority. A directory created in the root is assigned the public authority given to objects in the root directory. A directory created in QDLS for a folder defaults to \*EXCLUDE for a first level folder. If created in the second level or greater, the authority of the previous level is used. The QOpenSys and root file systems use the parent directory's DTAAUT value.

The object authority is based on the authority for the directory where this directory is being created.

For IFS files, the access permissions flags of the file are captured. For example:

- S\_IRUSR Read permission for the file owner
- S\_IWUSR Write permission for the file owner
- S\_IXUSR Search permission (for a directory) or execute permission (for a file) for the file owner
- S\_IRGRP Read permission for the file's group
- S\_IWGRP Write permission for the file's group
- S\_IXGRP Search permission (for a directory) or execute permission (for a file) for the file's group
- S\_IROTH General read permission
- S\_IWOTH General write permission
- S\_IXOTH General search permission (for a directory) or general execute permission (for a file)

These access permission flags will be set for the owner that is running the PKUNZIP job and not the original owner.

Other user permissions from the parent folder will also be set for the file.

For example, the folder being extracted into has \*PUBLIC as \*EXCLUDE, the extracted file will also have \*PUBLIC as \*EXCLUDE.

## Extracting zSeries Variable Length Records (RDW/ZDW)

In the zSeries, PKZIP can compress variable length records and store the files known as RDW or ZDW into an archive. The format of these records contains a 4 byte length (store in little Endian) followed by the record itself for that length. These records are stored in binary, therefore EBCDIC.

By using the TYPE(\*DETECT), PKZIP will remove the record length before extracting the records. The ending format will differ depending on if the extraction is to a database file or to the IFS.

To extract to a fixed length database file, each record will be extracted and placed in the database forcing each record to be a fixed record in the database with no translation.

To extract to the IFS, each record will have a New Line (NL 0x15) character inserted at the end of each record. The records are still variable in length but with a separator. If the file was an object or load module, the results will be unpredictable.

If a file is extracted with TYPE(\*TEXT), the results are unpredictable.

If a file is extracted with type(\*BINARY), then the file is extract as is, including the 4 byte length field in front of each record.

#### **Extracting Spool Files**

When extracting spool files with PKUNZIP, the attributes at the time of compression, will be preserved except for new spool file numbers. That will be generated. Parameter SPLUSRID is for the user ID on the new extracted spool file. If it is \*DFT the original user ID will stay with the new spool file. Parameter SFQUEUE is for the OUTYQ and OUTQ library that the new extracted spool file will be placed. If \*DFT is specified then the original OUTQ will be used to place the spool file.

Note on extracting Spool Files: To create or extract spool file with PKUNZIP, the user must have \*USE authority to the API QSPCRTSP. The normal setting for the API QSPCRTSP is Authority PUBLIC(\*EXCLUDE). The API authority is set this way so that system administrators can control the use of this API. This API has security implications because you can create spooled file from the data of another spooled file. To allow user to extract spool files change the API authority on a need basis.

When extracting a spool file with PKUNZIP, the new spooled file will be created with attributes based on values taken from the spooled file attributes when PKZIP archived the spool file. The spool file's file number, job, job user, job number, date, and time are controlled by IBM OS/400 operating system during the creation of a spool file.

The new spool file that is created by the PKUNZIP is spooled under one of two jobs and is dictated by IBM's create spool file API. The job is determined by the username field from the attributes. If the user name is the current user, it is a part of the user's job and is owned by the user profile that the job was started with. First the user profile for the user name must already exist. When using the user id override (parameter SPLUSRID), the spool file will be now belong to the override user.

If the ownership of the new spooled file is assigned to a different user by a different user profile name in the user-name field from the attributes, then the current user must have \*SPLCTL authority to assign the spooled file to another user. When this is done, the new spooled file is by the user specified in the user name field or override parameter. The new spooled file is then part of a special system job (QPRTJOB) that is created for each user.

The new spooled file is placed on the output queue specified in the output queue name field from the original spool file attributes. If the parameter SFQUEUE is used it will override the attribute for the output queue.

In both cases, the spooled file name is the one contained in the spooled file attributes parameter. The spooled file number will be the next sequential one available for the job that the spooled file becomes a part of.

OS/400 authority requirements when extracting spool files:

- Special Authority \*SPLCTL. This authority is needed if you are creating a spooled file for another user.
- Output Queue Authority -\* USE
- Output Queue Library Authority \*EXECUTE
- Object QSPCRTSP API Authority -\* USE

The following are several examples of results of extracting spool files:

Start with archiving the following spool files that were created with job MYJOB1 and user EVWSS:

File	File Nbr	Job	User	Number	Date	Time
QSYSPRT	397	MYJOB1	EVWSS	010893	12/11/02	13:35:29
QSYSPRT	398	MYJOB1	EVWSS	010893	12/11/02	13:36:09
QSYSPRT	399	MYJOB1	EVWSS	010893	12/11/02	13:36:09

Now extract with job MYJOB1 and user EVWSS but now on a different day and job number:

File	File Nbr	Job	User	Number	Date	Time
QSYSPRT	2	MYJOB1	EVWSS	010927	12/12/02	09:57:42
QSYSPRT	3	MYJOB1	EVWSS	010927	12/12/02	09:57:42
QSYSPRT	4	MYJOB1	EVWSS	010927	12/12/02	09:57:42

Next extract with job MYJOB2 and user EVWSS but now on a different day and job number:

File	File Nbr	Job	User	Number	Date	Time
QSYSPRT	2	MYJOB2	EVWSS	010928	12/12/02	09:59:06
QSYSPRT	3	MYJOB2	EVWSS	010928	12/12/02	09:59:06
QSYSPRT	4	MYJOB2	EVWSS	010928	12/12/02	09:59:06

Next, using the user, override with the SPLUSRID(WSS) and submit MYJOB1 with user EVWSS.

File	File Nbr	Job	User	Number	Date	Time
QSYSPRT	26	QPRTJOB	WSS	010118	12/12/02	10:02:50
QSYSPRT	27	QPRTJOB	WSS	010118	12/12/02	10:02:50
QSYSPRT	28	QPRTJOB	WSS	010118	12/12/02	10:02:50

Notice that the job was changed to QPRTJOB since the user being extracted was different than the user running the Job.

Next, signed on as user WSS, submit a job MYJOB11 with the job parameter USER profile specified for user EVWSS.

## → SBMJOB CMD(PKUNZIP ARCHIVE('atest/splftst/tst02') TYPE(\*EXTRACT)) JOB(MYJOB11) USER(EVWSS)

File	File Nbr	Job	User	Number	Date	Time
QSYSPRT	2	MYJOB11	EVWSS	010936	12/12/02	10:25:25
QSYSPRT	3	MYJOB11	EVWSS	010936	12/12/02	10:25:25
QSYSPRT	4	MYJOB11	EVWSS	010936	12/12/02	10:25:25

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## **iSeries File Processing Support**

**PKZIP** can support files maintained in both the traditional QSYS library file system and in IFS (integrated file system) along with supporting spool files.

## **QSYS (Library File System)**

The QSYS file system supports the iSeries library structure. This file system provides access to database files and all other iSeries object types that the library manages. On the IBM iSeries system, each QSYS type file (also called a file object) has a description that details the file characteristics and how the data associated with the file is organized into records, and, in many cases, the fields associated for each record. Whenever a file is processed, the iSeries uses this description.

Of the objects in the library system, **PKZIP** will only process physical files that have an attribute type of PF-DTA (physical data files), PF-SRC (physical source file), or SAVF (save files).

QSYS files always exist in a library, and the PF-DTA and PF-SRC files (if data exist) will always have one to many members in the file. Therefore, PF-DTA and PF-SRC files have a name format of "library/file(member)." A SAVF (a special type of iSeries file for saving and restoring iSeries objects) does not have any members giving a file format of "library/file." Because SAVF types are handled in a special way, they are given additional consideration (see SAVF and use of SAVF method).

## **QSYS Summary**

If the archive file is to be in the QSYS library system, set parameter TYPARCHFL(\*DB).

If the file being compressed or extracted is in the QSYS library system, set parameter TYPFL2ZP(\*DB).

If the list files (see Appendix C) are to be in the QSYS library system, set parameter TYPLISTFL(\*DB).

#### Format Summary:

PF-DTA LIBRARY/FILE(MEMBER)
PF-SRC LIBRARY/FILE(MEMBER)

SAVF LIBRARY/FILE

## **IFS (Integrated File System)**

The integrated file system is a part of iSeries which supports stream input/output and storage management similar to personal computer and UNIX operating systems, while providing an integrating structure over all information stored in the iSeries.

The key features of the integrated file system are:

- Support for storing information in stream files that can contain long continuous strings of data. These strings of data might be, for example, the text of a document or the picture elements in a picture. The stream file support is designed for efficient use in client/server applications.
- A hierarchical directory structure that allows objects to be organized by specifying the path through the directories to an object for access to an object.
- A common view of stream files stored locally on iSeries, Integrated Netfinity Server for iSeries, or a remote Windows NT server. Stream files can also be stored remotely on a local Area Network (LAN) server.

#### **Directories and Current Directory**

A *directory* is a special object that is used to locate objects by names specified by users. Each directory contains a list of objects that are attached to it, and that list may include other directories.

The *current directory* is the first directory in which the operating system locates files, and where it also stores temporary files and output files. When you request an operation for an object, such as a file, the system searches for the object in the current directory, unless a different directory path is specified. The current directory is similar in nature to the current library. If the file selection does not start with '/' (Root Directory), the files should be in the path of the current directory.

#### Path and Path Names

A path name (also called a pathname on some systems) informs the system how to locate an object. The path name is expressed as a sequence of directory names followed by the name of the object. Individual directories and the object name are separated by a slash (/) character. An example might be: directory1/directory2/file.

For convenience, the back slash (\) can be used instead of the slash in integrated file system commands.

There are two ways of indicating a path name:

An absolute path name begins at the highest level, or root directory (which is identified by the / character). For example, consider the following path from the / directory to the file named testit: /mydept/myfiles/testit.

If the path name does not begin with the / character, the system assumes that the path begins at your current directory. This type of path name is called a *relative path name*. For example, if your current directory is *mydept* and it has a sub-directory named *myfiles* containing the file *testit*, the relative path name to the file is: *myfiles/testit*. Notice that the path name does not include the name of the current directory. The first item in the name is the directory or object at the next level below the current directory.

#### Stream Files

A *stream file* is a randomly accessible sequence of bytes with no further structure imposed by the system. The integrated file system provides support for storing and operating on information in the form of stream files. Documents that are stored in iSeries folders are stream files. Other examples of stream files are PC files and the files in UNIX systems. An integrated file system stream file is a system object that has an object type of \*STMF.

#### **Other IFS Objects**

There are other object types (such as link objects, etc.) in the IFS which at this time are not supported by  $PKZIP^{i}$ .

#### File Systems in the IFS

There are currently ten (10) file systems that are part of the integrated file system. Each file system is a major sub-tree in the IFS directory structure. A file system provides the support to access specific segments of storage that are organized as logical units. These logical units on the iSeries are files, directories, libraries, and objects.

Each of these file systems has a set of logical structures and rules for interacting with information in storage. These structures and rules may be (and often are) different from one file system to another. The IFS treats the library support and folders support as separate file systems.

The ten file systems are:

- "root" / file system. This file system takes full advantage of stream file support and hierarchical directory structure of the integrated file system. The root file system has the characteristics of the Disk Operating System (DOS) and OS/2 file systems. Most of references throughout this guide refer to the "root" system.
- QDLS Document Library Services file system. This file system provides access to documents and folders. See IBM's Office Services Concepts and Programmer's Guide (SH21-0703) for additional information.
- **QOPT Optical file system**. This file system provides access to stream data that is stored on optical media (such as CDs). See IBM's *Optical Support* (SC41-5310) for additional information.
- QSYS.LIB Library file system. This file system supports the iSeries library structure and provides access to database files and all of the other iSeries object types that the library support manages.

- NFS Network File System. This file system provides the user with access to data and objects that are stored on a remote NFS server. An NFS server can export a network file system that NFS clients will then mount dynamically. See IBM's OS/400 Network File System Support (SC41-5714) for additional information.
- **QFileSvr.400.** This file system provides access to other file systems that reside on remote iSeries systems. See IBM's *Integrated File System Introduction (SC41-5711)* for additional information.
- QNetWare QNetWare file system. This file system provides access to local or remote data and objects that are stored on a server that runs Novell NetWare 4.10 or 4.11 or to standalone PC servers running Novell Netware 3.12, 4.10, 4.11, or 5.0. A user can mount NetWare file systems over existing local file systems dynamically. See *File Management (SC41-5710)* for additional information.
- QNTC Windows NT Server file system. This file system provides access to data and objects that are stored on a server running Windows NT 4.0 or higher. It allows iSeries applications to use the same data as Windows NT clients. This includes access to the data on a Windows NT Server that is running on an integrated PC Server. See IBM's OS/400-iSeries Integration with Windows NT Server (SC41-5439) for details.
- QOpenSys Open Systems file system. This file system is compatible with UNIX-based open system standards, such as POSIX and XPG. Like the root file system, this file system takes advantage of the stream file and directory support that is provided by the integrated file system. In addition, it supports case-sensitive object names. See IBM's *Integrated File System Introduction (SC41-5711)* for additional information.
- **UDFS User-Defined File System.** This file system resides on the Auxiliary storage pool (ASP) of the user's choice. The user creates and manages this file system. See IBM's *Integrated File System Introduction (SC41-5711)* for additional information.

**PKZIP** works with all file systems, but the rules of each file system must be adhered to or a file I/O error will most likely occur. In most cases, the files can be compressed and extracted in one run when all the file names and paths meet the file system's rules. When creating an archive file in one file system, one restriction is that when using the TMPPATH option, the temp path must also be in the same file system as the archive files.

On the following pages are rules for some of the most used file systems.

## **Document Library Services File System (QDLS)**

The QDLS file system supports the folders structure. It provides access to documents and folders. Additionally, it supports iSeries folders and document library objects (DLOs) and supports data stored in stream files.

Considerations and Limitations:

 You must be enrolled in the system distribution directory when working with objects in QDLS.

- QDLS converts the lowercase English alphabetic characters *a* through *z* to uppercase when used in object names. Therefore, a search for object names using only those characters is not case sensitive. All other characters are case sensitive in QDLS.
- Each component of the path name can consist of just a name, such as: /QDLS/MYFLR1/MYDOC1 - or - a name plus an extension (similar to a DOS file extension), such as: /QDLS/MYFLR1/MYDOC1.TXT.
- The name in each component can be up to 8 characters long, and the extension (if any) can be up to 3 characters long. The maximum length of the path name is 82 characters, assuming an absolute path name that begins with /ODLS.
- The directory hierarchy within QDLS can be 32 levels deep.
- Must have proper authority within the path.
- The folders in the path must already exist.
- PKZIP will not create folders at this time.
- For more details, see the "Rules for Specifying Folder and Document Names" discussion in the publication *CL Reference*.

#### **Optical File System (QOPT)**

The QOPT file system provides access to stream data that is stored on optical media (such as CDs). Additionally, it provides a hierarchical directory structure (similar to PC operating systems such as DOS and OS/2), is optimized for stream file input/output, and supports data stored in stream files (known as DSTMF or Distributed Stream Files).

#### Considerations and Limitations:

- QOPT converts the lowercase English alphabetic characters a to z to uppercase when used in object names. Therefore, a search for object names using only those characters is not case-sensitive. For more details, see the publication *Optical Support*.
- The path name must begin with a slash (/) and contain no more than 294 characters. The path is made up of the file system name, the volume name, the directory and sub-directory names, and the file name. For example: /QOPT/VOLUMENAME/DIRECTORYNAME/SUBDIRECTORYNAME/FILENAME
- The file system name (/QOPT) is required.
- The volume name is required and can be up to 32 characters long.
- You can include one or more directories or sub-directories in the path name, but QOPT requires none. The total number of characters in all directory names and sub-directory names (including the leading slash) cannot exceed 256 characters. Directory and file names allow any character except X'00' through X'3F', X'FF', lowercase alphabetic characters, and the following characters:
  - Asterisk (\*)
  - Hyphen (-)
  - Question mark (?)

- Quotation mark (")
- Greater than (>)
- Less than (<)
- The file name is the last element in the path name. The file name length is limited by the directory name length in the path. The directory names and file name combined cannot exceed 256 characters, including the leading slash.

For more details on path name rules in the QOPT file system, see the "Path Name Rules" discussion in the publication *Optical Support*.

#### Using QSYS.LIB via the Integrated File System Interface

Even though **PKZIP** accesses the QSYS library file system directly, there is an ability to access the QSYS.LIB file system through the integrated file system interface. In using the integrated file system interface, you should be aware of the following considerations and limitations:

- File handling restrictions in the QSYS.LIB file system are:
- Logical files are not supported.
- Physical files supported for text mode access are program-described physical files containing a single field and source physical files containing a single text field. Physical files supported for binary mode access include externallydescribed physical files in addition to files supported for text mode access.
- If any job has a database file member open, only one job is given write access to that file member at any given time. Other requests are allowed read-only access.
- In general, the QSYS.LIB file system does not distinguish between uppercase and lowercase in the names of objects. A search for object names achieves the same result, regardless of whether characters in the names are uppercase or lowercase. If a name is enclosed in quotation marks, the case of each character in the name is preserved. A search involving quoted names, therefore, is sensitive to the case of the characters in the quoted name.
- Each component of the path name must contain the object name followed by the object type of the object. For example: /QSYS.LIB/TESTLIB.LIB/MYFILE.FILE/MYFILE.MBR. The object name and object type are separated by a period (.). Objects in a library can have the same name if they are different object types, so the object type must be specified uniquely to identify the object.
- The object name in each component can be up to 10 characters long, and the object type can be up to 6 characters long.
- The directory hierarchy within QSYS.LIB can either be two or three levels deep (two or three components in the path name), depending on the type of object being accessed. If the object is a database file, the hierarchy can contain three levels (library, file, or member), otherwise, there can be only two levels (library or object). The combined length of each component name plus the number of directory levels determine the maximum length of the path name. If / and QSYS.LIB are included as the first two levels, the directory hierarchy for QSYS.LIB can be up to five levels deep.

• The characters in names are converted to code when the names are stored. Quoted names, however, are stored using the code page of the job.

For information about code pages, see the publication National Language Support.

#### **IFS Summary**

Only directories and stream files are supported by **PKZIP**<sup>i</sup>.

If the archive file is to be in IFS, set parameter TYPARCHFL(\*IFS).

If the file being compressed or extracted is in IFS, set parameter TYPFL2ZP(\*IFS)

If the files to be selected for compression are to be non-case sensitive set parameter TYPARCHFL(\*IFS2).

If the list files are to be in IFS (see Appendix C), set parameter TYPLISTFL(\*IFS). Format Summary:

Directory	Directory1/directory2	will be current directory
Stream File	filename or directory/filename	will be current directory
Full Path	/Directory1/Directory2/filename	

For more information, see the IBM publication *Integrated File System Introduction* (SC41-5711) or visit the IBM web site.

#### **SAVF**

SAVF, denoted by the OS/400 system TYPE(\*FILE) and ATTR(SAVF), is a special form of file designed specifically to handle save/restore data in the iSeries system.

Some SAVF special characteristics are:

- The SAVF is always processed as binary with all records being 528 characters in length.
- Only a save and restore iSeries function can update or change data.
- A SAVF will <u>not</u> be selected if a member name is included in the file specification.
- A SAVF is a means to compress other iSeries object types (programs, modules, commands, logical files, triggers, etc.) that are in the iSeries system by first doing a SAVLIB or SAVOBJ for those objects to a SAVF. Then you can compress and extract the SAVF.

## Compressing a SAVF file

The only difference when compressing a SAVF is not to specify a member (only library/file). If a member is specified, then no SAVF types will be compressed.

#### **Extracting Records into a SAVF file**

It is helpful before extracting records from a ZIP archive to be aware of what file names and file attributes are being stored for the compressed file. VIEWOPT( \*DETAIL) may be used on the archive to verify the information. An attribute is stored in the archive header that identifies if the file is a SAVF. The PKUNZIP program will also retain the original attribute from the extended attributes, such as SAVF description and library description.

A common problem in some iSeries environments is that some users may not have the authority to the SAVF commands which can result in failures.

#### **Overwriting Current SAVF File**

When extracting a compressed file, it may be desirable to overwrite the existing file. By using the OVERWRITE(\*YES) parameter, PKUNZIP will first issue a CLRSAVF command to clear the save file. This demonstrates why care should be taken when extracting a SAVF.

#### **Compressing Spool Files**

**PKZIP'** has the ability to select, compress and extract spool files. Not only can a spool file be compressed, they can be converted to other document formats that will allow the document file to be distributed and read by other media and software.

All spool files are eligible for compression but only spool file types \*SCS, \*IPDS are supported for text document conversion.

By using the PKZIP command and setting parameter TYPFL2ZP(\*SPL), other parameters will be shown to help select the spool files. To assist, a new command PKZSPOOL is provided to sequenced the selections and to eliminate parameters that are not valid for the selection of spool files.

Spool file parameters specifies the group of spool files that are to be selected. Eight positional values can be specified to select the spool files: the spool file name (SPLFILE), the spool file number (SPLNBR), the user that created the files (SFUSER), the OUTQ that the file is residing (SFQUEUE), the form type specified (SFFORM), the user data tag associated with the spool file (SFUSRDTA), the status of the spool file (SFSTATUS), or the specific job name/user name/job number (SFJOBNAM). Only files that meet all of the selection values will be selected. A sample of the default selection parameters is shown in the window below:

Selection sample using the PKZSPOOL command.

Print Form Type	*ALL *ALL *ALL	Form Type, *STD, *ALL User Data, *all *ALL, *READY, *HELD
Spool File Job Name User Job Number Spooled file number Target File Format Target File Name	*ALL *SPLF *GEN1	Job name, blank for all User Id Job Number 1-9999, *ALL, *LAST *SPLF, *TEXT, *PDF, *TEXT1
Type of processing	*ADD *SUPERFAST *DETECT *SPL	*ADD, *UPDATE, *FRESHEN  *NO, *FAST, *NORMAL, *MAX  *DETECT *TEXT *BINARY  *SPL

After defining what spool files are to be selected for compression, you will need to define the file format the spool file should be stored in the archive. At this time, there three formats: \*SPLF (spool file native mode), \*TEXT (ASCII text document with three variations of how a new page is handled) and \*PDF (Adobe portable document format).

For use with \*TEXT and \*PDF there are three variations of storing the file name in the archive with the parameter SFTGFILE. SFTGFILE (\*GEN1) will generate a very specific name using most of the spool file name attributes to form the file name so that it will not be a duplicated. The name will be built as follows:

"Job-Name/User-Name/#Job-Number/Spool-File-Name/Fspool-File-Number.Suffix"

For example: "MYJOB/BILLS/#152681/INVOICE/F0021.SPLF"

The suffix is dependent on the SFTARGET setting. \*SPLF can only be stored as SFTGFILE (\*GEN1).

SFTGFILE (\*GEN1P) will generate the same specific name generated by \*GEN1 except the '/' for folders will all be replaced by '.' to make the file name one lone name. For example:

MYJOB.BILLS.#152681.INVOICE.F0021.SPLF

SFTGFILE (\*GEN2) uses the spool file name and appends the spool file number followed by the suffix that is depended on the SFTARGET setting. Caution should be taken in that a duplicate file name in the archive could be created. An example of GEN2 is a spool file INVOICE with spool file number of 21 that will be converted to a text file will generate a file name of INVOICE21.TXT.

In cases where a very specific name is desired for the file in archive name, SFTGFILE() can be coded with the name. This is designed for selecting only one file at a time otherwise file names will be duplicated. Alternatively, you could add coding to the CVTNAME routine and use the CVTFLAG to generate the desired file name.

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# iSeries PKWARE Save/Restore Application Feature (iPSRA)

**PKZIP** will not only compress/encrypt iSeries save files, but with the new 8.2 iSeries PKWARE Save/Restore Application (iPSRA) feature, **PKZIP** can save application data directly to a file in an archive. This process produces a result similar to creating a save file first and then compressing and/or encrypting the save file into an archive. The iPSRA feature saves time and disk space that would otherwise be required if the save had to be explicitly created first. The feature can be used to supplement your existing backup/recovery procedures and systems on the iSeries.

iPSRA provides a method that will assist not only with compressing your save data but with encrypting the data for offsite storage of critical, sensitive data objects. In addition, PKZIP/SecureZIP provides the ability to execute many save operations with one compression run, versus running many individual save commands.

To use this feature, the user must have a working knowledge of the save/restore commands in their native mode. The same uses and restrictions apply to the save/restore commands in  $PKZIP^i$  as to the native commands. The use and format of the outfiles with any of the save or restore commands are the same as with the native commands. For information about the native save and restore commands, see the IBM manuals that describe these commands, or the IBM Web site:

http://publib.boulder.ibm.com/html/as400/infocenter.html

## **How iSeries Save/Restore Application Works**

iPSRA utilizes the proven technology of the current compression and encryption provided by **PKZIP/SecureZIP for iSeries** along with the IBM iSeries Save and Restore systems and APIs.

The use of the iPSRA feature is as easy as entering the SAV, SAVOBJ and/or SAVOBJ command as one or more of the PKZIP FILES parameters and starting the command with a '–' or '?'. If the FILES parameter starts with a '?', then PKZIP will prompt the command entered in the FILES parameter before continuing the processing of other command parameters. The '–' will assume that the full command has been entered and will only perform a validation of the command. All IBM restrictions and security requirements apply to the use of the save and restore commands in iPSRA along with restrictions noted later in this section.

For example, a PKZIP command to save the library MYLIB and some other objects might look like this:

## → PKZIP ARCHIVE('/MYpath/myarchive') FILES('-SAVLIB LIB(MYLIB) DEV(MYNAME1)' '?SAVOBJ DEV(MYNAME2)')

The command above creates a saved library file or iPSRA file of the library MYLIB and compresses it into the archive '/MYpath/myarchive' as a file name SAVLIB01\_MYNAME1. The command also prompts the user for the command SAVOBJ, where all the objects to be saved should be entered at the prompt. This command creates an iPSRA file in the archive named SAVOBJ02\_MYNAME2.

When PKZIP runs, it spawns a batch immediate program named PKZSAVA that processes the save command data, causing it to be compressed into the archive instead of being save directly to disk. PKZSAVA utilizes the IBM API, which is a prestarted job in the QSYSWRK subsystem. Since the save is taking place in a prestarted job, the actual spool file will not appear in your PKZIP job or in your PKZSAVA job if the OUTPUT(\*PRINT) is used, but it will show up in the job QPRTJOB.

The restore of the save object with a RSTLIB command works similarly. However, only one file can be restored at a time to assure proper building of the saved objects.

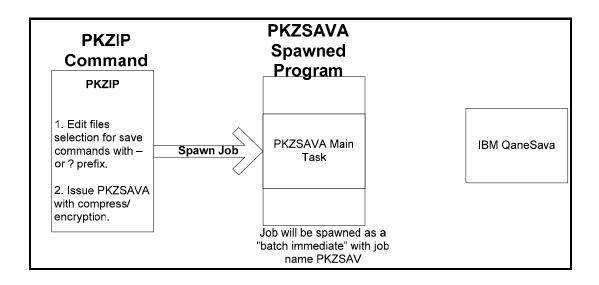
More examples and some basic benchmarks are given in the following sections.

#### **Save/Restore Command Overview**

The save command parameters are passed to the PKZIP processor using the FILES parameter with a leading '-' or '?' which identifies the character string as a save that contains the save command parameters or save keys. These parameters are only completely validated when the PKZIP submits the command for processing. Refer to the save commands in the Control Language (CL) information for detailed information about valid parameters when you save objects to save files. The save command parameters specified must be consistent with the save command type entered, and command parameters must be separated by at least one blank character. All command and security requirements for the commands are adhered to with PKZIP. For example, only a single library name can be used with the LIB parameter.

Sup	Supported Save Command Types					
1	Save (SAV) command					
2	Save Object (SAVOBJ) command					
3	Save Document Library Object (SAVDLO) command					
4	Save Library (SAVLIB) command					

The figure below shows how the iPSRA process, when activated to save or restore application data, spawns a job that utilizes the IBM Save and Restore API and communicates with the PKZIP or PKUNZIP command for the compression and/or encryption of the data to be placed in the archive.



#### **File Name in Archive**

The file name used in the archive for saved data is based on the type of save command and the name that is used in the DEV parameter of the save commands. If the DEV parameter is \*SAVF, then the name comes from the SAVF parameter.

For example:

Command	File Name
SAVOBJ DEV('MYPAYROLL')	SAVOBJ <i>nn_</i> MYPAYROLL
SAVLIB DEV(*SAVF)	SAVF(MYLIB/MYSAVF)
SAV DEV('MYSAVFDEVPATH')	SAV <i>nn_</i> MYSAVFDEVPATH

The *nn* in the example file names is the sequence number of the command for one PKZIP run.

Take care to avoid duplicate file names when updating an existing archive with more save commands. PKZIP removes existing files with the same name as a new save file.

**Note:** If an input archive is used, PKZIP checks to see if there are any iPSRA files in the inputted archive. If so, the largest *nn* number plus one is used as the starting *nn* for the iPSRA files. This helps avoid any accidental loss of iPSRA files in an archive due to file-name duplication.

#### **Extended Data in Archive**

Each save operation creates specific data in the extended data area so that PKUNZIP will know that the file is the special SAVE type file. The extended data also provides history information that can be displayed with VIEWOPT(\*ALL) to show the original command, the job when the save data was created, the target release used for the save, and the spawned job PKZSAVA.

```
Filename: SAVLIB01_DEDSAV01
Detected File type: SAVE Apps. Data
Created by: PKZIP for iSeries(tm) 8.2
Zip Spec to Extract: 2.0 Or Greater
Compression method: Deflated [Superfast]
Date and Time
                         2005 Aug 8 14:08:58
Compressed size: 42876 bytes
Uncompressed size: 413808 bytes
32-bit CRC value (hex): 334d1674
Extended attributes: yes, [Length = 134]
File Save Apps Data: <savlib lib(deD) dev(dedsav01) OUTPUT(*OUTFILE)
  OUTFILE(WSS/TEM01)>
                     :TGTRLS(*Current) Save Job <019627/EVWSS/PKZSAVA>
File Comment: "none"
Found 1 file, 413808 bytes uncompressed, 42876 bytes compressed:
SecureUNZIP extracted
                             0 files
SecureUNZIP Completed Successfully
```

```
Additional Message Information

Message ID . . . . : AQZ0895
Date sent . . . : 08/08/05 Time sent . . . : 14:09:20

Message . . . : File Save Apps Data:<savlib lib(deD) dev(dedsav01)
OUTPUT(*OUTFILE) OUTFILE(WSS/TEM01)>

This shows that the file is a Save Application data file type 4 and the command that was used to store the save file in the archive. Save Data created with PKZIP job 019586/EVWSS/EVWSSL01. Target System was *Current.
```

Notice that the spawned job (019627/EVWSS/PKZSAVA) was captured as well as the PKZIP job (019586/EVWSS/EVWSSL01). Information on the spawned job may be needed to do a DSPLOG command.

The target release (TGTRLS) is also shown to note target setting for the run.

#### **iPSRA** Restrictions

#### **PKZIP**

- QTEMP cannot be specified for the library name on the OUTFILE or SAVACTMSGQ parameters.
- Some parameters of the save commands that not used by iPSRA, will be ignored. For example CLEAR, DTACPK, etc.
- Objects saved by PKZIP can only be restored using the restore from application with PKUNZIP and can only be restored to a current or a later release of the operating system from which they were saved.
- The save parameters are only completely validated when PKZIP submits the save command for processing.
- The target release VxRxMx value prior to V5R1M0 is not valid since this feature is not supported prior to version 5, release 1, modification level 0 of PKZIP. The version, release, and modification level, depend on the save operation being performed. See the valid values for the TGTRLS parameter table in the *Backup and Recovery* book for a complete list of valid values.

- All compression methods except the Terse compression method are supported with iPSRA.
- Positional options on the save commands must contain the parameter. For example, the save library command of "SAVLIB WSS DEV(\*SAVF) SAVF(TESTWSS)" would not work to save the library WSS. The WSS is a positional parameter, where it is assumed WSS was the LIB option. The correct way for this to work with iPSRA is with the command, "-SAVLIB LIB(WSS) DEV(\*SAVF) SAVF(TESTWSS)".
- The save operation must be completely successful. If any object is not saved for any reason, the PKZIP job assumes a failure. This means you should not include an object that will not save, as this object will cause a major failure.

#### **PKUNZIP**

- QTEMP cannot be specified for the library name on the OUTFILE parameter.
- Only one restore command can processed per run to assure that all objects are properly restored.
- Some parameters of the restore commands are not used by iPSRA and are ignored. For example, VOL, SEQNBR, etc.
- The user must have required security for the restore command.

#### **Use of OUTPUT and OUTFILE with the Save Commands**

For every save command that is selected, you could have an OUTFILE parameter where the save command will build a file of the objects that are saved with each save command. Each time an OUTFILE is specified for a save command, PKZIP automatically archives the outfile in the same archive as the iPSRA file with the name specified. This provides a way to get to the contents that are saved in an iPSRA file. These out files have the format and restrictions defined by IBM for the save commands. The use of this file is up to each individual customer.

If OUTPUT(\*PRINT) or OUTFILE(\*PRINT) is used with a save or restore command, the printout is produced by the IBM API job and not with the PKZIP job nor the spawned PKZSAVA job. Therefore, it will appear in the special OUTQ job named OPRTJOB for each user.

## **How to Use the Save Application Feature**

The save option is activated by having the option of the FILES parameter start with a dash (-) or a question mark (?) followed by a proper save command. The iPSRA commands do not all need to be the same, and other files can be selected as well with one pass.

If a command fails the pre-command processor, PKZIP issues the message AQZ0332, which shows the failed command. The reason for the failure appears in the job log prior to this message.

If a failure occurs during the processing of the save commands, the reason for the failure appears in the job log of the spawned job. If any errors occur in the spawned job, a job log will be force. There is no pre-check processing on security or on the objects themselves. The save API handles all data verification.

For example, suppose we use the following command to try to save a library (NOLIB) that does not exist:

# → PKZIP ARCHIVE('/yourpath/BILLS/X5TESTL.ZIP') TYPARCHFL(\*IFS) FILES('-SAVLIB LIB(NOLIB) DEV(TESTSAV01) OUTPUT(\*PRINT) ')

The log of the PKZIP output might look like:

```
Scanning files in *DB for match ...

Found 0 matching files

1 Save Command(s) selected

Command:<SAVLIB LIB(NOLIB) OUTPUT(*PRINT)>

Compressing SAVLIB01_TESTSAV01 in SAVE Apps. Data mode

Save Operation encountered an error. See Job Log of PKZSAVA save job for fur

ther details.

iPSRA Initialization Failure has occurred

iPSRA Failed. Save command not successful.

SecureZIP Copied 1 files from input archive

SecureZIP Compressed 0 files in Archive /yourpath/BILLS/X5TESTL.ZIP

SecureZIP Completed with Errors

Press ENTER to end terminal session.
```

The Job Log of the PKSAVF output might look like:

```
Diagnostic 30 08/08/05 14:49:10.428528 QANESERV
CDE 3781
                                                                 OSYS
From module . . . . . . :
                              QANESERV
From procedure . . . . :
                               QaneSendPgmMsg__FP14qanec_CTLBLK_tPcT2iN24
Statement . . . . . . . :
                              19
To module . . . . . . . :
                              QP0ZPCPN
To procedure . . . . . :
                              InvokeTargetPgm__FP11qp0z_pcp_cb
Statement . . . . . . . :
                              187
Message . . . : Library NOLIB not found.
  Cause . . . . : The library specified for the save or restore operation
  does not exist on the system. Recovery . . . : Do one of the following
  and try the request again: If this is a save operation, correct the library
  name on the LIB parameter. If this is a restore operation, correct the
  library name specified on the SAVLIB or RSTLIB parameter, or use the Create
  Library (CRTLIB) command to create the library by specifying LIB(NOLIB). If
   this is a restore operation and VOL(*SAVVOL) was specified, the save library
  must exist in the auxiliary storage pool specified on the RSTASPDEV
  parameter. If RSTASPDEV(*SAVASPDEV) and RSTASP(*SAVASP) are specified along
  with VOL(*SAVVOL), then the save library must exist in the system ASP. To
  restore a library that is new to the system, specify VOL(*MOUNTED) instead
  of VOL(*SAVVOL).
```

# **How to Use the Restore Application Feature**

To restore an iPSRA file from archive, you need only code your restore command in the RSTIPSRA parameter. The PKUNZIP parameter RSTIPSRA is defined as a command entry, which means there are no quotes around the command, and it can be prompted. The entire restore command is enclosed by parentheses: RSTIPSRA(command). If the cursor is placed on the RSTIPSRA entry, and it is a command, the command entered can be prompted at that point with the F4 key.

If there is more than one file in an archive, the FILES parameter must be used to select the file you want to match up with the RSTIPSRA parameter. PKZIP only restores one iPSRA file per run.

If any object is not restored, the PKUNZIP issues the message AQZ1007 and creates a job log for the PKZRSTA job that was issued. The log should be reviewed to find any object that was not restored and the reason for the error.

If a partial restore is performed, then the CRC and/or hash calculation for authentication does not take place, and the warning message AQZ1000 is displayed. This might happen if the save operation was a SAVLIB, but the restore operation restores only a few objects with the RSTOBJ.

# Database considerations for save and restore

The following is a list of tips for working with the save and restore functions.

- When you save an object to a save file or using iPSRA, you can prevent the system from updating the date and time of the save operation by specifying UPDHST(\*NO) on the save command.
- When you restore an object, the system always updates the object description with the date and time of the restore operation. Display the object description and other save/restore related information by using the Display Object Description (DSPOBJD) command with DETAIL(\*FULL).
- To display the last save/restore date for a database file, type: DSPFD FILE(file-name) TYPE(\*MBR).

# iPSRA Examples

# iPSRA Example 1

The following example saves the library DED and prints the output of the save. It also saves the file object TESTFILE from the library TESTLIB with several options of the SAVOBJ. These save application files are compressed with a default setting and will be encrypted using a password.

→ PKZIP ARCHIVE('/yourpath/bills/testsavx1.zip') TYPARCHFL(\*IFS)
FILES('-SAVLIB LIB(DED) DEV(DEDSAV01) OUTPUT(\*PRINT) '
'-SAVOBJ OBJ(TESTFILE) LIB(TESTLIB) DEV(TESTOBJ11) OBJTYPE(\*FILE)
TGTRLS(V5R1M0) UPDHST(\*NO) PRECHK(\*YES) OUTPUT(\*PRINT) ')
PASSWORD('bills00000') VPASSWORD('bills00000')

```
SecureZIP(TM) for iSeries is running under Beta release B0

Machine ID = 01045B5C, Processor Group = P05

Scanning files in *DB for match ...

Found 0 matching files

2 Save Command(s) selected

Command:<SAVLIB LIB(DED) OUTPUT(*PRINT)>

Compressing SAVLIB01_DEDSAV01 in SAVE Apps. Data mode

Add SAVLIB01_DEDSAV01 -- Deflating (90%) encrypt(BSAFE AES 256Key)

Command:<SAVOBJ OBJ(TESTFILE) LIB(TESTLIB) OBJTYPE(*FILE)

UPDHST(*NO) PRECHK(*YES) OUTPUT(*PRINT)>

Compressing SAVOBJ02_TESTOBJ11 in SAVE Apps. Data mode

Add SAVOBJ02_TESTOBJ11 -- Deflating (79%) encrypt(BSAFE AES 256Key)

SecureZIP Compressed 2 files in Archive /yourpath/bills/testsavx1.zip

SecureZIP Completed Successfully
```

The contents of the archive displays are:

# → PKUNZIP ARCHIVE('/yourpath/bills/testsavx1.zip') TYPARCHFL(\*IFS) TYPE(\*VIEW)

# → PKUNZIP ARCHIVE('/yourpath/bills/testsavx1.zip') TYPARCHFL(\*IFS) TYPE(\*VIEW) VIEWOPT(\*ALL)

```
Archive Comment: "SecureZIP for iSeries"
Filename: SAVLIB01_DEDSAV01
Detected File type: SAVE Apps. Data
                                               Encrypt=Strong Encrypted
Created by:
                           PKZIP for iSeries(tm) 8.2
Zip Spec to Extract: 5.1 Or Greater
Compression method: Deflated [Superfar
Date and Time 2005 Aug 9 08:16:16
                           Deflated [Superfast]
Compressed size: 43718 bytes
Uncompressed size: 430192 bytes
32-bit CRC value (hex): ac1f8407
Extended attributes: yes, [Length = 130]
Strong Encryption AES 256 Key (BSAFE).
Algorithm Key 256, Security type Password
Number Certificate Recipients 0
Recipient List:
File Save Apps Data: <SAVLIB LIB(DED) DEV(DEDSAV01) OUTPUT(*PRINT)>
                      :TGTRLS(*Current) Save Job <019700/EVWSS/PKZSAVA>
File Comment: "none"
Filename: SAVOBJ02_TESTOBJ11
created by:

Zip Spec to Extract:
Compression method:
Date and Time
Compressed size:
Uncompressed size:

32-bit CRC value (**)

PKZIP for iSeries(tm) 8.2

5.1 Or Greater
Deflated [Superfast]
2005 Aug 9 08:16:16

6325776 bytes

6325776 bytes
Detected File type: SAVE Apps. Data Encrypt=Strong Encrypted
32-bit CRC value (hex): 101311d4
Extended attributes: yes, [Length = 217]
Strong Encryption AES 256 Key (BSAFE).
Algorithm Key 256, Security type Password
Number Certificate Recipients 0
Recipient List:
File Save Apps Data: <SAVOBJ OBJ(TESTFILE) LIB(TESTLIB) DEV(TESTOBJ11) OBJTYPE
(*FILE) TGTRLS(V5R1M0) UPDHST(*NO) PRECHK(*YES) OUTPUT(*PRINT)>
                      :TGTRLS(*Current) Save Job <019701/EVWSS/PKZSAVA>
File Comment: "none"
Found 2 files, 6755968 bytes uncompressed, 1357532 bytes compressed:
```

Now we want to restore the saved library DED to a new library called DEDNEW and then restore the object TESTFILE to the new DEDNEW Library. This requires two steps, as we can only perform one restore at a time with PKUNZIP.

#### Step1.

→ PKUNZIP ARCHIVE('/yourpath/bills/testsavx1.zip') TYPARCHFL(\*IFS) FILES('SAVLIB01\_DEDSAV01') TYPE(\*EXTRACT) RSTIPSRA(RSTLIB SAVLIB(DED) DEV(RSTDED) output(\*print) RSTLIB(DEDNEW)) PASSWORD('bills00000')

```
UNZIP Archive: /yourpath/bills/testsavx1.zip
Archive Comment: "SecureZIP for iSeries"
Searching Archive /yourpath/bills/testsavx1.zip for files to extract
Command: <RSTLIB SAVLIB(DED) RSTLIB(DEDNEW) OUTPUT(*PRINT)>
Extracting file SAVLIB01_DEDSAV01
Inflating *iPSRA:SAVLIB01_DEDSAV01 iPSRA File
SecureUNZIP extracted 1 files
SecureUNZIP Completed Successfully
```

#### Step 2.

→ PKUNZIP ARCHIVE('/yourpath/bills/testsavx1.zip') TYPARCHFL(\*IFS) FILES('SAVOBJ02\_TESTOBJ11') TYPE(\*EXTRACT) RSTIPSRA(RSTOBJ OBJ(TESTFILE) SAVLIB(TESTLIB) DEV(RSTTEST) OBJTYPE(\*FILE) RSTLIB(DEDNEW) OUTPUT(\*PRINT) ) PASSWORD('bills00000')

```
UNZIP Archive: /yourpath/bills/testsavx1.zip
Searching Archive /yourpath/bills/testsavx1.zip for files to extract
Command: RSTOBJ OBJ(TESTFILE) SAVLIB(TESTLIB) OBJTYPE(*FILE)
RSTLIB(DEDNEW) OUTPUT(*PRINT)>
Extracting file SAVOBJ02_TESTOBJ11
Inflating *iPSRA:SAVOBJ02_TESTOBJ11 iPSRA File
SecureUNZIP extracted 1 files
SecureUNZIP Completed Successfully
```

# iPSRA Example 4

The following example show that we can restore one or more objects from a iPSRA file that was created with SAVLIB.

→ PKUNZIP ARCHIVE('/yourpath/bills/testsavx1.zip') TYPARCHFL(\*IFS) FILES('SAVLIB01\_DEDSAV01') TYPE(\*EXTRACT) RSTIPSRA(RSTOBJ OBJ(MYFILE2) SAVLIB(DED) DEV(RST1FILE) OBJTYPE(\*FILE) RSTLIB(DEDNEW) OUTPUT(\*PRINT) ) PASSWORD('bills00000')

```
UNZIP Archive: /yourpath/bills/testsavx1.zip
Searching Archive /yourpath/bills/testsavx1.zip for files to extract
Command:<RSTOBJ OBJ(MYFILE2) SAVLIB(DED) OBJTYPE(*FILE)
RSTLIB(DEDNEW) OUTPUT(*PRINT)>
Extracting file SAVLIB01_DEDSAV01
Inflating *iPSRA:SAVLIB01_DEDSAV01 iPSRA File
SecureUNZIP extracted 1 files
SecureUNZIP Completed Successfully
```

The following example demonstrates the use of the OUTFILE in a save command and shows how PKZIP automatically adds the outfile to the archive.

→PKZIP ARCHIVE('/yourpath/bills/iPSRA\_test/x3.zip') TYPARCHFL(\*IFS) FILES('-SAVLIB LIB(DED) DEV(DEDSAV01) OUTPUT(\*OUTFILE) OUTFILE(ATEST/DEDSAV01)'

'-SAV DEV("IFS\_testpkcs7\*") OBJ(("/ajunk/testpkcs7/\*"))
OUTPUT("/yourpath/bills/iPSRA\_test/File01\_SAV") ')

```
Scanning files in *DB for match ...
Found 2 matching files
2 Save Command(s) selected
Command:<SAVLIB LIB(DED) OUTPUT(*OUTFILE) OUTFILE(ATEST/DEDSAV01)>
Compressing SAVLIB01_DEDSAV01 in SAVE Apps. Data mode
Add SAVLIB01_DEDSAV01 -- Deflating (90%)
Compressing ATEST/DEDSAV01(DEDSAV01) in TEXT mode
Add ATEST/DEDSAV01/DEDSAV01 -- Deflating (98%)
Command:<SAV OBJ(('/AJUNK/TESTPKCS7/*'))</pre>
OUTPUT('/yourpath/BILLS/IPSRA_TEST/FILEO1_SAV')>
Compressing SAV02_IFS_TESTPKCS7* in SAVE Apps. Data mode
Add SAV02_IFS_TESTPKCS7* -- Deflating (63%)
Compressing /yourpath/BILLS/IPSRA_TEST/FILE01_SAV in BINARY mode
Add /yourpath/BILLS/IPSRA_TEST/FILE01_SAV -- Deflating (61%)
SecureZIP Compressed 4 files in Archive /yourpath/bills/iPSRA_test/x3.zip
SecureZIP Completed Successfully
```

Notice that there are four files stored in the archive. Two files are the iPSRA files, and the other two files are the outfiles in the commands.

#### iPSRA Example 6 showing a restore error

→ PKUNZIP ARCHIVE('/yourpath/bills/testsavx1.zip') TYPARCHFL(\*IFS) FILES('SAVLIB01\_DEDSAV01') TYPE(\*EXTRACT) RSTIPSRA(RSTOBJ OBJ(TESTFILE) SAVLIB(TESTLIB) DEV(RSTTEST) OBJTYPE(\*FILE) RSTLIB(DEDNEW) OUTPUT(\*PRINT) ) PASSWORD('bills00000')

```
UNZIP Archive: /yourpath/bills/testsavx1.zip
Archive Comment: "SecureZIP for iSeries"
Searching Archive /yourpath/bills/testsavx1.zip for files to extract
Command: <RSTOBJ OBJ(TESTFILE) SAVLIB(TESTLIB) OBJTYPE(*FILE)
RSTLIB(DEDNEW) OUTPUT(*PRINT)>
Extracting file SAVLIB01_DEDSAV01
Inflating *iPSRA: SAVLIB01_DEDSAV01 iPSRA File
Restore Operation encountered an error. See Job Log of PKZRSTA restore
job for further details.
SecureUNZIP extracted 0 files
SecureUNZIP found 1 file(s) in Error
```

```
Additional Message Information
Message ID . . . . . :
                          AQZ1007
Date sent . . . . . : 08/09/05
                                       Time sent . . . . . . . . 09:54:57
Message . . . : Restore Operation encountered an error. See Job Log of
  PKZRSTA restore job for further details.
DSPSPLF FILE(QPJOBLOG) JOB(019721/EVWSS/PKZRSTA) for job log and
  detail on why the restore operation failed. Possible problem may be that
  some or all of the objects may not have been restored due to some restore
Since OUTPUT(*PRINT) was in effect you could view the restore output:
*...+...5...+...6...+...7....
5722SS1 V5R3M0 040528
                                     RESTORE OBJECT INFORMATION
OBJECT NAME . . . :
                        TESTFILE
SAVE LIBRARY . . . :
                        TESTLIB
OBJECT TYPE . . . :
                       *FTLE
SAVE FILE NAME . . . :
                       QANE019357
SAVE FILE LIBRARY .:
                       QTEMP
LABEL . . . . . . :
                        *SAVLIB
OPTION . . . . . . :
                        *ALL
MEMBER OPTION . . . :
                       *MATCH
SAVE DATE/TIME . . . :
ALWOBJDIF. . . . . :
                        *NONE
RESTORE LIBRARY . . :
                        DEDNEW
RESTORE ASP . . . :
                       *SAVASP
Specified file for library TESTLIB not found.
                         * * * * * END OF LISTING * * * * *
Or from the DSPSPLF FILE(QPJOBLOG) JOB(019721/EVWSS/PKZRSTA) the job log will
show the actual IBM Restore error messages:
                              20 08/09/05 09:54:57.125128 QANESERV
CPF3806
                                                                        OSYS
          Diagnostic
From module . . . . . . :
                              QANESERV
From procedure . . . . . : QaneSendPgmMsg__FP14qanec_CTLBLK_tPcT2iN24
To module . . . . . . : QP0ZPCPN
To procedure . . . . . : InvokeTargetPgm_FP11qp0z_pcp_cb
To procedure . . . . . :
Statement . . . . . . . . :
                              187
Message . . . . : Objects from save file QANE019357 in QTEMP not restored.
  Cause . . . . : The library name in the save file does not match the
  library name that you specified in the SAVLIB parameter. Recovery . . . :
  Use the DSPSAVF command to display the save file and to determine the
  library from which the objects were saved. Specify the correct library in
  the SAVLIB parameter and try the command again.
                              30 08/09/05 09:54:57.125152 QANESERV
CPF3780
                                                                        OSYS
         Diagnostic
From module . . . . . . :
                              QANESERV
From procedure . . . . . : QaneSendPgmMsg_FP14qanec_CTLBLK_tPcT2iN24
Statement . . . . . . . . . . . . 19
To module . . . . . . . . . . . . QPOZPCPN
To procedure . . . . . :
                              InvokeTargetPgm__FP11qp0z_pcp_cb
Statement . . . . . . . :
                               187
Message . . . . : Specified file for library TESTLIB not found.
  Cause . . . . : The data in the save file or on the tape, diskette or
  optical volume did not match the specified parameters. Recovery . . . :
  See the previously listed messages. If the restore operation is from
  diskette, tape or optical, display the contents of the volume using the
  appropriate display command specifying the DATA(*SAVRST) parameter. If the
  restore operation uses a save file, display the contents of the save file
  (DSPSAVF command). Correct any errors and then try the request again.
```

Below is an example that shows how the save information is depicted for an object that was saved with PKZIP iPSRA and UPDHST(\*YES) for save command. Notice that the save file shows the save library of QTEMP and shows the save file as QANExxxxxx. This is an internal representation of the save API process. The device type will show as a save file.

# → DSPOBJD OBJ(DED) OBJTYPE(\*LIB) DETAIL(\*FULL)

```
Display Object Description - Full
                                                         Library 1 of 1
Object . . . . . :
                      DED
                                    Attribute . . . . : TEST
                                    Owner . . . . . . :
 Library . . . . :
                      QSYS
                                                           WSS
Library ASP device . :
                                    Primary group . . . : *NONE
                      *SYSBAS
Type . . . . . . :
                     *LIB
Save/Restore information:
                                    08/10/05 11:16:41
 Save date/time . . . . . . . . :
 Restore date/time . . . . . . :
                                    08/10/05 09:31:26
 Save command . . . . . . . . . :
                                    SAVLIB
                                    Save file
 Device type . . . . . . . . . :
                                    QTEMP/QANE020372
 Save file . . . . . . . . . . . :
```

7

# **PKZIP Command**

# **PKZIP Command Summary with Parameter Keyword Format**

If the OS/400 command prompt screen is to be used, the command format is simply: PKZIP. There also is a command PKZSPOOL which is the same command as PKZIP, but has the parameter TYPFL2ZP set to \*SPL for spool files. The parameters are also re-sequenced to give preference to parameters dealing specifically with spool files.

The command prompt screen is displayed when Enter or PF4 is pressed. The parameter keywords are displayed on this screen, together with the available keyword options. The required options can be selected before PF4 is pressed to accept the selections. If the command and parameter keywords are entered together on the command line the required format is:

#### PKZIP keyword1(option) keyword2(option) . . . keywordn(option)

Keywords are demarcated by spaces. The keyword "ARCHIVE" is the only positional keyword where the keyword is not required. Whenever the word "path" is used, its meaning depends on the file system that is being used. If IFS is used, path refers to the open system true path type. If the library systems or \*DB is used, path means library/file and then the file name refers to the member name.

```
TYPE(
           *ADD
                *UPDATE }
                *FRESHEN}
                *MOVEA}
                *MOVEF
                *MOVEU)
                {*DELETE}
           {ZIPSTD}
ADVCRYPT(
                                         )
                {AES128}
                                  (SecureZIP Only)
                {AES192}
                                  (SecureZIP Only)
                {AES256}
                                  (SecureZIP Only)
                3DES}
                                  (SecureZIP Only)
                                  (SecureZIP Only)
                {DES}
                {RC4_128}
                                  (SecureZIP Only)
ARCHIVE (
           Archive Zip File name with path
    Archive to create
                                      archive file name with path }
    Optional Input Archive File
                                       archive file name with path
```

```
Output Archive File Disposition {*DEFAULT}
                                        *PROTECT}
                                       {*OVERWRITE}
ARCHTEXT (
            {*NONE}
                Archive File Text description
AUTHCHK (
            Authenticators
                                                 (SecureZIP Only)
                                    )
            Authenticate Type
                                          {*FILE}
                                          {*ARCHIVE}
                                          {*ALL}
            Lookup Type
                                          *DB }
                                           *LDAP}
                                           *FILE}
                                          (*MBRSET)
                                          {*INLIST}
                                          {*SPONSOR} (SecureLink Only)
{Recipient String}
            Recipient
            Password (if Private)
                                          {Certificate password}
                                          {*<u>RQD</u>}
            Required
                                          (*OPT)
AUTHPOL ( Authenticate Filters: )
                                              (SecureZIP Only)
                 { <u>*SYSTEM</u> } { *WARN }
Validate Level
                        ( *REQUIRED)
Validate Level
                         *NONE }
                        {*ARCHIVE }
Filters
                        *SYSTEM }
                        *ALL}
                        *NONE }
                        *TAMPER}
                         *TRUSTED}
                        *EXPIRED}
                        *REVOKED)
                        *NOTAMPER}
                        *NOTTRUSTED}
                        {*NOTEXPIRED}
                        {*NOTREVOKED}
COMPAT (
            {*NONE}
                (*PK400)
COMPRESS( Compression options
                {*SUPERFAST}
  Level
                 *FAST}
                 *NORMAL}
                 { *MAX }
                 *STORE}
                 *TERSE)
                E1 thru E9
  Method
                 {*DEFLATE32}
                 *DEFLATE64}
                 {*STORE}
                (*TERSE)
CRTLIST(
            {*NONE}
                path/filename
                {*SIMULATE}
CVTDATA(
            External Pgm Conversion Extended Data)
CVTFLAG(
            {*NONE}
                External Pgm Conversion Flags
```

```
CVTTYPE(
            {*NONE}
                 {*DROP}
                 {*SUFFIX}
DATEAB (
            mmddyyyy
                                            )
DATETYPE (
            {<u>*N</u>O}
                                     )
                 {*BEFORE}
                 (*AFTER)
DBSERVICE( (\{\underline{*}NO\})
                (*YES)
DELIM (
            (\{CRLF\})
                                     )
                 (CR )
{LF }
                {LFCR }
DFTARCHREC( {132}
                 {decimal number}
DIRNAMES (
            {*YES}
                 { *NO }
            {*NONE}
DIRRECRS(
                                     )
                 {*FULL}
                 {*NAMEONLY}
ENTPREC (
            Lookup Type; Recipient; Password; Required ) (SecureZIP Only)
            Lookup Type
                                          {*DB}
                                            *LDAP}
                                            *FILE}
                                            *MBRSET}
                                           {*INLIST}
                                           (*SPONSOR) (SecureLink Only)
            Recipient
                                           Recipient String
            Password (if Private)
                                           {Certificate password}
            Required
                                           *RQD }
                                           (*OPT)
            ( Encryption Filters:
ENCRYPOL
                                                     )
                                                                (SecureZIP Only)
            Validate Level
                                     {*SYSTEM }
                                     {*WARN }
                                      *VALIDATE}
            Filters
                                      *SYSTEM }
                                      *ALL}
                                      *NONE }
                                      *TRUSTED}
                                      *EXPIRED)
                                      *REVOKED)
                                      *NOTTRUSTED}
                                      *NOTEXPIRED)
                                     *NOTREVOKED
EXCLFILE(
            {*NONE}
                path/filename
            file_specification 1,
EXCLUDE (
                file_specification 2,
                file_specification n
            {*YES}
EXTRAFLD(
                 (ON*
                  *CENTRAL}
                 *LOCAL
                 *BOTH same as *YES
```

```
ERROPT(
            \{ *END\}
                {*SKIP}
FILES(
           file_specification 1,
               file_specification 2,
                file_specification n
           OR *COPY
FILESTEXT( {*NO}
                *ALL}
                *NEW
                {*UPDATE}
FILETYPE( {*TEXT}
                {*BINARY}
                *EBCDIC
                (*FIXTEXT)
                {*DETECT}
            FNE (
FTRAN(
              {*ISO88591}
                                   )
              {*INTERNAL}
               Member Name
GZIP(
            {*YES}
               {<u>*NO</u>}
\texttt{IFSCDEPAGE}(\ \{\underline{\texttt{*NO}}\}
               Code-page
INCLFILE( \{\underline{*NONE}\}
               path/filename
            {*PRINT}
MSGTYPE(
                                          )
                {*SEND
                (*BOTH)
PASSWORD( Archive Password
                {*MAINTAIN}
SELFXTRACT (
                {*REMOVE}
                {WINDOWS}
                {AIX}
{HP_UNIX}
                {SUN_UNIX}
                {LINUXINTEL}
            {*CURRENT}
SFUSER (
                {user id 1} {user id 2}
                (user id 5)
SFQUEUE ( {*ALL}
               {Library/OUTQ }
SFFORM (
            {*ALL}
                {*STD}
                {Spool File Form Type }
SFUSRDTA ( {*ALL}
                {Spool File User data}
SFSTATUS ( {*ALL}
                {*READY}
                (*HELD }
```

```
{*CLOSED}
                 *SAVED }
                 *PENDING}
                {*DEFERRED}
SFJOBNAM ( \{\underline{blanks}\}
                {Job-name//Userr-Name/Job Number}
SFTARGET ( {*SPLF}
                {*TEXT}
                 *TEXT1}
                 *TEXT2}
                 *TEXTFC}
                 (*PDF
                (*PDFLETTER)
                (**PDFLEGAL)
SFTGFILE ( {*GEN1}
                 *GEN2}
                 *GEN1P}
                {path/filename }
SIGNERS(
            Signer
                                                       (SecureZIP Only)
            Signing Type
                                          {*FILE}
                                          {*ARCHIVE}
                                          *ALL
                                          (*DB )
            Lookup Type
                                          {*LDAP}
                                           *FILE}
                                          {*MBRSET}
                                          (*INLIST)
                                          Recipient String
            Recipient
            Password (if Private)
                                          {Certificate password}
                                          (*<u>RQD</u> )
{*OPT}
            Required
         ( Signing Filters:
SIGNPOL
                                                     ) (SecureZIP Only)
                                     {*SYSTEM }
            Validate Level
                                     *WARN }
                                      *VALIDATE }
            Filters
                                      *SYSTEM }
                                     *ALL
                                      *NONE }
                                      *TRUSTED}
                                      *EXPIRED)
                                     *REVOKED
                                      *NOTTRUSTED}
                                      *NOTEXPIRED
                                     {*NOTREVOKED}
STOREPATH( {*NO}
                {*YES}
SPLFILE (
                (Spool File Name )
SPLNBR (
            \{<u>*ALL</u>\}
                *LAST
                Spool File Number 1-9999}
STOREPATH( {*NO}
                                    )
                {*YES}
TMPPATH(
            {*CURRENT}
                Temporary Path
```

```
TRAN(
                               {<u>*ISO88591</u>}
                               {*INTERNAL}
                                Member Name
  \begin{array}{cccc} {\tt TYPARCHFL(} & {\tt Archive Type File} & ) \\ {\tt Type} & & \big\{ {\star DB} \big\} \\ & & \big\{ {\star IFS} \big\} \end{array} 
    Check ZIP64 {*NONE} {*WARN} {*FAIL}
TYPFL2ZP( {<u>*DB</u>}
{*IFS}
{*IFS2}
{*DBA}

[*SPL}
                                                                       )
 \begin{array}{c} \text{TYPLISTFL}(& \{ \underline{*\texttt{DB}} \} \\ \hline & \{ *\texttt{IFS} \} \end{array}
                                                                       )
 VERBOSE (
                          {*NORMAL}
                                                                       )
                                   {*NONE}
{*ALL}
                                   (XAM*)
 VPASSWORD( Archive Verify Password
```

# **PKZIP Command Keyword Details**

# **TYPE**

# TYPE(ADD|DELETE|EXTRACT|FRESHEN|MOVEA|MOVEF|MOVEU|UPDATE |VIEW)

The TYPE keyword specifies the type of action PKZIP should perform on the ZIP archive.

The possible actions are:

**\*ADD** The \*ADD option is the default and adds a selection of

files to the archive file. If an archive is already present,

it will be written over by the new archive file.

**\*UPDATE** The \*UPDATE option updates files which are already in

the archive file with a newer version and will also add newly selected files that are not present in the archive

file.

\*FRESHEN The \*FRESHEN option updates ONLY the files which

already exist in an archive file. If the date/time of the file is newer than the date/time of the file in the archive, the file will be compressed and replace the one in the

archive.

\*MOVEA The \*MOVEA (Move and Add option) option performs the

\*ADD option, and upon completion of a successful PKZIP

command, the actual file will be deleted.

\*MOVEF The \*MOVEF (Move and Freshen option) option performs

the \*FRESHEN option, and upon completion of a successful PKZIP command, the actual file will be

deleted.

**\*MOVEU** The \*MOVEU (Move and Update option) option performs

the \*UPDATE option, and upon completion of a successful PKZIP command, the actual file will be

deleted.

\*DELETE The \*DELETE option removes entries from the archive

file based upon the selection of FILES and EXCLUDE parameters. The format of the FILES and EXCLUDE parameters should be in the format of the files as seen

in the archive.

# **ADVCRYPT**

#### ADVCRYPT(ZIPSTD|ASE128|AES192|AES256|DES|3DES|RC4\_128 PKWARE|BSAFE)

Note: PKZIP for iSeries only support \*NONE and ZIPSTD options.

When a ZIP action is requested to save a file in an archive, and a password is provided, **SecureZIP for iSeries** will use an encryption method to protect the data.

This command value specifies which algorithm to employ.

Possible encryptions are:

<u>ZIPSTD</u>	This algorithm is the original algorithm used in PKZIP 2.x products and is compatible with other PKZIP 2.04g products that support standard encryption. Unless the installation defaults module has been tailored differently, this is the default value for <i>PKZIP for iSeries</i> if you choose to encrypt a file.
*NONE	No Encryption
AES128	Advanced Encryption Standard 128-bit key algorithm, also known as Rijndael.
AES192	Advanced Encryption Standard 192-bit key algorithm, also known as Rijndael.
<u>AES256</u>	Advanced Encryption Standard 256-bit key algorithm, also known as Rijndael. This is the default value for <b>SecureZIP for iSeries</b> .
DES	Data Encryption Standard.
3DES	Triple Data Encryption Standard.

# **Usage Notes:**

RC4\_128

PKUNZIP will detect automatically which encryption method was specified during the ZIP process and operate accordingly.

RC4 is a stream cipher created by RSA.

During a PKZIP (ZIP) run, only one encryption method may be specified, and that method will be used for each file that is operated on.

By executing PKZIP at different times, various files within the archive may be saved with differing levels (and types) of encryption. That is, some files may not be protected at all, while others may have different methods and/or passwords.

A "+" character is shown in a view to indicate standard encryption protection is used for a file.

A "!" character is shown in a View to indicate advanced encryption (AES) protection is used for a file.

# **ARCHIVE**

# ARCHIVE( archive name, (option)input archive name, out archive Disp)

Archive Zip File: Archive Name	
(Optional) Input	*NONE
Output Archive Disp	*DEFAULT *PROTECT, *OVERWRITE

Or

ARCHIVE ('/yourpath/mypath/myarch.zip')
ARCHIVE ('/yourpath/mypath/myarch.zip' \*NONE \*OVERWRITE)
ARCHIVE '/yourpath/mypath/myarch.zip' '/yourpath/mypath/oldarch.zip')
ARCHIVE ('MYLIB/MYARCH/NEWZIP1' 'MYLIB/MYARCH/OLDZIP0' \*PROTECT)

This parameter specifies the archive files for output and/or input. Currently there are 3 entries for the ARCHIVE parameter (Archive File to create, Optional Input Archive file, and output file disposition).

# Archive to create (archive file name with path)

Specifies the path/file name or the library/file name of the **PKZIP** archive to be processed. If the file exists, PKZIP will overwrite the file, otherwise PKZIP will create the file for you. Depending on which file system you choose, the path or library must exist. This is a required parameter.

# Optional Input Archive File (archive file name with path)

Specifies the path/file name or the library/file name of an archive the will be used as input. This parameters provides the ability to have an input archive to update but this archive is preserved and not updated. The files in the archive will be copied to the new updated archive along with any new file selections. If an existing archive is to be updated with the same archive name then using the "archive to create" parameter is only required.

# Output Archive File Disposition (\*DEFAULT| \*PROTECT|\*OVERWRITE)

Specifies the output archive's disposition if it exist.

*DEFAULT	This option provides backward compatibility to version prior to 8.2. If no input archive is provided, this option is set to *OVERWRITE. If an Inputted archive is provided then this option will be set to *PROTECT.
*PROTECT	If the output archive file exist, do not overwrite the archive and fail the run.

#### \*OVERWRITE

If the output archive exist, then overwrite the archive with the new or updated archive.

# NOTE: archive file name with path:

The format of "archive file name with path" depends on whether you will be using the archive file in the library file system, or the IFS (Integrated File System).

See parameter TYPARCHFL for file system type information.

# **Library File System**

Format is *library/file(member)*. If *member* is omitted, it will be created with the file name. If the file is not found, it will be created with a default length specified in parameter DFTARCHREC (which has a default of 132). If you want to create a file manually to use a larger record length, create it with no members and with the parameter MAXMBRS with \*NOMAX, or with a high excepted limit. If the Library is not specified, the file name will be searched using \*LIBL. If the file name is not found, the file will be created in the users \*CURLIB. If a library is specified and does not exist, PKZIP will create the library.

# Integrated File System (IFS)

Open system path followed by the archive file name. The path and file name can up to 256 characters and may contain embedded spaces.

# **ARCHTEXT**

#### **ARCHTEXT(\*NONE| Archive File Text description)**

Specifies text that will be stored in the archive as the archive's file comment.

**\*NONE** No new archive comment will be stored.

**\*DEFAULT** The default PKWARE comment will be stored.

\*CLEAR Clear any comment that may be stored in an archive.

# Archive File Text description

Up to 255 characters that are stored as the archive's file  $\,$ 

comments.

# **AUTHCHK**

# **Requires SecureZIP**

```
Authenticator Certificates:

File/Archive .... * ARCHIVE *ARCHIVE
LookUp Type .... *DB *DB, *LDAP, *FILE, *MBRSET...

Authenticator ....

Password (If Private) ...

Required .... *RQD *RQD, *OPT

+ for more values
```

Or

```
AUTHCHK((*ARCHIVE *MBRSET
'pkwareCertAdmin04.pfx' (password) *RQD))
AUTHCHK((*ARCHIVE *FILE
'/yourpath/PKWARE/Cstores/public/pkwareCertAdmin04.cer' () *RQD))
AUTHCHK((*ARCHIVE *DB
'EM=bill.somebody@pkware.com' () *OPT))
AUTHCHK((*ARCHIVE *INLIST 'ATEST/INLIST(ENGNEER1)' *N)
```

This parameter specifies that digital signature authentication processing should be performed for specific signers. Separate authentication processing may be specified for either the archive central directory or files by using multiple commands. Optionally, specific signers may be specified to authenticate against. This parameter is used in conjunction with the AUTHPOL parameters and its settings.

It is possible that more than one certificate may be returned for a single common name or email search. As a result, each one will be added to the list of validating sources.

When no specific certificates are requested, any signatories found in the archive are validated in accordance with the systems or current AUTHPOL Filters policy settings.

There are five options for AUTHCHK.

# Authenticator Type File/Archive (\*ARCHIVE)

Indicates the type of archive authentication to do. If the lookup type is \*INLIST, then this option will be ignored and will pickup from the records in the inlist file.

 \*ARCHIVE - The archive directory will be authenticated with this authenticator.

#### Lookup Type (\*DB |\*FILE |\*LDAP |\*MBRSET |\*INLIST |\*SPONSOR)

The lookup type would be the type of authenticator search to be used for the authenticator string to look up the public key.

- <u>\*DB</u> The authenticator string is defined to search using the certificate locator database to access the digital certificate.
- \*FILE The authenticator string is defined to read a specific file in a specific path in the IFS in order to access the digital certificate.

- \*LDAP The recipient string is defined to search using the LDAP server to access the digital certificate.
- \*MBRSET The authenticator string is defined to read this specific file from the enterprise public certificate store to access the digital certificate.
- \*INLIST- The authenticator string defines a specific file that will contain one to many AUTHCHK. The TYPLISTFL parameter must specify the file type for the inlist.
- If lookup type is \*SPONSOR, the authenticator string is Sponsor Auth file stored in the '.../Sponsor/Auth' folder. If the authenticator string is all numeric, the name will automatically be formatted as A0000000.p7, assuming that the number is the sponsor ID number. (SecureLink Only)

# Authenticator (The authenticator string name)

The authenticator string format depends on what was specified for the lookup type.

If lookup type is \*DB, the authenticator string will either be an email address
or the common name of the certificate. This depends on the configuration
setting in PKCFGSEC parameter CERTDB. To override the default selection
mode, you can prefix the string with EM= for email, or CN= for the common
name.

For example:

# AUTHCHK((\*ARCHIVE \*DB 'CN=bill somebody' () \*RQD))

• If lookup type is \*FILE, the authenticator string is defined to read a specific file in a specific path of the IFS. This file should be a public key X.509 file or public key X.509 certificate with a private key file.

For example:

# AUTHCHK((\*ARCHIVE \*FILE '/yourpath/PKWARE/Cstores/public/pkwareCertAdmin04.cer' () \*RQD))

The digital certificate file 'pkwareCertAdmin04.cer' will be in the full path 'yourpath/PKWARE/Cstores/public'.

• If type is \*LDAP, the authenticator string will either be an email address or the common name of the certificate depending on the search mode configuration setting in PKCFGSEC parameter LDAP. To override the default selection mode, you can prefix the string with EM= for email address, or CN= for the common name.

For example:

# AUTHCHK ((\*ARCHIVE \*LDAP 'bill.somebody@pkware.com' () \*RQD) (\*ARCHIVE \*LDAP 'CN=bill somebody' () \*OPT))

• If lookup type is \*MBRSET, the authenticator string is defined to read a specific file from the public certificate store and/or the private certificate store of the IFS. This file should be a public key X.509 file or public key X.509 certificate with a private key file.

For example:

AUTHCHK((\*ARCHIVE \*MBRSET 'pkwareCertAdmin04.cer' () \*RQD))

The digital certificate file 'pkwareCertAdmin04.cer' will be in the full path of the public certificate store defined in the enterprise security configuration public store (parameter CSPUB). If a password is included, the file is searched for in the enterprise security configuration private store (parameter CSPRIV).

• If lookup type is \*INLIST, the authenticator string defines a full file name of an input list file that contains records of AUTHCHK shortcut parameters. The type of file will exist in the QSYS library file system if TYPLISTFL(\*DB) is set and will be a path file name in the IFS if TYPLISTFL(\*IFS) is set. The format of the AUTHCHK shortcut parameters are defined below in the \*INLIST usage section.

#### **Password**

This designates the password that is *required* for a private key certificate with a private key (PKCS#12 file). When a value is specified, the target must be an X.509 PKCS#12 public key certificate with the private key.

The PASSWORD value may contain blanks and is delimited by the closing right parenthesis ")" of the signing command.

# Required (\*RQD|\*OPT|\*SAME)

If \*RQD, then this authenticator *must* be found during the selection, and the certificate *must* be a valid certificate with a private key, or the ZIP/UNZIP run will fail.

#### **Usage Notes:**

Passwords are masked out in all output displays.

A local certificate store configuration is required to complete the TRUST processing of this command.

Processing is terminated if none of the requested certificates can be accessed, regardless of the "R" required flag. If multiple requests are made and at least one signature is found, processing continues normally.

For inlist that contains a password to open a private certificate, make sure that the security is sufficient to only allow the owner of the certificate to have read access. Otherwise this would leave a security hole where other users could browse the password.

#### \*INLIST Usage:

If \*INLIST is defined on the AUTHCHK parameter, then the authenticator filed will be a file that SecureZIP will read to include the authenticator. The format is very similar to the AUTHCHK parameter described above except that each line authenticator starts with "{AUTHCHK=" and is terminated by the "}" character, with the semicolon ";" as a separator for each entry.

{AUTHCHK=Authenticator Type, Lookup Type; Authenticator; Password; Required}

Authenticator *Type* See Authenticator Type in AUTHCHK

Lookup Type See Lookup Type in AUTHCHK excluding the INLIST

Authenticator See Authenticator in AUTHCHK.

Password See Password in AUTHCHK.

Required See Required in AUTHCHK, but use RDQ for \*RQD and OPT for

\*OPT.

Examples:

#### Sample 1: tstauth\_db1.inlist.

```
{AUTHCHK=ARCHIVE;DB;EM=PKTESTDB4@nowhere.com;;RQD}
```

#### Sample 2: tstauth mb2.inlist.

```
{AUTHCHK=ARCHIVE;MBRSET;pktestdb3.pfx;PKWARE;RQD}
```

# **AUTHPOL**

#### Requires SecureZIP

```
Authenticate Filters:

Validate Level .... *SYSTEM *VALIDATE, *WARN, *NONE...

Validate Type .... *ARCHIVE *ARCHIVE, *NONE

Filters .... *SYSTEM *SYSTEM, *ALL, *NONE...

+ for more values
```

Or

```
AUTHPOL(*WARN *ARCHIVE (*SYSTEM))
AUTHPOL(*WARN *FILE (*NOTTRUSTED))
AUTHPOL(*SYSTEM *ALL (*ALL *NOTEXPIRED))
```

This parameter defines the processing options and filters that should apply if a signed file or signed archive is encountered.

# Validate Level (\*VALIDATE | \*WARN | \*REQUIRED | \*SYSTEM)

The validate level specifies the type of authentication processing that should take place if a signed archive is encountered. The default is \*SYSTEM and, unless it is modified, SecureZIP will use the enterprise setting from PKCFSEC.

- \*VALIDATE Indicates that when authentication takes place and a failure occurs based on the filters, the run will be considered a failure and the message issued when the job terminates will indicate one or more errors during the run.
- \*WARN Indicates that when authentication takes place and a failure occurs, the failure is only to be considered a warning. The messages at the end of the run will not consider any failed authentications as errors.
- \*REQUIRED Indicates that authentication must take place and that, if any
  failure occurs based on the filters, the run will be considered a failure, and the
  message issued when the job terminates will indicate one or more errors

occurred during the run. If the archive has not been signed, then an error will be issued.

• <u>\*SYSTEM – Indicates</u> the authentication processing that is set in the environmental setting will be used.

#### Validate Type (\*ARCHIVE |\*NONE)

The validate type specifies that archive authentication should take place if an archive has been signed. The default is \*NONE and anything other than \*NONE requires the Enhanced Encryption Feature..

- \*ARCHIVE Indicates that only a signed archive will be authenticated.
- \*NONE Indicates no authentication will take place even though a file or archive has been signed.

# Filters (\*SYSTEM |\*ALL |\*NONE |\*TAMPER |\*TRUSTED |\*EXPIRED |\*REVOKED |\*NOTAMPER |\*NOTTRUSTED |\*NOTEXPIRED |\*NOTREVOKED )

The authentication filter policies settings are defined in the enterprise security file supplied by the SecureZIP administrator (See PKCFGSEC). These global policy settings can be revised with sub-parameter values. The variables are cumulative from the global setting.

- \*SYSTEM All filter policies are from the global settings.
- \*ALL This sub-parameter activates all levels of authentication. If followed by negating sub-levels, then all but those negating levels are activated. For example: \*ALL NOTEXPIRED means that expired certificates will not cause an authentication error, but TRUST and TAMPERCHECK must both be satisfied.
- \*NONE Will negate all the policies.
- \*TAMPER This sub-parameter signifies that a verification of the data stream should be done against the digital signature.
- \*TRUSTED This sub-parameter signifies that the entire certificate authority chain must be validated. This includes locating the root (self-signed) certificate on the local system.
- **\*EXPIRED** This sub-parameter signifies that certificate date range validation should be performed on the certificates (including the certificate authority chain). Although the term "expired" is used, a certificate that has not yet reached its valid data range specification will fail.
- \*REVOKED A certificate owner may request that the issuing certificate
  authority declare a certificate to be revoked and thereby no longer consider
  that certificate to be valid. The authentication operation will fail if any of the
  certificates in the trust chain are found to have been revoked, or if the
  revocation status could not be determined
- \*NOTAMPER Negates the \*TAMPER filter.
- \*NOTTRUSTED Negates the \*TRUSTED filter.
- \*NOTEXPIRED Negates the \*EXPIRED filter.
- \*NOTREVOKED Negates the \*REVOKED filter.

# COMPAT

# COMPAT(\*NONE|\*PK400)

Specifies that PKZIP will create and store extended data field information in another supported format or previous version. At this time, only "PKZIP Version 4.0 for OS/400" is supported.

The allowable values are:

\*NONE The extended data fields will be in **PKZIP** versions 5.0

and above formats.

**\*PK400** The extended data fields will output to the archive in the

format used by "PKZIP Version 4.0 for OS/400" product. This option should be used if the archive file will be extracted by "PKZIP Version 4.0 for OS/400" and the attributes are required to create the files. The files can be extracted without this option, but the files may have to be manually created in order to have the proper attributes (such as record length and text descriptions).

# **COMPRESS**

```
Compression:

Level . . . . *SUPERFAST *SUPERFAST, *FAST, *NORMAL...

Method . . . *DEFLATE32 *DEFLATE32, *DEFLATE64...
```

Or

COMPRESS(\*FAST \*DEFLATE64)
COMPRESS(E1 \*DEFLATE32)
COMPRESS(\*STORE)

This parameter specifies the speed and compression level when zipping a file. Currently there are 2 entries for the COMPRESS parameter (Level and Method).

# Compression Level (\*SUPERFAST| \*FAST| \*NORMAL|\*MAX|\*STORE|\*TERSE |E0 thru E9)

The compression level option specifies a compression level and speed to be used. The option works in conjunction with the compression method option and specifies a depth of compression using a sliding scale of values.

The allowable values are:

\*FAST Fast selection provides ample compression at a fast rate.

Same as E2.

\*SUPERFAST This is the default selection. This will compress in the

fastest time, but will compress the files by the least

amount. Same as E1.

\*MAX This level provides the maximum compression possible,

but will also take the longest in time to process. Same

as E4.

\*NORMAL The normal compression level provides good

compression amount at a reasonable speed. Same as

E3.

**\*STORE** No compression. Store will also be used if the other

methods tried result in a file larger than the original.

Same as E0.

\*TERSE This selection provides a terse compression algorithm

provided with the iSeries by IBM as an API. This is much faster but is less efficient than FASTEST, and can only be decompressed on the iSeries. Do not use this option if you wish to unzip the archive on another

platform.

**\*E0 thru E9** E0 thru E9 are custom levels that can be used to try and

obtain the results based on your input files and desired

time and compression results.

The following table shows the balance of degree of compression and speed of compression. The levels range from 0 (fastest speed with no compression) to 9 (highest level of compression, usually taking the longest amount of time and using the most processor time).

Synonym	Level	Usage
STORE, E0	0	No compression is performed.
SUPERFAST, E1	1	Compression Method: Deflate32 or Deflate64
FAST, E2	2	Compression Method: Deflate32 or Deflate64
NORMAL, E3	3	Compression Method: Deflate32 or Deflate64
MAXIMUM, E4	4	Compression Method: Deflate32 or Deflate64
E5	5	Compression Method: Deflate32 or Deflate64
E6	6	Compression Method: Deflate32 or Deflate64
E7	7	Compression Method: Deflate32 or Deflate64
E8	8	Compression Method: Deflate32 or Deflate64
E9	9	Compression Method: Deflate32 or Deflate64

#### **Usage Notes:**

- Compression levels 1 through 9 all work with Deflate32 and Deflate64 compression methods.
- "Maximum" is retained at level 4 to provide equivalent compression ratios with earlier releases. Higher levels may yield better compression ratios than previously obtained with "Maximum".
- Compression results are data-stream dependent and produce non-linear results. When configuring a job for high volume processing, benchmarking

- results with sample file may be of value to find the best balance between compression ratio and resources (elapsed and processor time).
- In many cases, levels 8 and 9 do not produce significant compression results over level 7.
- When compression level is STORE, or E0, the compression method will be set automatically to store.
- When migrating from earlier releases of PKZIP<sup>i</sup>, a difference in compression ratio/processor time can be expected for a given data stream and setting. Although internal settings have been tuned to produce similar results across the scale of levels, a specific level setting may not produce faster speeds or better compression for a data stream. If these criteria are of importance, then benchmarking should be performed to achieve the "best" fit results with the new algorithms.

# Method (\*DEFATE32 |\*DEFLATE64 |\*STORE |\*TERSE)

This option specifies the algorithm to be used when compressing a file during ZIP processing. The method works in conjunction with the compression level option to specify a depth of compression.

STORE performs no compression of the data. Deflate64 (using the same level control) will usually produce better compression with less processor time than Deflate32.

The allowable values are:

\*DEFLATE32 Use the Deflate 32 algorithm.

\*DEFLATE64 Use the Deflate 64 algorithm.

**\*STORE** Store Data with no compression.

**\*TERSE** Use the IBM Terse algorithm.

# **Usage Notes:**

- When compression method is store is specified, the compression level will be set automatically to \*STORE.
- The GZIP specification only supports Deflate32. When GZIP(\*YES) is encountered, PKZIP will automatically switch from Deflate64 or STORE to Deflate32.
- Not all non-PKWARE "ZIP compatible" products in the market support the more advanced Deflate64 algorithm. If the intended target systems support Deflate64, then it may be chosen for the best compression/speed performance.

# CRTLIST

# CRTLIST(\*NONE| path/filename | \*SIMULATE)

Specifies that PKZIP will create an output file with a list of entries that would have been compressed based upon the selection criteria in the FILES and EXCLUDE parameters.

See parameter TYPLISTFL for file system type.

\*NONE

Default. No list file will be created.

path/filename

Enter the file path and name of the file to create. The layout depends on which file system you want to create

the file in.

Library File System: The format is "library/file(member)".

Integrated File System (IFS):

The format is "path1/path2/../pathn/filename".

\*SIMULATE

Will simulate the file selection and show the selection as a printed or message list instead of writing to a list file.

# **CVTDATA**

# CVTDATA(External Program Conversion Extended Data)

Specifies the extended data that is passed to the external program CVTNAME. When CVTFLAG is not \*NONE, the contents of the parameter are passed to provide extended flexibility in controlling how the iSeries names are stored in the archive. The *System Administrator's Guide* contains more information on CVTNAME.

#### External Program Conversion Extended Data

Specify up to 255 bytes of unedited data which is passed to the exit program CVTNAME to assist in controlling the program logic.

# **CVTFLAG**

# CVTFLAG(\*NONE| Conversion Flags)

Specifies the flags passed to the external program CVTNAME. These are used to control how the iSeries names are stored in the archive. The *System Administrator's Guide* contains more information on CVTNAME.

**\*NONE** Conversion exit is not active.

**Conversion Flags** Specify a five-byte flag that is passed to the exit

program CVTNAME to control the program logic. If the name passed back is blank, then conversion is referred

back to the setting of the CVTTYPE parameter.

# **CVTTYPE**

# CVTTYPE(\*NONE|\*DROP|\*SUFFIX)

Specifies how the iSeries library and file names are stored in the archive. Since the length of the library name, file name, and member name can each be up to 10 characters, and MS/DOS format requires a maximum of 8 characters with an optional extension, this option allows name compatibility.

The allowable values are:

\*SUFFIX This forces any iSeries name with more than 8

characters to create a name of 8 characters and a period(.), followed by characters 9 and 10 to be

considered an extension to suffix.

**\*NONE** This leaves the iSeries name as the archive name.

**\*DROP** This forces any iSeries name with more than 8

characters, to drop characters 9 thru 19.

# **DATEAB**

# DATEAB(mmddyyyy)

Used with DATETYPE parameter, DATEAB specifies the date to be used to compare with the files latest modification date for file selection. The format is mmddyyyy, where "mm" is a valid month (01-12), "dd" is valid day of the month, and "yyyy" is the four digits of the year (2001).

#### **DATETYPE**

#### DATETYPE(\*NO|\*BEFORE|\*AFTER)

Specifies if PKZIP should select files based upon a file modification date.

The allowable values are:

**\*NO** No date selection will take place.

**\*BEFORE** Files with a modification date before the date in

DATETYPE will be selected.

\*AFTER Files with a modification date on or after the date in

DATETYPE will be selected.

# **DBSERVICE**

# DBSERVICE (\*NO|\*YES)

Specifies if the iSeries special database extended file attributes describing the database file, fields and keys are to be store in the archives. This will force the

option EXTRAFLD(\*YES). The database will also be stored in binary mode. This mode can produce larger archive files.

The allowable values are:

\*NO
 \*YES
 Does not store database extended services attributes.
 \*YES
 Stores the database extended service attributes in the

archive file and treat non-SAVF as a database.

# **DFTARCHREC**

# DFTARCHREC(132|Record Length)

Specifies the record length to use when creating an archive file in the QSYS library system. If the TYPARCHFL parameter is \*DB, and the archive file does not exist, the archive file will be created with the record length specified in this parameter.

**Note:** A large record length will leave a high residual number if only one byte is use in the last record.

The allowable values are:

<u>132</u> Default is record length of 132 to match previous

versions.

**Record Length** A decimal number from 50 to 32000.

# **DELIM**

#### DELIM(CRLF | CR | LF | LFCR)

When compressing a text file (not binary), the DELIM parameter specifies what characters are to be appended at the end of records to serve as delimiters. The delimiter is removed from the record when it is decompressed.

The allowable values are:

This is the default selection. Specifies for **PKZIP** to use

the default delimiter CR-LF (x'0D0A') at the end of each

text record.

**CR** Appends an ASCII carriage return (hex 0D).

LFCR Appends an ASCII line feed character (hex 0A).

Appends an ASCII line feed character (hex 0A0D).

Note that transfers of MS-DOS records uses a CRLF for a delimiter, while UNIX records use a LF.

# **DIRNAMES**

# **DIRNAMES(\*YES|\*NO)**

Specifies to store directories as an entry. This is valid only for files in IFS.

\*YES\*NOStore the directories as entries in the archive.\*NODo not store directories as an archive entry.

# **DIRRECRS**

# DIRRECRS(\*NONE|\*FULL|\*NAMEONLY)

IFS only. Specifies whether to search recursively through directories for file selection, or only search the current, specified directory.

The allowable values are:

<u>*NONE</u>	Search only the current, specified	directory.

\*FULL Search through all directories by starting with the current, specified directory for selected files. If \*FULL is used, and \* is for file selections, all files found in all

directories below the current directory will be selected.\*NAMEONLYTo be considered a hit, the full path and file name must

match the selection statements exactly.

# **ENTPREC**

#### **Requires SecureZIP**

Or

```
ENTPREC((*MBRSET 'pkwareCertAdmin04.cer' () *RQD))
ENTPREC((*FILE '/yourpath/PKWARE/Cstores/public/pkwareCertAdmin04.cer' () *RQD))
ENTPREC((*FILE '/yourpath/PKWARE/Cstores/public/pkwareCertAdmin04.pfx' ('mypassword') *RQD))
ENTPREC((*DB 'EM=bill.Somebody@pkware.com' () *RQD))
ENTPREC((*LDAP 'EM=bill.Somebody@pkware.com' () *RQD))
```

# ENTPREC((\*INLIST 'ATEST/INLIST(ENGNEER1)' \*N)

The encryption recipient parameter defines one to many Recipients which is to be included for the ZIP process. This parameter allows 1-4 types of certificate searches to take place along with providing the ability for an include file that may contain the recipients.

The specification of this recipient ENTPREC parameter, triggers encryption to take place during ZIP processing utilizing the found recipients along with any password that may be entered.

There are four entries for the ENTPREC parameter (lookup type, recipient, password, and required).

# Lookup Type (\*NONE |\*DB |\*LDAP |\*FILE |\*MBRSET |\*SPONSOR |\*SAME)

The Lookup type would be the type of recipient search that will be used for the recipient string.

- \*DB The recipient string is defined to search using the Certificate Locator Database to access the digital certificate.
- \*LDAP The recipient string is defined to search using the LDAP server to access the digital certificate.
- \*FILE The recipient string is defined to read a specific file in a specific path in the IFS in order to access the digital certificate.
- \*MBRSET The recipient string is defined to read this specific file from the enterprise public certificate store to access the digital certificate.
- \*INLIST The recipient string defines a specific file that will contain 1 to many recipients.
- \*SPONSOR The recipient string is the encryption recipient file for a sponsoring partner. This is only valid for PKWARE PartnerLink.

#### Recipient (The recipient string name)

The recipient string format depends on what was specified for the Lookup type.

• If type is \*DB: The recipient string will either be an email address or the common name of the certificate. This depends on the configuration setting in PKCFGSEC parameter CERTDB. To override the default selection mode, you can prefix the string with EM= for email address or CN= for the common name.

For example:

```
ENTPREC((*DB 'bill.Somebody@pkware.com' () *RQD)
(*DB 'CN=bill Somebody' () *RQD)
(*DB 'EM=bill.Somebody@pkware.com' () *RQD))
```

• If type is \*LDAP: The recipient string will either be an email address or the common name of the certificate depending on the search mode configuration setting in PKCFGSEC parameter LDAP. To override the default selection mode, you can prefix the string with EM= for email address or CN= for the common name.

For example:

# ENTPREC((\*LDAP 'bill.Somebody@pkware.com' () \*RQD) (\*LDAP 'CN=bill Somebody' () \*OPT) (\*LDAP 'EM=bill.Somebody@pkware.com' () \*RQD))

 If type is \*FILE: The recipient string is defined to read a specific file in a specific path of the IFS. This file should be public-key X.509 file or privatekey X.509 certificate file.

For example:

# ENTPREC((\*FILE '/yourpath/PKWARE/Cstores/public/pkwareCertAdmin04.cer' () \*RQD))

The digital certificate file 'pkwareCertAdmin04.cer' will be in the full path '/yourpath/PKWARE/Cstores/public'.

• If type is \*MBRSET: The recipient string is defined to read a specific file from public certificate store / private certificate store of the IFS. This file should be public-key X.509 file or private-key X.509 certificate file.

For example:

# ENTPREC((\*MBRSET 'pkwareCertAdmin04.cer' () \*RQD))

The digital certificate file 'pkwareCertAdmin04.cer' will be in the full path of the public certificate store defined in the Enterprise Security Configuration public store(parameter CSPUB). If a password was included, the file would be searched for in the Enterprise Security Configuration private store (parameter CSPRIV).

- If the type is \*INLIST: The recipient string defines a full file name of an input list file that contains records of ENTPREC shortcut parameters. The type of file will in the QSYS library file system if TYPLISTFL(\*DB) is set and will be a path file name in the IFS if TYPLISTFL(\*IFS) is set. The format of the ENTPREC shortcut parameters are define below in the \*INLIST usage section.
- If type is \*SPONSOR, the recipient string is the sponsor recipient file stored in the '.../Sponsor/Recip' folder. If the recipient string is all numeric, the name will automatically be formatted as R0000000.p7, assuming that the number is the sponsor ID number.

#### Password (Private Cert Password)

The password is required only if the certificate that is being selected is a private certificate. This option should be omitted if a public certificate will be utilized.

# Required (\*RQD|\*OPT|\*SAME)

If \*RQD, then this recipient must be found during the selection, and the certificate must be valid, or the ZIP/UNZIP run will fail.

# **Usage Notes:**

The ZIP process only requires a X.509 public-key format certificate to encrypt files. The UNZIP process requires X.509 private-key format certificate file to decrypt files and this will the input of a password.

For inlist that contains a password to open a private certificate, make sure that the security is sufficient to only allow the owner of the certificate to have read access.

Otherwise this would leave a security hole where other users could browse the password.

#### \*INLIST Usage:

If \*INLIST is defined on the ENTPREC parameter, then the recipient field will be a file that SecureZIP will read to include recipients. The format is very similar to the ENTPREC parameter describe above except each line recipient starts with "{RECIPIENT=" and is terminated by the "}" character with the semi-colon ";" as a separator for each entry.

{RECIPIENT=Lookup Type; Recipient; Password; Required}

Lookup Type See Lookup Type in ENTREC excluding the INLIST

Recipient See Recipient in ENTREC.

Password See Password in ENTREC.

Required See Required in ENTREC, but use RDQ for \*RQD and OPT for

\*OPT.

#### Examples:

{RECIPIENT=MBRSETEM; mypassword;RQD}

# Sample 1: tstpriv\_db4.inlist.

{RECIPIENT=DB;EM=PKTESTDB4@nowhere.com;PKWARE;RQD}

# Sample 2: tstpriv\_mb3.inlist.

{RECIPIENT=MBRSET;pktestdb3.pfx;PKWARE;RQD}

# Sample 3: tstpubl1.inlist.

{RECIPIENT=MBRSET;pktestdb3.crt;;RQD} {RECIPIENT=MBRSET;pktestdb4.crt;;OPT}

#### Sample 4: tstpubl2.inlist.

{RECIPIENT=DB;EM=PKTESTDB3@nowhere.com;;RQD} {RECIPIENT=DB;CN=PKWARE Test4;;OPT}

#### **ENCRYPOL**

#### **Requires SecureZIP**

Encryption Filters:

Validate Level .... \*SYSTEM \*VALIDATE, \*WARN, \*NONE...

```
Filters . . . . . . *SYSTEM *SYSTEM, *ALL, *NONE...
+ for more values
```

Or

ENCRYPOL(\*WARN (\*SYSTEM))
ENCRYPOL(\*WARN (\*ALL \*NOTTRUSTED))
ENCRYPOL(\*SYSTEM (\*ALL \*NOTEXPIRED))

This parameter defines the processing options and filters that should apply when the ENTPREC is used to encrypt files with certificate keys.

# Validate Level (\*VALIDATE |\*WARN |\*SYSTEM)

The validate level specifies the type of encryption certificate error processing that is used if certificates are specified in ENTPREC. If \*SYSTEM is specified, the enterprise setting from PKCFSEC is used. If the enterprise setting is defined as lockdown, then this parameter cannot be revised and a warning will be issued if a change is detected.

- \*SYSTEM Indicates the authentication processing that is set in the environmental setting will be used.
- \*VALIDATE Indicates that when encryption with certificates (ENTPREC parm) takes place and a failure based on the filters occurs, the run will be considered a failure and the message issued at the end will indicate one or more errors during the run.
- \*WARN Indicates that when encryption with certificates (ENTPREC parm)
  takes place and a failure based on the filters occurs, the failure is only
  considered a warning. The messages at the end of the run will not consider
  any failed filters for encryption certificates as errors.

# Filters (\*SYSTEM |\*ALL |\*NONE |\*TRUSTED |\*EXPIRED |\*REVOKED |\*NOTTRUSTED |\*NOTEXPIRED |\*NOTREVOKED)

The ENTPREC certificate filter policies settings are defined in the enterprise security file supplied by the SecureZIP administrator (see PKCFGSEC). These global policy settings can be revised with sub-parameter values, but if the enterprise setting is defined as lockdown, this parameter cannot be revised and a warning will be issued if a change is detected. The variables are cumulative from the global setting.

- \*SYSTEM All filter policies are from the global settings.
- \*ALL This sub-parameter activates all levels of authentication. If followed by negating sub-levels, then all but those negating levels are activated. For example: \*ALL, NOTEXPIRED means that expired certificates will not cause an authentication error, but TRUST and REVOKE must both be satisfied.
- \*NONE Will negate all the policies.
- \*TRUSTED This sub-parameter signifies that the entire certificate authority chain must be validated. This includes locating the root ("self-signed") certificate on the local system.
- **\*EXPIRED** This sub-parameter signifies that certificate date range validation should be performed on the certificates (including the certificate

authority chain). Although the term "expired" is used, a certificate that has not yet reached its valid data range specification will fail.

- \*REVOKED A certificate owner may request that the issuing certificate
  authority declare a certificate to be revoked and thereby no longer consider
  that certificate to be valid. The encryption certificate request will fail if any of
  the certificates in the trust chain are found to have been revoked or if the
  revocation status could not be determined.
- \*NOTTRUSTED Negates the \*TRUSTED filter.
- \*NOTEXPIRED Negates the \*EXPIRED filter.
- \*NOTREVOKED Negates the \*REVOKED filter.

# **ERROPT**

# ERROPT(\*END|\*SKIP)

Specifies what action to take if an error occurs while processing (selecting or compressing) a spool file.

The allowable values are:

**\*END** The PKZIP will end without completing the compression

of the file. The archive is not updated.

\*SKIP The program will skip the file with the input error and

continue to process all other files to completion.

Message AQZ0022 will be issued at the end to indicate

that an error occurred.

# **EXCLFILE**

# EXCLFILE(\*NONE| path/filename)

This parameter specifies the file containing the list of files to be excluded. This can be used with or without the EXCLUDE parameter. See parameter TYPLISTFL for file system type information.

**\*NONE** No list file will be processed.

path/filename Enter the file path and name of the file to process. The

layout depends on which file system you want the file

created.

**Library File System:** 

The format is "library/file(member)".

**Integrated File System (IFS):** 

The format is "path1/path2/../pathn/filename".

# **EXCLUDE**

# EXCLUDE(file\_specification1, file\_specification2,... file\_specification n)

Specifies the files and file specification patterns that will be excluded from the PKZIP run. One or more names can be specified. Each name should be in the OS/400 file system format, such as, QSYS is library/file(member) and IFS is directory/file, and can include wildcards "\*" and "?."

**Note:** If TYPE(\*VIEW) is being used, then the format for these names is the MS/DOS format.

The PKZIP program can also exclude file specifications by using the list file parameter EXCLFILE with a list of names to exclude.

Please refer to "File Selection and Name Processing" in Chapter 1 for details of file specification formatting.

The valid parameter values for the FILES keyword are as follows:

'file\_specification1'

'file\_specification2'

'file\_specification n'

# **EXTRAFLD**

# EXTRAFLD(\*YES|\*NO)

Specifies if the basic **PKZIP**<sup>i</sup> extended file attributes should be stored in the archive. Some basic file attributes are record size, library text description, file text description, etc.

The allowable values are:

<u>*YES</u>	Store the basic normal iSeries file attributes.	This is the

default and will be the same as coding \*BOTH.

**\*NO** Do not store any extended attributes.

\*CENTRAL Stores the basic normal iSeries file attributes in only the

archive's central directory. This will reduce the overall archive size by only storing the attributes in the Central.

\*LOCAL Stores the basic normal iSeries file attributes in only the

archive's local directory. *Warning:* PKUNZIP only utilizes the central directory for extended data

attributes.

**\*BOTH** Stores the basic normal iSeries file attributes in <u>both</u> the

archive's central directory and local directory.

Migration consideration: if the archive will be processed by an earlier release of PKZIP for OS/400™ and the attributes are required, then \*BOTH should be coded.

# **FILES**

# FILES(file\_specification1, file\_specification2,... file\_specification n)

Specifies the files and file specification patterns that will be selected in the PKZIP process. One or more names can be specified. Each name should be in the OS/400 file system format, such as, QSYS is library/file(member) and IFS is directory/file, and can include wildcard "\*" and "?." For the IFS, the path and file name can up to 256 characters and can contain embedded spaces.

If the FILES parameter starts with a question mark (?) or a dash (-), then PKZIP assumes that a Save command is being entered to activate the iPSRA feature. For details on how to enter iPSRA commands, see Chapter 6.

The key word "\*COPY" as an option of FILES parameter, will copy the files from the input archive to the new archive. This can be used when creating a new archive with a different name and avoid selecting any new files.

**Note:** If TYPE(\*VIEW) or TYPE(\*DELETE) is being used, then the format for these names is the MS/DOS format.

The PKZIP program can also have file specifications selections to include by using the list file parameter INCLFILE with a list of names to select.

Files may also be excluded. See the EXCLUDE parameter.

Please refer to "File Selection and Name Processing" in Chapter 1 for details of file specification formatting.

The valid parameter values for the FILES keyword are as follows:

```
'file_specification1'
'file_specification2'...
'file_specification n'
```

# **FILESTEXT**

# FILESTEXT(\*NO|\*ALL|\*NEW|\*UPDATE)

Specifies if PKZIP allows the editing (and the type of editing performed) of a file's text comments that are stored in an archive.

The allowable values are:

<u>*NO</u>	No comment editing (the default).

\*ALL Add comments or edit comments for all files in the

archive.

**\*NEW** Add comments only for new files that are added to the

archive.

**\*UPDATE** Add or edit the comments of files that are added,

updated, or freshened in the archive. Only file comments of files that are affected by a change are

eligible for editing.

## **FILETYPE**

## FILETYPE(\*BINARY|\*DETECT|\*EBCDIC|\*FIXTEXT|\*TEXT)

Specifies whether the files selected are treated as text or binary data. For text files added to an archive, trailing spaces in each line are removed, the text is converted to ASCII (based on the translation tables) by default, and a carriage return and line feed (CR/LF) are added to each line before the data is compressed into the archive. Binary files are not converted.

The default is \*DETECT; where PKZIP attempts to make a determination based on the nature of the data itself. The program will read in a portion of the data, evaluate it, and determine the appropriate process.

**Note:** This will lower performance time. A message will display the type used when compressing.

Use of text file option is usually faster because PKZIP has to process less data than with \*BINARY, but more processing may also take place to perform the translation.

If the file is a SAVF or a database file (with DBSERVICE(\*YES)), then the file will be processed as binary regardless of what option is specified.

*BINARY	Specifies that the files selected are binary files and no
---------	-----------------------------------------------------------

translation should be performed.

\*DETECT The PKZIP program will try to determine the data type

of text or binary.

\*EBCDIC Specifies that the files selected are text files and leaves

it in EBCDIC without performing any translation. This is good only if the files are to be used on an iSeries or IBM-type mainframe. If they will be unzipped to a PC file, then a translation from EBCDIC to ASCII is required.

\*FIXTEXT Specifies that the files selected are text files with a fixed

record length based on the iSeries file's record length and translation will be performed using the translate tables specified in the TRAN option. This means the compressed file will contain records with trailing spaces followed by a CR and LF. This is only valid for QSYS library file types as files in the IFS do not contain a

record length.

\*TEXT Specifies that the files selected are text files and

translation will be performed using the translate tables

specified in the TRAN option.

## **FNE**

## **Requires SecureZIP**

## FNE(\*YES|\*NO \*YES|\*NO

File Name Encryption		:						
Create FNE Archive		•	•	•	•	*NO	*NO, *YES	
Overwrite In FNE .	•	•			•	*NO	*NO, *YES	]

#### FNE(\*NO \*NO)

Specifies the activation and use of the file name encryption feature.

The first option controls the creation of an archive with file name encryption.

*NO	Do not create the new/updated archiv	e as filename-

encrypted archive.

**\*YES** Create the new or updated archive into a filename-

encrypted archive. If the archive exist, then the security features will be defined by the inputted FnE archive. If no archive exist, the new filename-encrypted archive will

use the encryption method define with ADVCRYPT parameter and the PASSWORD and/or ENTPREC

parameters.

The second option controls the overwriting of a filename-encrypted input archive to remove the filename encryption. This option is used with the first option of \*NO (do not create an filename-encrypted archive), and with an existing filename-encrypted archive is input for update. Coding this option to \*NO indicates that you know that the input archive is filename-encrypted and you want overwrite it to produce an archive that is not filename-encrypted.

**\*NO** Do not allow an existing filename-encrypted archive to

be changed to a non-filename-encrypted archive.

\*YES Allow an existing filename-encrypted archive to be

changed to a non-filename-encrypted archive when

archive is updated.

## **FTRAN**

## FTRAN(\*ISO88591 |\*INTERNAL| Member Name)

Specifies the translation table for use in translating "file names, comments, and password" from the iSeries EBCDIC character set to the character set used in the archive file (normally ASCII character set). A default internal table is predefined. See Appendix D for additional information.

\*ISO88591 The predefined internal table for translation. This table

provides translation that is consistent with the ISO

8859-1 definitions. This table uses the EBCDIC code page 037 and the ASCII code page 819 for translation.

\*INTERNAL To provide some compatibility to pre V8 version,

\*INTERNAL will use the internal tables that were the

default in V5 PKZIP.

membername Specify the member name in the file PKZTABLES that

will be parsed and used to translate "file names and comments" files to the archive character set. The member should have the exact format of member ISO9959\_1 in file PKZTABLES. See Appendix D for

information on defining translation tables.

## **GZIP**

## GZIP(\*YES|\*NO)

If this option is set to \*YES, PKZIP will create a compressed archive in the GZIP format. The GZIP format only allows for one file or member per archive and all text data is stored in ISO 8859- 1 (LATIN- 1) character set. The GZIP format is very different from the *PKZIP* archive format, a program that can process *PKZIP* archives will not necessarily process a GZIP archive correctly. The GZIP archive created conforms to the GZIP specifications RFC1951 and RFC1952.

Do not use this option if the archive is to be unzipped on another platform where GZIP compatibility is not confirmed.

The allowable values are:

\*YES The PKZIP program will create a compressed archive in

the GZIP format.

\*NO The PKZIP program will create an archive in the **PKZIP**®

format. This is the default.

## **IFSCDEPAGE**

## IFSCDEPAGE(\*NO| Code-Page)

If this option is set to \*NO, PKZIP will read IFS files using the code page that is registered for the file. Otherwise, PKZIP will read IFS files with the specified code page.

This parameter also controls the spool file ASCII conversion for \*TEST and \*PDF documents. When \*NO is specified for spool Files, the conversion will use code page 819.

The allowable values are:

**\*NO** The PKZIP program will read IFS files with the code page

registered for the file. If the file is a spool file, the code

page 819 will be used. This is the default.

Code-Page The PKZIP program will read IFS files with the specified

code page value. If the file is a spool file, it is the code page that a spool file will use for ASCII translation.

## **INCLFILE**

## INCLFILE(\*NONE| path/filename)

This parameter specifies the file containing the list of files to be selected for inclusion. This can be used with or without the FILES parameter. See parameter TYPLISTFL for file system type information.

\*NONE No Include list file will be processed. This is the default.

path/filename Enter the file path and name of the file to process. The

layout depends on which file system you want to create

the file in.

Library File System:

The format is "library/file(member)".

Integrated File System (IFS):

The format is "path1/path2/../pathn/filename".

## **MSGTYPE**

## MSGTYPE(\*PRINT|\*SEND|\*BOTH)

Specifies where the display of messages and information should be shown. The PKZIP program has the ability to send messages that appear on the log and/or the ability to print to stdout and stderr. If working interactively, stdout and stderr will show upon the dynamic screen. If submitted via batch, you can override them to print in an OUTQ or build a CL and save them to an outfile.

**\*SEND** Send the information to the log with send message

commands.

\*PRINT Send the information to stdout and stderr.

**\*BOTH** Send the information to the log with send message

commands and also to stdout and stderr.

## **PASSWORD**

## PASSWORD(Archive Password)

Specifies a password for files being added to an archive. This password may be up to 64 characters in length and is case sensitive. All files selected for archiving will be encrypted using the specified password.

**Note:** There is no way to extract the password used from the archive data. If the password is forgotten, the file will become inaccessible. If files in an archive need to have different passwords, PKZIP must be run for each password required.

Since the password is entered in EBCDIC, the translation table referenced in the FTRAN parameter is used to translate it to ASCII. Care should be take when using the FTRAN override and when using a password. To use password-protected files, the same FTRAN override option is required.

## **SELFXTRACT**

## SELFXTRACT (\*MAINTAIN| WINDOWS| UNIX| LINUX| \*REMOVE)

This licensed feature specifies the action to take concerning self extracting archives. The actions are to maintain the current archive as is, create the new archive with a self extracting preamble, or to remove the self extracting preamble if one exist in the archive.

The self extracting programs are held as binary entities in the *PKZIP*<sup>i</sup> library in the file PKZIPSFX. The appropriate member is loaded and the executable data copied to the beginning of the archive as a preamble when requested.

The resulting archive can still be processed by  $PKZIP^{i}$  as a normal ZIP archive.

The allowable values are:

MAINTAIN

LINUXINTEL

MAINIAIN	preamble, it will be maintained at the beginning of the updated archive.
WINDOWS	The Windows version of the self extracting preamble will be installed to archive. (Microsoft Windows 95 and later)
AIX	The AIX version of the self extracting preamble will be installed to archive. (IBM AIX Version 4.0 and later)
HP_UNIX	The HP UNIX version of the self extracting preamble will be installed to archive. (HP/UX Version 9.0 and later)
SUN_UNIX	The Sun UNIX version of the self extracting preamble will be installed to archive. (Sun Solaris 2.3 (SunOS 53) and later)

The Linux version of the self extract preamble (if

If the current archive contains a self extracting

available) will be installed to archive. (LINUX Kernel 2.x for Intel Note: libc-5 must be installed on the target

system.)

\*REMOVE If the current archive contains a self extracting

preamble, it will be removed.

## **SFUSER**

#### SFUSER (\*CURRENT|\*ALL |User Name List)

Specifies the user names that created spool files that will be selected. This value is ignored if SFJOBNAM is coded.

The allowable values are:

\*CURRENT Only files created by the user running this command are

selected.

**\*ALL** Files created by all users are selected.

**User Name** Specify up to 10 user names. Only files created by

those users are selected.

## **SFQUEUE**

## SFQUEUE (\*ALL |Name|\*LIBS)

Specifies the output queue that will be searched for the spool file selections. If no OUTQ library is specified, it will default to \*LIBL.

The allowable values are:

\*ALL Files on any device-created or user-created output

queue are selected.

**OUTQ** The OUTQ that will be searched.

**OUTQ Library** The library where the OUTQ resides. Defaults to \*LIBL.

\*LIBS will search all OUTQ that exist in the specified

OUTQ Library. If \*LIBS is selected then the library cannot be blank, nor contain \*LIBL nor \*CURRENT.

## **SFFORM**

## SFFORM (\*ALL | \*STD| Form Type)

Specifies the spool file form type that is on the spool files that will be selected.

The allowable values are:

**\*ALL** Files for all form types are selected.

\*STD Only files that specify the standard form type are

selected.

**Form Type** Only spool files with this specific form type will be

selected.

## **SFUSRDTA**

#### SFUSRDTA (\*ALL| User Data)

The user data tag associated with the spool file to select.

The allowable values are:

\*ALL Files with any user data tag specified are selected.

**User Data** Only spool files with this specific user data tag will be

selected.

## **SFSTATUS**

## SFSTATUS (\*ALL |\*READY|\*HELD|\*CLOSED|\*SAVED|\*PENDING|\*DEFERRED)

Specifies the statuses of the spool files to be selected. Up to four statuses can be selected for one run.

The allowable values are:

\*ALL

*READY	Only spool files with a status of *READY will be selected.
*HELD	Only spool files with a status of *HELD will be selected.

All spool file status will be considered for selection.

\*CLOSED Only spool files with a status of \*CLOSED will be

selected.

**\*SAVED** Only spool files with a status of \*SAVED will be selected.

**\*PENDING** Only spool files with a status of \*PENDING will be

selected.

\*DEFERRED Only spool files with a status of \*DEFERRED will be

selected.

## **SFJOBNAM**

#### SFJOBNAM(Blank|\*|Spool File Jobname/User/Job Number)

Specifies the job name, user name, and job number that will be used to select spool files. If anything other than blanks is in SFJOBNAM parameter, it will be used as the primary selection criteria. If any of the three fields (job name, user name, and job number) are specified, then all three fields must be entered and be valid.

The allowable values are:

<u>Blank</u>	This is the default selection. This will cause all other selection criteria to be used for spool files.			
*	The * will cause the current job-name/user-name/job number to be used to select spool files.			
Job-name	Specify the name of the job to be selected. If no job qualifier is given, all of the jobs currently in the system are searched for the simple job name.			
User-Name	Specify the name that identifies the user profile under which the job is run.			
Job-Number	Specify the job number assigned by the system.			

## **SFTARGET**

## SFTARGET (\*SPLF|\*TEXT|\*PDF|\*TEXT1|\*TEXT2)

Specifies the format of the file that will be stored in the archive.

The allowable values are:

*SPLF	This is the default selection.	This will compress the

spool file in a spool file format with all of the spool file attributes. This format is only valid on an AS/400. If the archive is extracted, it will take on the latest spool file settings, such as, job name, user, job number, spool file number, etc. The suffix for this selection is SPLF. Parameter SFTGFILE is required to be \*GEN1 for

SFTARGET(\*SPLF).

\*TEXT The spool file will be saved in the archived as an ASCII

text document. The suffix for this selection is .TXT. each new page will have a form feed control character.

\*TEXT1 The spool file will be saved in the archived as an ASCII

text document. The suffix for this selection is .TXT. Each new page will have a carriage control and line feed

control characters.

\*TEXT2 The spool file will be saved in the archived as an ASCII

text document. The suffix for this selection is .TXT. Each page will have a carriage control and line feed control characters for blanks lines to fill out a page with the number lines required by the spool file attribute.

\*TEXTFC The spool file will be saved in the archive as an ASCII

Text document. The suffix for this selection is .TXT. Each new page will have a form feed control character.

\*PDF The spool file will be saved in the archived as a PDF text

document. The suffix for this selection is .PDF. The size will be adjusted based upon the width and length of the

spool file.

\*PDFLETTER The spool file will be saved in the archived as a PDF text

document. The suffix for this selection is .PDF. The size will be adjusted based upon the width and length of the

spool file.

\*PDFLEGAL The spool file will be saved in the archived as a PDF text

document. The suffix for this selection is .PDF. The size will be adjusted based upon the width and length of the

spool file.

## **SFTGFILE**

## SFTGFILE (|\*GEN1|\*GEN2|\*GEN1P|File Name)

Specifies the how the file name will be stored in the archive.

The allowable values are:

\*GEN1 is the default selection. This generates a very

specific name using most of the spool file name attributes to form the file name so that it will not be duplicated. \*GEN1 is required if SFTRAGET is \*SPLF.

The name will be built as follows:

"Job-Name/User-Name/#Job-Number/Spool-File-

Name/Fspool-File-Number.Suffix"

"MYJOB/BILLS#152681/INVOICE/F0021.SPLF"

The suffix is dependent on the SFTARGET setting.

\*GEN1P generates the same file name as \*GEN1 except

instead of a '/' separator, \*GEN1P will use a '.' as name

separator.

\*GEN2 uses the spool file name and appends the spool

file number followed by the suffix that is depended on the SFTARGET setting. Caution should be taken in that a duplicate file name in the archive could be created. An example of GEN2 is a spool file INVOICE with spool file number of 21 that will be converted to a text file will

generate a file name of INVOICE21.TXT.

**File Name** This parameter should only be used when selecting one

specific spool file where you want a specific file name.

## <u>SPLFILE</u>

## SPLFILE (\*ALL| Spool File Name)

Specifies the spool file name that will be selected. This parameter is used along with all the other spool file selection parameters to determine the spool files to select.

The allowable values are:

\*ALL This is the default setting. \*ALL indicates that spool file

name is not important.

**Spool File Name** A specific spool file name that will be searched for and

selected.

#### SPLNBR

## SPLFILE (\*ALL|\*LAST| Spool File Number)

Specifies the number of the spool file from the job whose data records are to be selected. If \*ALL is coded then all file numbers are considered. This parameter is <a href="mailto:only valid">only valid</a> when the SFJOBNAM parameter or SPLFILE is used. This parameter is used along with all the other spool file selection parameters to determine the spool files to select.

The allowable values are:

\*ALL This is the default setting. \*ALL indicates that spool file

number is not important.

**\*LAST** The spooled file with the highest number is used.

Spool File Number A number 1-9999 to specify the number of the spooled

file whose data records are to be selected.

## **SIGNERS**

#### **Requires SecureZIP**

Or

```
SIGNERS((*FILE *MBRSET
    'pkwareCertAdmin04.pfx' (password) *RQD))
SIGNERS((*FILE *FILE
    '/yourpath/PKWARE/Cstores/private/pkwareCertAdmin04.pfx' (password) *RQD))
SIGNERS((*FILE *FILE
    '/yourpath/PKWARE/Cstores/private/pkwareCertAdmin04.pfx' ('mypassword') *RQD))
SIGNERS((*FILE *DB
    'EM=bill.somebody@pkware.com' (password) *RQD))
SIGNERS((*FILE *INLIST 'ATEST/INLIST(ENGNEER1)' *N)
```

This parameter identifies the public key certificate with private key that is to be used to digitally sign files to be added to the archive and/or the archive directory. Multiple signing certificates may be applied to the files but only one signer is allowed to sign the archive directory. Signing an archive by signing its central directory enables people who receive the archive to confirm that the archive as a whole is not changed. By contrast, signing only individual files in an archive enables people to confirm that the particular signed files are unchanged but leaves open the possibility that the archive has had files added or removed.

There are five options for SIGNERS.

## Signing Type File/Archive (\*FILE |\*ARCHIVE |\*ALL)

The File/Archive selection determines whether the files, archive or both are to be signed during the ZIP run. Only <u>one</u> signer can be specified for an archive. If the lookup type is \*INLIST, then this option will be ignored and will pickup from the records in the inlist file.

- \*FILE All new files being compressed in the run will be signed by this private key and a signature entry will be added to the archive.
- \*ARCHIVE The archive directory will be signed by this private key and a signature entry will be added to the archive.
- \*ALL Both \*FILE and \*ARCHIVE for the signer will be used.

## Lookup Type (\*<u>DB</u> |\*FILE |\*MBRSET |\*INLIST<u>)</u>

The lookup type would be the type of signer search that will be used for the signer string to lookup the private key.

- \*DB The signer string is defined to search using the Certificate Locator Database to access the digital certificate and private key.
- \*FILE The signer string is defined to read a specific file in a specific path in the IFS in order to access the digital certificate and private key.
- \*MBRSET The signer string is defined to read this specific file from the enterprise private certificate store to access the digital certificate and private key.
- \*INLIST- The signer string defines a specific file that will contain one to many signers. The TYPLISTFL parameter must specify the file type for the inlist.

## Signer (The signer string name)

The signer string format depends on what was specified for the lookup type.

• If lookup type is \*DB, the signer string will either be an email address or the common name of the certificate. This depends on the configuration setting in PKCFGSEC parameter CERTDB. To override the default selection mode, you can prefix the string with EM= for email, or CN= for the common name.

For example:

```
SIGNERS((*FILE *DB 'bill.somebody@pkware.com' (password) *RQD)
(*ARCHIVE *DB 'CN=bill somebody' (password) *RQD)
(FILE *DB 'EM=bill.somebody@pkware.com' (password) *OPT))
```

• If lookup type is \*FILE, the signer string is defined to read a specific file in a specific path of the IFS. This file should be public key X.509 with the private key X.509 certificate file.

For example:

# SIGNERS((\*ARCHIVE \*FILE '/yourpath/PKWARE/Cstores/private/pkwareCertAdmin04.pfx' (password) \*RQD))

The digital certificate file with the private key 'pkwareCertAdmin04.pfx' will be in the full path '/yourpath/PKWARE/Cstores/private'.

• If lookup type is \*MBRSET, the signer string is defined to read a specific file from the public certificate store and/or the private certificate store of the IFS. This file should be public key X.509 with the private key X.509 certificate file.

For example:

## SIGNERS((\*ALL \*MBRSET 'pkwareCertAdmin04.pfx' (password) \*RQD))

The digital certificate file 'pkwareCertAdmin04.pfx' will be in the full path of the private certificate store defined in the enterprise security configuration private store (parameter CSPRV). Since the password was included, the file will be searched for in the enterprise security configuration private store (parameter CSPRIV).

• If lookup type is \*INLIST the signer string defines a full file name of an input list file that contains records of SIGNERS shortcut parameters. The type of file will exist in the QSYS library file system if TYPLISTFL(\*DB) is set and will be a

path file name in the IFS if TYPLISTFL(\*IFS) is set. The format of the SIGNERS shortcut parameters are defined below in the \*INLIST usage section.

#### **Password**

This designates the password that is *required* for a private key (PKCS#12 file). When a value is specified, the target must be an X.509 PKCS#12 private key certificate.

The PASSWORD value may contain blanks and is delimited by the closing right parenthesis ")" of the signing command.

## Required (\*RQD|\*OPT|\*SAME)

If \*RQD then this signer MUST be found during the selection and the certificate MUST be a valid certificate with a private key or the run will fail.

## **Usage Notes:**

A NULL file (binary file having zero bytes of data) will be signed. However, note that the digital signature is based on a fixed hash value.

The entire data stream of each file is run through the hash algorithm before compression or encryption. However, file text data is translated before hashing so that the receiving system is able to hash the identical stream after decryption/decompression.

The processor requirement for a file signature is directly related to the size of the file(s) being signed and/or authenticated (see SIGN\_HASHALG). Therefore, when processing costs are a consideration, the decision whether to use SIGNERS to sign large files should be based on the business case. Sometimes signers for the archive may be more appropriate. (The directory size is proportional to the number of files in the archive, not the physical size of the file data.)

A separate signing operation is performed for each supplied certificate, for each file. Processor and elapsed time will be impacted in proportion to the number of signatories and files selected.

The number of file signatures that can be held for each file is constrained by a number of factors. These include EXTRAFLD(\*YES) and DBSERVICE(\*NO), the size of the signatures generated (based on the size of the certificate information), the number of certificates in the authenticating certificate authority chain, the number of different certificate authorities used in association with the signing certificates, if FNE(\*YES) is specified, and the number of recipients for certificate-based encryption of files. For planning purposes, typical ZIP operations will support up to 10 file signatories as a rule, although more or fewer may be achieved in practice.

It is important that the password is entered in the correct case. Any variation in case or misspelling will result in a public key certificate access attempt (which will fail for a private key PKCS#12 certificate). Please note that passwords will be masked out in all output displays.

A local certificate store configuration is required to complete the processing of this command. Even when a direct FILE specification is made to locate the private key certificate, the CS and ROOT certificate store components must be accessible to complete the certificate signing chain within the archive. This information is required to complete authentication processing on the target system when the local certificate

store on that system does not contain the certificate authority chain required to validate TRUST (see PKCFGSEC).

Processing will be terminated if none of the requested certificates can be accessed, regardless of the "R" required flag. If multiple requests are made and at least one signature is found, processing will continue normally.

Signed files are tolerated by prior releases of **PKZIP for iSeries** and **SecureZIP for iSeries** but are not processed for authentication.

For inlist that contains a password to open a private certificate, make sure that the security is sufficient to only allow the owner of the certificate to have read access. Otherwise this would leave a security hole where others could browse the password.

#### \*INLIST Usage:

If \*INLIST is defined on the SIGNERS parameter, then the signer filed will be a file that SecureZIP will read to include the signer. The format is very similar to the SIGNERS parameter described above except each line signer starts with "{SIGNERS=" and is terminated by the "}" character with the semi-colon ";" as a separator for each entry.

{SIGNERS=Signing Type, Lookup Type; Signer; Password; Required}

Signing Type See Signing Type in SIGNERS

Lookup Type See Lookup Type in SIGNERS excluding the INLIST

Signer See Signer in SIGNERS.

Password See Password in SIGNERS.

Required See Required in SIGNERS, but use RDQ for \*RQD and OPT for

\*OPT.

Examples:

## Sample 1: tstsign\_db1.inlist.

```
{SIGNERS=File;DB;EM=PKTESTDB4@nowhere.com;PKWARE;RQD}
```

## Sample 2: tstsign\_mb2.inlist.

```
{SIGNERS=ARCHIVE;MBRSET;pktestdb3.pfx;PKWARE;RQD}
```

## **SIGNPOL**

## **Requires SecureZIP**

```
Signing Filters:

Validate Level .... *SYSTEM *VALIDATE, *WARN, *NONE...

Filters . . . . . *SYSTEM *SYSTEM, *ALL, *NONE...

+ for more values
```

SIGNPOL(\*WARN (\*SYSTEM))
SIGNPOL(\*WARN (\*ALL \*NOTTRUSTED))
SIGNPOL(\*SYSTEM (\*ALL \*NOTEXPIRED))

This parameter defines the processing options and filters that should take place if the SIGNERS parameter is used to define the file or archive signing certificates.

## Validate Level (\*VALIDATE | \*WARN | \*SYSTEM)

The validate level specifies the type of signing processing that should take place if the signer requests encounter an error. If \*SYSTEM is specified, the enterprise setting from PKCFSEC is used. If the enterprise setting is defined as Lockdown, then this parameter cannot be revised and a warning will be issued if a change is detected.

- <u>\*SYSTEM</u> Indicates the authentication processing that is set in the environmental setting will be used.
- \*VALIDATE Indicates that when authentication takes place and a failure occurs based on the filters, the run will be considered a failure, and the message issued at the end will indicate one or more errors during the run.
- \*WARN Indicates that when authentication takes place and a failure occurs, the failure is only considered a warning. The messages at the end of the run will not consider any failed filters for signer certificates as errors.

# Filters (\*SYSTEM |\*ALL |\*NONE |\*TRUSTED |\*EXPIRED |\*REVOKED |\*NOTTRUSTED |\*NOTEXPIRED |\*NOTREVOKED)

The signing filter policies settings are defined in the enterprise security file supplied by the SecureZIP administrator (see PKCFGSEC). These global policy settings can be revised with sub-parameter values, but if the enterprise setting is defined as lockdown, this parameter cannot be revised and a warning will be issued if a change is detected. The variables are cumulative from the global setting. The setting of these filters defines what certificates are acceptable for signing.

- **\*SYSTEM** All filter policies are from the global settings.
- \*ALL This sub-parameter activates all levels of authentication. If followed by negating sub-levels, then all but those negating levels are activated. For example: \*ALL, NOTEXPIRED means that expired certificates will not cause an authentication error, but TRUST and REVOKE must both be satisfied.
- \*NONE Will negate all the policies.
- \*TRUSTED Each end-entity certificate used in the signature must be traced back to a trusted root certificate. The CACA and CSROOT stores on the local system performing the authentication check will be accessed to determine if the entire certificate chain can be trusted. Although the root ("self-signed") certificate may be included within the archive, it MUST also exist in the CSROOT store to complete the TRUSTED state.
- **\*EXPIRED** The digital certificates used to originally perform the signing operation contain internal date ranges of validity. The signer operation will fail if any of the certificates in the trust chain are not found to be within their stated data range. Note that an end-entity certificate may have expired at the

time that the archive is being accessed, and NOTEXPIRED may be used to continue processing.

- \*REVOKED A certificate owner may request that the issuing certificate
  authority declare a certificate to be revoked and thereby no longer consider
  that certificate to be valid. The signer operation will fail if any of the
  certificates in the trust chain are found to have been revoked or if the
  revocation status could not be determined.
- \*NOTTRUSTED Negates the \*TRUSTED filter.
- \*NOTEXPIRED Negates the \*EXPIRED filter.
- \*NOTREVOKED Negates the \*REVOKED filter.

## **STOREPATH**

## STOREPATH(\*NO|\*YES)

Specifies whether to store the full path and file name in the archive, or to just save the file name. If the file is an IFS file type, the path is all directories, from the current directory, to the directory of the file. In the library system, the path is the library and the file name. The member name is considered to be the archive name.

The allowable values are:

**\*YES** Store all paths and the filename in the PKZIP archive.

**\*NO** Store only the filename in the PKZIP archive.

## <u>TMPPATH</u>

## TMPPATH(\*CURRENT| pathname)

Specifies a directory or library/file in which to build the temporary archive file. While PKZIP is compressing data into an archive, a temporary archive file name is used. The temporary file name is a 10-character name with a prefix of "PZ" followed by a time stamp (PZttttttt). If this option is \*CURRENT, the temporary file is built in the same directory (for library file systems it is same library/file with temporary member) in which the new archive will be stored and is then renamed at the end of the run to the archive name. If an override path is specified, the temporary archive file is built into that specified path, and the file is then copied to its final archive path at the end of the run. The temporary file name and path type will be the same as specified for ARCHIVE. See parameter TYPARCHFL for file system type information. Special libraries (such as QTEMP) are used frequently.

\*CURRENT Specifies that the current archive path will be used (see

ARCHIVE) to build the temporary archive file

PZxxxxxxxxx.

**pathname** Specifies a path name (if using IFS such as

/PKZIP/tempdir) or a library/file (if using the library

system).

**NOTE 1:** When using the QSYS library file system and specifying

"qtemp" as the TMPPATH, a dynamic file name and member name is created in the library qtemp. At the end of the run, the file and member are removed. If any other combination of names is used, then a dynamic

member name is created and only the member is

removed.

**NOTE 2:** When using the QSYS library file system and specifying

a TMPPATH, there may be a slight performance degradation because the archive file will have to be copied from one library/file to another library/file. Otherwise, if \*CURRENT is used, the file member name

will only be renamed.

## <u>TRAN</u>

## TRAN(\*ISO88591 |\*INTERNAL| Member Name)

Specifies the translation table for use with translating "data" from the iSeries EBCDIC character set to the character set used in the archive file (normally the ASCII character set). A default internal table is predefined (see Appendix D).

\*ISO88591 The predefined internal table for translation. This table

provides translation that is consistent with the ISO 8859-1 definitions. This table uses the EBCDIC code page 037 and the ASCII code page 819 for translation.

\*INTERNAL To provide some compatibility to pre V8 version,

\*INTERNAL will use the internal tables that were the

default in V5 PKZIP.

**Member Name** Specifies the member name in the file PKZTABLES that

will be parsed and used to translate data files to the archive character set. The member should have the exact format of member ISO9959\_1 in file PKZTABLES (see Appendix D for information on defining translation

tables).

## **TYPARCHFL**

```
Archive File:
Type . . . . . . *DB *DB, *IFS
Check ZIP64 . . . . *NONE *NONE, *WARN, *FAIL
```

Or

TYPARCHFL (\*IFS)
TYPARCHFL (\*DB \*WARN)
TYPARCHFL (\*IFS \*FAIL)

This parameter specifies the file system to create the archive and the archive constraints.

## Archive Type (\*IFS|\*DB)

Specifies the type of file system in which the archive file will exist (see parameters ARCHIVE and TMPPATH for additional information).

\*DB Archive files are to be in the QSYS library file system.

\*IFS Archive files are to be in the integrated files system

(IFS).

## Check ZIP64 (\*NONE |\*WARN |\*FAIL)

Specify the severity of message and return code when creating or updating an archive and ZIP64 processing is required.

\*NONE No action or message when ZIP64 constraint exceeded.

\*WARN Warning message AQZ0613 will be issued but processing

will continue.

\*FAIL Failure message AQZ0614 will be issued and process will

cease without building a new archive.

This feature may be of value when creating archives intended for distribution to systems that may not be able to handle the ZIP64 processing attributes. This may be due to the UNZIP software being used on the target system or the file system for the related OS. (For example, some UNIX or Windows FAT file systems cannot handle file sizes greater than 4 gigabytes).

Triggers for this option include:

- More than 65,535 files are being placed into the archive
- One or more source files are greater than 4 gigabytes in size
- The amount of data written to the archive exceeds 4 gigabytes

## TYPFL2ZP

## TYPFL2ZP(<u>\*DB</u>|\*IFS)

Specifies the type of file system that contains files to be zipped. Reflected for files in parameters FILES and EXCLUDE.

\*DB Files to be zipped are in the QSYS library file system.

\*IFS Files to be zipped are in the IFS (integrated files system)

- Case sensitive selection.

\*IFS2 Files to be zipped are in the IFS (integrated files system)

Non-case-sensitive selection.

**\*DBA** Files to be compressed are database files in the QSYS

library file system with database mode

"DBSERVICE(\*YES)", and the records are to processed in arrival sequence. This is only pertinent for database files containing keys and when it is important to retain

the arrival sequence of the data.

**\*SPL** Files to be zipped are spool files.

## **TYPLISTFL**

#### TYPLISTFL(\*DB|\*IFS)

Specifies the "type of files system" that will be used for the input list file and/or the output list file of selected items.

To use input list files, see parameters INCLFILE (file section list) or EXCLFILE (file exclude list). To create an output list file of the selected file items, see parameter CRTLIST.

<u>\*DB</u> Files are in the QSYS library file system.

\***IFS** Files are in the IFS(integrated files system).

## **VERBOSE**

## VERBOSE(\*NORMAL|\*NONE| \*ALL|\*MAX )

Specifies how the detail will be displayed during a PKZIP run.

The allowable values are:

\*NORMAL Displays most informative message to show PKZIP is

processing.

**\*NONE** Displays only major exception information.

\*ALL Displays all messages.

\*MAX Used only for debugging purposes.

## **VPASSWORD**

#### **VPASSWORD(Archive Verify Password)**

Specifies a verification password against the entered password since the PASSWORD is not visible. This parameter is required for all encryption methods except ZIPSTD. VPASSWORD follows all the rules of PASSWORD and must match exactly to the archive password entered in PASSWORD parameter or the run will be terminated.

# 8 PKUNZIP Command

## **PKUNZIP Command Summary with Parameter Keyword Format**

If the OS/400 command prompt screen is to be used, the command format is simply: PKUNZIP.

The command prompt screen is displayed when ENTER or PF4 is pressed. The parameter keywords are displayed on this screen together with the available keyword options. The required options can be selected before PF4 is pressed to accept the selections. If the command and parameter keywords are entered together on the command line, the required format is:

## PKUNZIP keyword1(option) keyword2(option) . . . keywordn(option)

Keywords are delimited by spaces. The keyword "ARCHIVE" is the only positional keyword where the keyword itself is not required. Whenever the word "path" is used, its meaning depends on the file system that is being used. If IFS is used, path refers to the openness true path type. If the library systems or \*DB is used, path means library/file, and then the file name refers to the member name.

```
TYPE(
            *VIEW
                (*EXTRACT)
                {*NEWER}
                {*TEST}
            Archive Zip File name with path
ARCHIVE(
AUTHCHK (
            Authenticators
                                                  (SecureZIP Only)
            Authenticate Type
                                         {*FILE}
                                         {*ARCHIVE}
                                         {*ALL}
                                         (*<u>db</u> )
            Lookup Type
                                          `
{*LDAP}
                                          {*MBRSET}
                                          (*INLIST)
                                         (*SPONSOR) (Reader Only)
            Recipient
                                          {Recipient String}
            Password (if Private)
                                         (Certificate password)
            Required
                                         { * <u>RQD</u> }
                                         {*OPT}
AUTHPOL ( Authenticate Filters: )
                                                   (SecureZIP Only)
Validate Level
                       {*SYSTEM }
                        *WARN }
                        *VALIDATE}
                        *REQUIRED}
Validate Type
                        *NONE }
                        *ALL }
                        {*ARCHIVE}
                       (*FILE)
Filters
                        *SYSTEM }
                        *ALL}
                        *NONE }
                        *TAMPÉR}
                        *TRUSTED}
                        *EXPIRED)
                        *REVOKED}
                        *NOTAMPER}
                        *NOTTRUSTED}
                        *NOTEXPIRED}
                       {*NOTREVOKED}
CRTLIST(
            {*NONE}
                path/filename
CVTDATA(
            External Pgm Conversion Extended Data)
CVTFLAG(
            {*NONE}
                External Pgm Conversion Flags
            {*NONE}
CVTTYPE(
                {*DROP}
                (*SUFFIX)
DFTDBRECLN( \{132\}
                {decimal number}
DROPPATH(
            {*NONE}
                {*LIB}
            Decryption Recipients )
ENTPREC (
                                                 (SecureZIP Only)
            Lookup Type
                                         {*DB}
                                         {*FILE}
```

```
{*MBRSET}
                                           (*INLIST)
            Recipient
                                           Recipient String
                                           {Certificate password}
            Password (if Private)
            Required
                                           {*RQD}
                                           {*OPT}
EXCLFILE( \{\underline{*NONE}\}
                                     )
                path/filename
EXCLUDE (
            file_specification1,
                file_specification2,
file_specificationn
            {*CURRENT}
EXDIR(
                path
            file_specification1,
FILES(
                file_specification2,
                file_specificationn
FILETYPE(
            {*TEXT}
                 {*BINARY}
                 {*EBCDIC}
                 {<u>*DETECT</u>}
               {*ISO88591}
FTRAN(
               {*INTERNAL}
                .
Member Name
IFSCDEPAGE( {*NO}
                _,
Code-page
INCLFILE( \{ *NONE \}
                path/filename
MSGTYPE(
            {*PRINT}
                 {*SEND}
                 {*BOTH}
OVERWRITE( {*NO}
                  *YES}
                 {*PROMPT}
PASSWORD( Archive Password
RSTIPSRA ( Restore Command for iPSRA Files )
SFQUEUE ( {*DFT}
                {Library/Outg }SPLUSRID (
SPLUSRID
            {*DFT}
                {User ID }
               {*ISO88591}
TRAN(
               {*INTERNAL}
                Member Name
TYPARCHFL( {*DB}
                 {*IFS}
TYPFL2ZP(
                 (*IFS)
TYPLISTFL( \{\underline{*DB}\}
                 (*IFS
```

```
VERBOSE (
                    {*NORMAL}
                           {*NONE}
                           `\*ALL}
                           (XAM*)
                   \frac{\{ \underline{*NORMAL} \}}{\{ \mathtt{*DETAIL} \}}\{ \mathtt{*BRIEF} \}
VIEWOPT(
                                                          )
                           {*COMMENT }
{*FNE}
                          {*FNEALL}
VIEWSORT( {*ASIS} {*DATE}
                                                          )
                          {*DATER}
{<u>*NAME</u>}
                           {*NAMER}
                           (*PERCENT)
                           {*PERCENTR}
{*SIZE}
                          {*SIZER}
```

## **PKUNZIP Command Keyword Details**

## **TYPE**

## TYPE(\*EXTRACT|\*NEWER|\*TEST |\*VIEW)

The TYPE keyword specifies the type of action PKUNZIP should perform on the ZIP archive.

The possible actions are:

**\*VIEW** Display output information about all files or selected files

contained in an archive. This option is performed using PKUNZIP. The sequence (see \*VIEWSORT) and type of

list (\*VIEWOPT) determines what information is

displayed.

**\*EXTRACT** Extracts files from the archive (please refer to the

DROPPATH, CVTTYPE, TO, and EXDIR parameters for controlling the conversion of file names extracted from

the archive).

\*NEWER Extracts files in the archive that have a more recent

date and time than the corresponding file on disk. If the

files do not exist on disk, they will be extracted as

newer. All other files will be skipped.

\*TEST Tests the integrity of files in the archive by extracting

files without writing the data. As each file is extracted, a CRC is calculated. At the end of the file the calculated CRC is compared against the stored CRC in the archive

file header to confirm that the data has not been

corrupted.

## **ARCHIVE**

## ARCHIVE(Archive Zip File name with path)

Specifies the path/file name or the library/file name of the PKUNZIP archive to be processed.

This is a required parameter.

The format depends on whether you will be using the archive file in the library file system or the IFS.

See parameter TYPARCHFL for file system type information.

**Library File System:** Format is library/file(member). If member is omitted, it will use the file name for the member.

Integrated File System (IFS): Open system path followed by the archive file name. The path and file name can up to 256 characters and may contain embedded spaces.

## **AUTHCHK**

#### **Requires SecureZIP**

Or

```
AUTHCHK((*FILE *MBRSET
'pkwareCertAdmin04.pfx' (password) *RQD))

AUTHCHK((*ALL *FILE
'/yourpath/PKWARE/Cstores/public/pkwareCertAdmin04.cer' () *RQD))

AUTHCHK((*ARCHIVE *FILE
'/yourpath/PKWARE/Cstores/public/pkwareCertAdmin04.cer' () *RQD))

AUTHCHK((*FILE *DB
'EM=bill.somebody@pkware.com' () *OPT))

AUTHCHK((*FILE *INLIST 'ATEST/INLIST(ENGNEER1)' *N)
```

This parameter specifies that digital signature authentication processing should be performed for specific signers. Separate authentication processing may be specified for either the archive central directory or files by using multiple commands. Optionally, specific signers may be specified to authenticate against. This parameter is used in conjunction with the AUTHPOL parameters and its settings.

It is possible that more than one certificate may be returned for a single common name or email search. As a result, each one will be added to the list of validating sources.

When no specific certificates are requested, any signatories found in the archive are validated in accordance with the systems or current AUTHPOL Filters policy settings.

There are five options for AUTHCHK.

## Authenticator Type File/Archive (\*FILE |\*ARCHIVE |\*ALL)

This designates the type of authentication that is to be performed. Either ARCHIVE, FILE or ALL may be specified on each item, but by using ALL or archive with the \*RQD option will result in error since the archive can only have one signatory. If the lookup type is \*INLIST, then this option will be ignored and will pickup from the records in the inlist file.

- <u>\*FILE</u> The signed files will be authenticated with this authenticator.
- \*ARCHIVE The archive directory will be authenticated with this authenticator.

• \*ALL – Both the signed files and the archive directory will be authenticated with this authenticator.

## Lookup Type (\*DB |\*FILE |\*LDAP |\*MBRSET |\*INLIST |\*SPONSOR)

The lookup type would be the type of authenticator search to be used for the authenticator string to look up the public key.

- <u>\*DB</u> The authenticator string is defined to search using the certificate locator database to access the digital certificate.
- \*FILE The authenticator string is defined to read a specific file in a specific path in the IFS in order to access the digital certificate.
- \*LDAP The recipient string is defined to search using the LDAP server to access the digital certificate.
- \*MBRSET The authenticator string is defined to read this specific file from the enterprise public certificate store to access the digital certificate.
- \*INLIST- The authenticator string defines a specific file that will contain one to many AUTHCHK. The TYPLISTFL parameter must specify the file type for the inlist.
- \*SPONSOR The authenticator string is the authenticating file for a sponsoring partner. This is only valid for PKWARE PartnerLink Reader and for \*ARCHIVE.

## Authenticator (The authenticator string name)

The authenticator string format depends on what was specified for the lookup type.

If lookup type is \*DB, the authenticator string will either be an email address
or the common name of the certificate. This depends on the configuration
setting in PKCFGSEC parameter CERTDB. To override the default selection
mode, you can prefix the string with EM= for email, or CN= for the common
name.

For example:

```
AUTHCHK((*FILE *DB 'bill.somebody@pkware.com' () *RQD)

(*ARCHIVE *DB 'CN=bill somebody' () *RQD)

(FILE *DB 'EM=bill.somebody@pkware.com' (password) *OPT))
```

• If lookup type is \*FILE, the authenticator string is defined to read a specific file in a specific path of the IFS. This file should be a public key X.509 file or public key X.509 certificate with a private key file.

For example:

# AUTHCHK((\*ARCHIVE \*FILE '/yourpath/PKWARE/Cstores/public/pkwareCertAdmin04.cer' () \*RQD))

The digital certificate file 'pkwareCertAdmin04.cer' will be in the full path 'yourpath/PKWARE/Cstores/public'.

• If type is \*LDAP, the authenticator string will either be an email address or the common name of the certificate depending on the search mode configuration setting in PKCFGSEC parameter LDAP. To override the default selection mode, you can prefix the string with EM= for email address, or CN= for the common name.

For example:

```
AUTHCHK ((*ARCHIVE *LDAP 'bill.somebody@pkware.com' () *RQD) (*FILE *LDAP 'CN=bill.somebody' () *OPT) (*FILE *LDAP 'EM=bill.somebody@pkware.com' () *RQD))
```

• If lookup type is \*MBRSET, the authenticator string is defined to read a specific file from the public certificate store and/or the private certificate store of the IFS. This file should be a public key X.509 file or public key X.509 certificate with a private key file.

For example:

## AUTHCHK((\*ALL \*MBRSET 'pkwareCertAdmin04.cer' () \*RQD))

The digital certificate file 'pkwareCertAdmin04.cer' will be in the full path of the public certificate store defined in the enterprise security configuration public store (parameter CSPUB). If a password is included, the file is searched for in the enterprise security configuration private store (parameter CSPRIV).

- If lookup type is \*INLIST, the authenticator string defines a full file name of an input list file that contains records of AUTHCHK shortcut parameters. The type of file will exist in the QSYS library file system if TYPLISTFL(\*DB) is set and will be a path file name in the IFS if TYPLISTFL(\*IFS) is set. The format of the AUTHCHK shortcut parameters are defined below in the \*INLIST usage section.
- If lookup type is \*SPONSOR, the authenticator string is the Sponsor Auth file stored in the '.../Sponsor/Auth' folder. If the authenticator string is all numeric the name will automatically be formatted as A0000000.p7, assuming that the number is the sponsor ID number.

#### **Password**

This designates the password that is *required* for a private key certificate with a private key (PKCS#12 file). When a value is specified, the target must be an X.509 PKCS#12 public key certificate with the private key.

The PASSWORD value may contain blanks and is delimited by the closing right parenthesis ")" of the signing command.

#### Required (\*RQD|\*OPT|\*SAME)

If \*RQD, then this authenticator *must* be found during the selection, and the certificate *must* be a valid certificate with a private key, or the ZIP/UNZIP run will fail.

#### **Usage Notes:**

Passwords are masked out in all output displays.

A local certificate store configuration is required to complete the TRUST processing of this command.

Processing is terminated if none of the requested certificates can be accessed, regardless of the "R" required flag. If multiple requests are made and at least one signature is found, processing continues normally.

For inlist that contains a password to open a private certificate, make sure that the security is sufficient to only allow the owner of the certificate to have read access. Otherwise this would leave a security hole where other users could browse the password.

#### \*INLIST Usage:

If \*INLIST is defined on the AUTHCHK parameter, then the authenticator filed will be a file that SecureZIP will read to include the authenticator. The format is very similar to the AUTHCHK parameter described above except that each line authenticator starts with "{AUTHCHK=" and is terminated by the "}" character, with the semicolon ";" as a separator for each entry.

{AUTHCHK=Authenticator Type, Lookup Type; Authenticator; Password; Required}

Authenticator *Type* See Authenticator Type in AUTHCHK

Lookup Type See Lookup Type in AUTHCHK excluding the INLIST

Authenticator See Authenticator in AUTHCHK.

Password See Password in AUTHCHK.

Required See Required in AUTHCHK, but use RDQ for \*RQD and OPT for

\*OPT.

Examples:

## Sample 1: tstauth\_db1.inlist.

```
{AUTHCHK=FILE;DB;EM=PKTESTDB4@nowhere.com;;RQD}
```

## Sample 2: tstauth\_mb2.inlist.

```
{AUTHCHK=ARCHIVE; MBRSET; pktestdb3.pfx; PKWARE; RQD}
```

## Sample 3: tstauth\_mb3.inlist.

```
{AUTHCHK=ALL; MBRSET; pktestdb3.pfx; PKWARE; RQD}
```

## **AUTHPOL**

## Requires SecureZIP

```
Authenticate Filters:

Validate Level .... *SYSTEM *VALIDATE, *WARN, *NONE...

Validate Type .... *ARCHIVE *ARCHIVE, *NONE

Filters .... *SYSTEM *SYSTEM, *ALL, *NONE...

+ for more values
```

AUTHPOL(\*WARN \*ARCHIVE (\*SYSTEM))
AUTHPOL(\*WARN \*FILE (\*NOTTRUSTED))
AUTHPOL(\*SYSTEM \*ALL (\*ALL \*NOTEXPIRED))

This parameter defines the processing options and filters that should apply if a signed file or signed archive is encountered.

## Validate Level (\*VALIDATE | \*WARN | \*REQUIRED | \*SYSTEM)

The validate level specifies the type of authentication processing that should take place if a file or archive is encountered. The default is \*SYSTEM and, unless it is modified, SecureZIP will use the enterprise setting from PKCFSEC.

- \*VALIDATE Indicates that, when authentication takes place and a failure occurs based on the filters, the run will be considered a failure, and the message issued when the job terminates will indicate one or more errors during the run.
- \*WARN Indicates that when authentication place and a failure occurs, the failure is only considered a warning. The messages at the end of the run will not consider any failed authentications as errors.
- \*REQUIRED Indicates that authentication must take place and that, if any
  failure occurs based on the filters, the run will be considered a failure, and the
  message issued when the job terminates will indicate one or more errors
  occurred during the run. If the archive or file has not been signed, an error
  will be issued.
- <u>\*SYSTEM Indicates</u> the authentication processing that is set in the environmental setting will be used.

## Validate Type (\*ALL |\*ARCHIVE |\*FILE |\*NONE)

The validate type specifies whether the file, archive, all or no authentication will take place if a file or archive has been signed. The default is \*NONE, and anything other than \*NONE requires the Enhanced Encryption module.

- \*ALL Indicates that authentication will take place for both files and/or the archive has been signed.
- \*ARCHIVE Indicates that only a signed archive will be authenticated.
- \*FILE Indicates that only the signed files will authenticated.
- \*NONE Indicates no authentication will take place even though a file or archive has been signed.

# Filters (\*SYSTEM |\*ALL |\*NONE |\*TAMPER |\*TRUSTED |\*EXPIRED |\*REVOKED |\*NOTAMPER |\*NOTTRUSTED |\*NOTEXPIRED |\*NOTREVOKED )

The authentication filter policies settings are defined in the enterprise security file supplied by the SecureZIP administrator (See PKCFGSEC). These global policy settings can be revised with sub-parameter values. The variables are cumulative from the global setting.

• **\*SYSTEM** – All filter policies are from the global settings.

- \*ALL This sub-parameter activates all levels of authentication. If followed by negating sub-levels, then all but those negating levels are activated. For example: \*ALL NOTEXPIRED means that expired certificates will not cause an authentication error, but TRUST and TAMPERCHECK must both be satisfied.
- \*NONE Will negate all the policies.
- \*TAMPER This sub-parameter signifies that a verification of the data stream should be done against the digital signature.
- \*TRUSTED This sub-parameter signifies that the entire certificate authority chain must be validated. This includes locating the root (self-signed) certificate on the local system.
- **\*EXPIRED** This sub-parameter signifies that certificate date range validation should be performed on the certificates (including the certificate authority chain). Although the term "expired" is used, a certificate that has not yet reached its valid data range specification will fail.
- \*REVOKED A certificate owner may request that the issuing certificate
  authority declare a certificate to be revoked and thereby no longer consider
  that certificate to be valid. The authentication operation will fail if any of the
  certificates in the trust chain are found to have been revoked, or if the
  revocation status could not be determined
- \*NOTAMPER Negates the \*TAMPER filter.
- \*NOTTRUSTED Negates the \*TRUSTED filter.
- \*NOTEXPIRED Negates the \*EXPIRED filter.
- \*NOTREVOKED Negates the \*REVOKED filter.

## <u>CRTLIST</u>

## CRTLIST(\*NONE| path/filename )

Specifies that PKUNZIP will create an output file with a list of entries that will be compressed based upon the selection criteria in the FILES and EXCLUDE parameters. This parameter only works with the TYPE set to \*VIEW.

See parameter TYPLISTFL for file system type information.

**\*NONE** No list file will be created.

path/filename Enter the file path and name of the file to create. The

layout depends on which file system you want to create

the file in.

**Library File System:** 

The format is "library/file(member)".

Integrated File System (IFS):

The format is "path1/path2/../pathn/filename".

## CVTDATA

## CVTDATA(External Program Conversion Extended Data)

Specifies the extended data that is passed to the external program CVTNAME. When CVTFLAG is not \*NONE, the contents of the parameter are passed to provide extended flexibility in controlling how the iSeries names are stored in the archive. The *System Administrator's Guide* contains more information on CVTNAME.

## External Program Conversion Extended Data

Specify up to 255 bytes of unedited data which is passed to the exit program CVTNAME to assist in controlling the program logic.

## **CVTFLAG**

## CVTFLAG(\*NONE|Conversion Flags)

Specifies the flags passed to the external program CVTNAME. These are used to control how the iSeries names are stored in the archive. The *System Administrator's Guide* contains more information on CVTNAME.

The allowable values are:

**\*NONE** Conversion exit is not active.

**Conversion Flags** Specify a 5-byte flag that is passed to the exit program

CVTNAME to control the program logic. If the name passed back is blank, then conversion is referred back to

the setting of the CVTTYPE parameter.

## **CVTTYPE**

## CVTTYPE(\*NONE|\*DROP|\*SUFFIX)

Specifies how the files names in the archive will be converted to a file name in the iSeries library, file, and Member format. In the iSeries QSYS library system, the length of each name in the QSYS format can only be up to 10 characters. In other platforms, the file name formats (including MS/DOS) may have an extension with a period (.) separator which is not valid in the iSeries DB name. The file names in some cases may even exceed the 10-character limit. This parameter gives control over the file name conversion process.

**Note:** The conversion of file names may result in duplicate file names on the iSeries system. In this case, the rules for overwriting the files are in effect for duplicates (see the OVERWRITE option). If this is the case, using specific file inclusion and exclusion with multiple runs may be required to extract all of the files.

The allowable values are:

\*SUFFIX This forces the removal of the period(.) extension and

stores name truncating characters over 10 characters.

**\*NAMEFILE** The extensions are considered to be file names or

treated as a slash (/).

**\*DROP** Drops all characters after the period(.) extension

separator, and stores the name truncating characters

over 10.

## **DFTDBRECLN**

## **DFTDBRECLN (132|Record Length)**

Specifies the record length to use when creating a file in the QSYS library system. If TYPFL2ZP parameter is \*DB, and the file being extracted does not exist nor does extended attribute for the record length exist, the file will be created with the record length specified in this parameter.

The allowable values are:

<u>132</u> Default is record length of 132 to match previous

versions.

**Record Length** A decimal number from 50 to 32000.

## **DROPPATH**

## DROPPATH(\*NONE|{\*ALL| \*LIB)

Used to drop the path(s) or libraries of files that are stored in the archives, therefore only using the file names in the archive. This is used along with the keyword EXDIR where the default paths are defined when dropping the paths on files in the archive.

For example, if the file in the archive is "path1/path2/filename" (IFS) or "library/file/member" (QSYS), and if DROPPATH is \*ALL, the file being extracted would be "filename" or "member". If \*LIB was used, the file being extracted would be path1/filename" or "file/member".

See "Example 1 - PKUNZIP Files to a New or Different Library" in Appendix B for an example of using EXDIR and DROPPATH together.

The allowable values are:

**\*NONE** Do not remove paths and/or libraries in the archive.

\*ALL Remove all paths that are stored in the archive, leaving

only an IFS file name or member name.

\*LIB Remove only the first path (which in most cases could

be the library).

## **ENTPREC**

## **Requires SecureZIP**

Or

```
ENTPREC((*MBRSET 'pkwareCertAdmin04.p12' (pw) *RQD))
ENTPREC((*FILE
   '/yourpath/PKWARE/Cstores/private/pkwareCertAdmin04.p12' (pw) *RQD))
ENTPREC((*FILE
   '/yourpath/PKWARE/Cstores/private/pkwareCertAdmin04.pfx' ('mypassword')
*RQD))
ENTPREC((*DB
   'EM=bill.Somebody@pkware.com' (pw) *RQD))
ENTPREC((*LDAP
   'EM=bill.Somebody@pkware.com' (pw) *RQD))
ENTPREC((*INLIST 'ATEST/INLIST(ENGNEER1)' *N)
```

The decryption recipient parameter defines one to many recipients which is to be included for UNZIP process. This parameter allows 1-4 types of certificate searches to take place along with providing the ability for an include file that may contain the recipients.

The specification of this recipient ENTPREC parameter triggers decryption to take place during UNZIP processing utilizing the found recipients along with passwords that were entered to access the private certificates.

There are four options.

#### Lookup Type (\*NONE |\*DB |\*FILE |\*MBRSET |\*SAME)

The Lookup type is the type of recipient search to be used for the recipient string.

- \*DB The Recipient string is defined to search using the Certificate Locator Database to access the digital certificate.
- \*FILE The recipient string is defined to read a specific file in a specific path in the IFS in order to access the digital certificate.
- \*MBRSET The recipient string is defined to read this specific file from the enterprise public certificate store to access the digital certificate.
- \*INLIST- The recipient string defines a specific file that will contain one to many recipients.

#### Recipient (The recipient string name)

The recipient string format depends on what was specified for the Lookup type.

• If type is \*DB - The recipient string will either be an email address or the common name of the certificate. This depends on the configuration setting in PKCFGSEC parameter CERTDB. To override the default selection mode, you can prefix the string with EM= for email or CN= for the common name.

For example:

ENTPREC((\*DB 'bill.Somebody@pkware.com' (pw) \*RQD)
(\*DB 'CN=bill.Somebody' (pw) \*RQD)
(\*DB 'EM=bill.Somebody@pkware.com' (pw) \*RQD))

• If type is \*FILE - The recipient string is defined to read a specific file in a specific path of the IFS. This file should be Public-key X.509 file or private-key X.509 certificate file.

For example:

# ENTPREC((\*FILE '/yourpath/PKWARE/Cstores/private/pkwareCertAdmin04.p12' (pw) \*RQD))

The digital certificate file 'pkwareCertAdmin04.cer' will be in the full path 'yourpath/PKWARE/Cstores/private.

 If type is \*MBRSET - The recipient string is defined to read a specific file from private certificate store of the IFS. This file should be a private-key X.509 certificate file.

For example:

## ENTPREC((\*MBRSET 'pkwareCertAdmin04.p12' (pw) \*RQD))

The digital certificate file 'pkwareCertAdmin04.p12' will be in the full path of the private certificate store defined in the enterprise security configuration private store(parameter CSPRIV).

• If type is \*INLIST- The recipient string defines a full file name of an input list file that contains records of ENTPREC shortcut parameters. The type of file will in the QSYS library file system if TYPLISTFL(\*DB) is set and will be a path file name in the IFS if TYPLISTFL(\*IFS) is set. The format of the ENTPREC shortcut parameters are define below in the \*INLIST Usage section.

#### **Password**

The password is required to access private certificates.

## Required (\*RQD|\*OPT|\*SAME)

If \*RQD, then this recipient MUST be found during the selection and the certificate MUST be valid or the ZIP/UNZIP run will fail.

## **Usage Notes:**

The UNZIP process requires a X.509 private-key format certificate file to decrypt files and thus requires an inputted password.

For an inlist that contains a password to open a private-key certificate, make sure that the security is sufficient to allow read access only to the owner of the certificate. Otherwise other users can browse the password.

## \*INLIST Usage:

If \*INLIST is defined on the ENTPREC parameter, then the recipient filed will be a file that SecureZIP will read to include recipient. The format is very similar to the ENTPREC parameter describe above except each line recipient starts with "{RECIPIENT=" and is terminated by the "}" character with the semi-colon ";" as a separator for each entry.

{RECIPIENT=Lookup Type; Recipient; Password; Required}

Lookup Type See Lookup Type in ENTREC excluding the INLIST

Recipient See Recipient in ENTREC.

Password See Password in ENTREC.

Required See Required in ENTREC, but use RDQ for \*RQD and

OPT for \*OPT.

## Examples:

{RECIPIENT=MBRSE;EM; mypassword;RQD}

## Sample 1: tstpriv\_db4.inlist.

{RECIPIENT=DB;EM=PKTESTDB4@nowhere.com;PKWARE;RQD}

## Sample 2: tstpriv\_mb3.inlist.

{RECIPIENT=MBRSET;pktestdb3.pfx;PKWARE;RQD}

## Sample 3: tstpubl.inlist.

{RECIPIENT=MBRSET;pktestdb3.p12;pw;RQD} {RECIPIENT=MBRSET;pktestdb4.p12;pw;OPT}

#### Sample 4: tstpubl2.inlist.

{RECIPIENT=DB;EM=PKTESTDB3@nowhere.com;pw;RQD} {RECIPIENT=DB;CN=PKWARE Test4;pw;OPT}

## **EXCLFILE**

## EXCLFILE(\*NONE| path/filename)

This parameter specifies the file containing the list of files to be excluded. This can be used with or without the EXCLUDE parameter. See parameter TYPLISTFL for file system type information.

**\*NONE** No list file will be processed.

## path/filename

Enter the file path and the name of the file to process. The layout depends on which file system you want the file created.

## Library File System:

The format is "library/file(member)".

## Integrated File System (IFS):

The format is "path1/path2/../pathn/filename".

## **EXCLUDE**

## EXCLUDE(file\_specification1, file\_specification2,... file\_specification n)

Specifies the files and file specification patterns that will be excluded from the PKUNZIP run. One or more names can be specified. Each name should be in the OS/400 file system format, such as, QSYS is library/file(member) and IFS is directory/file, and can include wildcards "\*" and "?".

**Note:** If TYPE(\*VIEW) is being used, then the format for these names is the MS/DOS format.

The PKUNZIP program can also exclude file specifications by using the list file parameter EXCLFILE with a list of names to exclude.

Please refer to "File Selection and Name Processing" in Chapter 1 for details of file specification formatting.

The valid parameter values for the FILES keyword are as follows:

```
'file_specification 1'
'file_specification 2'...
'file specification n'
```

## **EXDIR**

## EXDIR(\*CURRENT| path)

If there are no paths stored in the archive file name, EXDIR specifies the default path to store the files being extracted. The path definition depends on the "file system type" in parameter TYPFL2ZP. This will happen when the files come from a PC or if the files were compressed with **PKZIP** using the STOREPATH(\*NO) parameter.

If the "file system type" is IFS, EXDIR will be the paths defined for your iSeries open systems and the default path will be the current directory settings (issue the command DSPCURDIR to see the current directory settings).

If the "file system type" is the library file system, the path will be either a library or a library/filename. The default is \*CURLIB/UNZIPPED and if the file UNZIPPED does not exist, then it is created with a record length of 132. It is best to create a default file with the record length of your choice, because if a text file is extracted with a record length greater than the file's record length, the record will be truncated to fit the record length.

If EXDIR is coded with keyword MBR and the file system is the QSYS library system, PKUNZIP will use the member name for the file name. For example:

EXDIR('newlib/MBR') and DROPPATH(\*ALL) parameters are coded and the file name in archive is "mylib/myfile/mymbr", the file will be extract to the file "newlib/mymbr(mymbr)". This is only valid for TYPFL2ZP(\*DB) files.

EXDIR is also used when the archive file is a GZIP archive and there is no file name stored in the archive. In this case, EXDIR becomes a required field.

\*CURRENT Current directory for IFS or \*CURLIB/UNZIPPED for the

QSYS library file system.

path
Enter the path or path/path/.. in which to extract. The

layout depends on the file system in which the file is to

be created.

**Library File System:** 

The format is "library/file".

Integrated File System (IFS):

The format is "path1/path2/../pathn".

## **FILES**

## FILES(file\_specification1, file\_specification2,... file\_specification n)

Specifies the files and file specification patterns that will be selected in the PKUNZIP process. One or more names can be specified. Each name should be in the OS/400 file system format, such as, QSYS is library/file(member), and IFS is directory/file, and can include wildcard "\*" and "?".

**Note:** If TYPE(\*VIEW) is being used then the format for these names is the MS/DOS format.

The PKUNZIP program can also have file specification selections to include by using the list file parameter INCLFILE with a list of names to select.

Files may also be excluded. See the EXCLUDE parameter.

Please refer to "File Selection and Name Processing" in Chapter 1 for details of file specification formatting.

The valid parameter values for the FILES keyword are as follows:

'file\_specification 1'

'file\_specification 2'

'file\_specification n'

## **FILETYPE**

## FILETYPE(\*TEXT|\*BINARY|\*EBCDIC|\*DETECT)

Specifies whether the files selected are treated as text or binary data. For text files added to an archive, trailing spaces in each line are removed, the text is converted to ASCII (based on the translation tables) by default, and a carriage return and line

feed (CR/LF) are added to each line before the data is compressed into the archive. Binary files are not converted at all.

There are attributes which indicate how a file was compressed (TEXT, BINARY, or a SAVF) in the archive headers. The default setting (and recommended) is \*DETECT, which analyzes the header to determine the file type. To view the attribute settings of a file, use the VIEWOPT( \*DETECT).

If the file is a SAVF, then it will be processed as BINARY, regardless of any option that you select.

*DETECT	Uses the attribute setting that is stored in the archive to

determine the file type.

\*TEXT Specifies that the files selected are text files and

translation will be performed using the translate tables

specified in the TRAN option.

\*BINARY Specifies that the files selected are binary files and no

translation should be performed.

**\*EBCDIC** Specifies that the files selected are text files and leaves

it in EBCDIC without performing any translation. This is good only if the files are to be used on an iSeries or IBM-type mainframe. If they are unzipped to a PC file, then a translation from EBCDIC to ASCII is required.

#### <u>FTRAN</u>

## FTRAN(\*ISO88591 |\*INTERNAL| Member Name)

Specifies the translation table for use in translating "file names, comments, and password" from the iSeries EBCDIC character set to the character set used in the archive file (normally ASCII character set). A default internal table is predefined. See Appendix D for additional information.

*ISO88591 The	predefined	ınternai	table for	translation.	inis table
---------------	------------	----------	-----------	--------------	------------

provides translation that is consistent with the ISO 8859-1 definitions. This table uses the EBCDIC code page 037 and the ASCII code page 819 for translation.

\*INTERNAL To provide some compatibility to pre V8 version,

\*INTERNAL will use the internal tables that were the

default in V5 PKZIP.

membername Specify the member name in the file PKZTABLES that

will be parsed and used to translate "file names and comments" files to the archive character set. The member should have the exact format of member ISO9959\_1 in file PKZTABLES. See Appendix D for

information on defining translation tables.

#### **IFSCDEPAGE**

## IFSCDEPAGE(\*NO | Code-Page)

If this option is set to \*NO, PKUNZIP will write IFS files with the code page that is registered for the file, or will use the default job code page if no code page is set in the file attributes. Otherwise, PKUNZIP will write IFS files with the specified code page.

**Note:** If files are to be extracted to a case sensitive file system, the case sensitive format of file names must be used before they can be selected.

The allowable values are:

\*NO The PKUNZIP program will read IFS files with the code

page registered for the file. This is the default.

Code-Page The PKUNZIP program will write the IFS files with the

specified code page value.

## **INCLFILE**

#### INCLFILE(\*NONE| path/filename)

This parameter specifies the file containing the list of files to be selected for including. This can be used with or without the FILES parameter. See parameter TYPLISTFL for file system type information.

**\*NONE** No include list file will be processed. This is the default.

**path/filename** Enter the file path and name of the file to process. The

layout depends on which file system you want the file

created.

**Library File System:** 

The format is "library/file(member)".

Integrated File System (IFS):

The format is "path1/path2/../pathn/filename".

#### **MSGTYPE**

#### MSGTYPE(\*PRINT|\*SEND|\*BOTH)

Specifies where the display of messages and information should be shown. The PKUNZIP program can send messages which appear on the log, and also may print to stdout and stderr. If working interactively, stdout and stderr will display upon the dynamic screen. If submitted via batch, you can override them to print in an OUTQ, or you can build a CL and save them to an outfile.

\*SEND Send the information to the log with send message

commands.

**\*PRINT** Send the information to stdout and stderr.

\*BOTH

Send the information to the log with send message

commands and also to stdout and stderr.

## **OVERWRITE**

#### OVERWRITE(\*NO|\*YES|\*PROMPT)

Controls how PKUNZIP reacts to files that are being extracted and the file already exists. To help prevent accidental overwriting of files, the default is \*PROMPT.

The allowable values are:

**\*YES** Always overwrite files. If the file exists, the file will be

overwritten with no message or prompting.

**\*NO** Never overwrite files. If the file already exists then the

archive file will be skipped and not extracted. This is the

default.

\*PROMPT When a file being extracted already exists, PKUNZIP will

issue the warning message AQZ0262 and prompt the

user for the required action.

## **PASSWORD**

## PASSWORD(Archive Password)

Specifies a password to be used for files that were added to the archive with a password. This password may be up to 64 characters in length and is case-sensitive. All files selected for archiving will be checked for encryption using the specified password. Files in the archive may have different passwords. If so, PKUNZIP must be run once for each password.

Since the password in entered in EBCDIC, the translation table referenced in the FTRAN parameter is used to translate it to ASCII. Care should be take when using the FTRAN override when using a password. To use password-protected files, the same FTRAN override option is required.

## **RSTIPSRA**

## RSTIPSRA (For iPSRA files enter a restore command)

If an iPSRA file is to be restored, RSTIPSRA should contain the appropriate restore command for the objects. To view the save command that was used to create the iPSRA file, do a TYPE(\*VIEW) VIEWOPT(\*ALL). This parameter should contain the restore command with no surrounding quotes. When the cursor is position to a restore command entered in the RSTIPSRA parameter, it can be prompted. If the restore command cannot pass the command pre-processor, an error will show for the restore command. Valid restore commands are: RST, RSTLIB, RSTOBJ, and RSTDLO.

## **SFQUEUE**

#### SFQUEUE (\*DFT |Name)

Specifies the output queue that will be used as an override when extracting spool files. If no OUTQ library is specified, it will default to \*LIBL.

The allowable values are:

\*DFT The output queue that are in the spool file attributes will

be used when extracting files.

**OUTQ** The specific OUTQ that will used when the spool file is

extracted. It must be a valid output queue.

**OUTQ Library** The library where the OUTQ resides.

#### **SPLUSRID**

## SPLUSRID (\*DFT| User ID)

The user ID to use when extracting a spool file. If \*DFT is used the user ID belonging to the spool file will be used when building the spool file.

The allowable values are:

**\*DFT** Use user ID associated with spool file in the archive.

**User ID** Specify a valid user ID that the new extracted spool file

will belong to. It must be a valid user ID on the

OS/400.

**Note on extracting Spool Files:** To create or extract a spool file with PKUNZIP, the user must have \*USE authority to the API QSPCRTSP. The normal setting for the API QSPCRTSP is authority PUBLIC(\*EXCLUDE). The API authority is set this way so that system administrators can control the use of this API. This API has security implications because you can create a spooled file from the data of another spooled file. To allow user to extract spool files change the API authority on a need basis.

## **TRAN**

## TRAN(\*ISO88591 |\*INTERNAL| Member Name)

Specifies the translation table for use with translating "data" from the iSeries EBCDIC character set to the character set used in the archive file (normally the ASCII character set). A default internal table is predefined (see Appendix D).

\*ISO88591 The predefined internal table for translation. This table

provides translation that is consistent with the ISO 8859-1 definitions. This table uses the EBCDIC code page 037 and the ASCII code page 819 for translation.

\*INTERNAL To provide some compatibility to pre V8 version,

\*INTERNAL will use the internal tables that were the

default in V5 PKZIP.

Member Name Specifies the member name in the file PKZTABLES that

will be parsed and used to translate data files to the archive character set. The member should have the exact format of member ISO9959\_1 in file PKZTABLES (see Appendix D for information on defining translation

tables).

## **TYPARCHFL**

#### TYPARCHFL(\*DB|\*IFS)

Specifies the type of file system in which the archive file will exist (see parameters ARCHIVE and TMPPATH for additional information).

**\*DB** Archive files are to be in the QSYS library file system.

\*IFS Archive files are to be in the integrated files system

(IFS).

## **TYPFL2ZP**

## TYPFL2ZP(\*DB|\*IFS)

Specifies the type of file system that contains the files to be unzipped. Reflected for files in parameters FILES and EXCLUDE.

\*DB Files to be unzipped are in the QSYS library file system.

\*IFS Files to be unzipped are in the IFS (integrated files

system).

#### **TYPLISTFL**

#### TYPLISTFL(\*DB|\*IFS)

Specifies the "type of files system" that will be used for the input list file and/or the output list file of selected items.

To use input list files, see parameters INCLFILE (file section list) or EXCLFILE (file exclude list). To create an output list file of the selected files items, see parameter CRTLIST.

\*DB Files are in the QSYS library file system.

\***IFS** Files are in the IFS(integrated file system).

## **VERBOSE**

#### VERBOSE(\*NORMAL|\*NONE| \*ALL|\*MAX )

Specifies how the detail will be displayed during a PKUNZIP run.

The allowable values are:

\*NORMAL Displays most informative messages to show PKUNZIP is

processing.

**\*NONE** Displays only major exception information.

**\*ALL** Displays all messages.

\*MAX Used only for debugging purposes.

## **VIEWOPT**

#### VIEWOPT(\*NORMAL|\*DETAIL|\*BRIEF|\*COMMENT|\*FNE|\*FNEALL)

Specifies the level of information produced when viewing the archive.

The allowable values are:

\*NORMAL Shows the original file length, compression method,

compressed size, compression ratio, file date and time, 32-bit CRC value, and file name for each file in the

archive.

\*DETAIL Shows very detailed technical information about each

file in the archive. It will also show all extended

attribute (extra data fields) information that was stored in the archive produced by PKZIP (only if the PKZIP keywords EXTRAFLD(\*YES) or DBSERVICE(\*YES) were

specified).

\*BRIEF Shows the original file length, file date and time, and file

name for each file in the archive.

\*COMMENT Same as the \*NORMAL option, but also shows any file

comments stored on a separate line after its details.

**\*FNE** Shows the archive's file name encryption properties.

\*FNEALL Shows the archive's file name encryption detail

properties including the allowable recipients.

#### VIEWSORT

#### VIEWSORT(\*ASIS|\*DATE|\*DATER|\*NAME|\*NAMER|\*PERCENT|\*PERCENTR| \*SIZE|\*SIZER))

Specifies the sequence of the viewing display.

The allowable values are:

\*ASIS List the files in the sequence in which they are stored in

the archive, such as, as is.

**\*DATE** List the files in ascending order of the file's date & time

as stored in the archive.

**\*DATER** List the files in descending order of the file's date & time

as stored in the archive.

\*NAME List the files in ascending order of the file name as

stored in the archive.

\*NAMER List the files in descending order of the file name as

stored in the archive.

\*PERCENT List the files in ascending order of the compression

percentage as stored in the archive.

\*PERCENTR List the files in descending order of the compression

percentage as stored in the archive.

\*SIZE List the files in ascending order of the uncompressed file

size as stored in the archive.

\*SIZER List the files in descending order of the uncompressed

file size as stored in the archive.

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# PKQRYCDB "Query Cert Database" Command

**PKQRYCDB Requires SecureZIP** 

## PKQRYCDB Command Summary with Parameter Keyword Format

PKQRYCDB is a utility command to query the certificate locator database files or a certificate file in the IFS.

Keywords are demarcated by spaces. In many cases there are multiple entries for a parameter where each entry is again demarcated by spaces. For more information about the command process reference the IBM home page for your version of the operating system.

```
SecureZIP Query Cert Db 8.2 (PKQRYCDB)
Type choices, press Enter.
                              *SUMMARY
                                         *SUMMARY, *LEVEL1, *ALL
Processing Type . . . . . . .
*DB
                                           *FILE, *DB, *P7B
Certificate Type . . . . . . .
                                           *PUBLIC, *PRIVATE, *ALL
                               *ALL
Selection Name . . . . . . .
Cert Password . . . . . . . . .
Logging Level
                               *LOG
                                           *NOLOG, *LOG, *MAXLOG
```

## **PKQRYCDB Command Keyword Details**

## **RUNTYPE - Processing Type**

#### RUNTYPE (\*SUMMARY|\*LEVEL1 |\*SELECT|\*ALL)

The processing type determines the amount of details that PKQRYCDB will display. The possible type codes are:

\*SUMMARY - Shows only one line per selected item and is based on the selection type (CN= or EM=)

- \*LEVEL1 Displays the common name, email address and the certificate path and file name
- \*SELECT Displays a display file of certificates based on the selection type. The items can be browsed or selected for a detail display of the certificates. If the certificate dates have expired, the dates will be highlighted.
- \*ALL Displays a complete set of details for each certificate; could be 20-40 lines per file

## FTYPE - File Type

## FTYPE (\*FILE| \*DB | \*P7B)

The file type determines the type of path/file name in the parameter FNAME.

If \*DB is selected, PKQRYCDB will search the database based on the contents of the FNAME. For example, CN=Bill\* will search for all certificates with a common name that starts with Bill regardless of upper case or lower case.

If \*FILE is selected, then FNAME should be a very specific certificate file (full path included).

\*P7B will read a specific file that should be in a P7B format. It will then do a detailed display for the contents of the P7B certificate store.

## **CTYPE - Certificate Type**

#### CTYPE (\*ALL| \*PUBLIC | \*PRIVATE)

CTYPE specifies the type of certificates, private or public, that will be processed in this run.

- \*ALL will process both public and private certificates.
- \*PUBLIC specifies that only public certificates should be processed. No password should be supplied.
- \*PRIVATE indicates that only private-key certificates should be processed and requires that a password be entered.

#### **FNAME - File Name**

#### FNAME (Path/File name)

If FTYPE is \*DB, the FNAME contents will be the selection criteria for the certificate locator database. It should contain the prefix of the field to select, such as CN= for common name and EM= for email address. Selection is not case-sensitive. If the selection ends in an asterisk (\*), a generic selection is made for all certificates starting with the selection criteria.

If FTYPE is \*FILE, the contents of FNAME contains the IFS file that will used to query the certificate contents. Specify the full path and file name of the specific certificate file.

## **PASSWORD - Certificate Password**

#### PASSWORD (Certificate Private Key Password)

Processing the private key certificate with RUNTYPE(\*ALL) requires the password used when the certificate was exported to open and gather the contents. The password is used only to open the certificate to gather the database data; it is not stored or saved. The certificate is not altered in any way.

## **LOGLVL - Logging Level**

## LOGLVL (\*LOG|\*NOLOG |\*MAXLOG)

Specifies the level of logging (printing/viewing) used during a PKQRYCDB run. LOGLVL(\*NOLOG) shows only a minimal amount of information. LOGLVL(\*MAXLOG) shows more details, with some detail useful only for problem determination.

#### Sample Displays

Request RUNTYPE(\*SUMMARY) to generate and display a report containing additional information about the certificate.

#### → PKQRYCDB RUNTYPE(\*SUMMARY) FNAME('cn=will\*')

```
PKQRYCDB QUERY SecureZIP Cert DataBase starting-----2004/11/16 07:37:28
PKQRYCDB Start Search Summary for <cn=will*>
Public Key CN=William S. Somebody
Public Key CN=William Somebody
Public Key CN=William Somebody
Private Key CN=William Somebody
Public Key CN=William Somebody
Public Key CN=William Somebody

PKQRYCDB Run Totals:
Total Records In Error =0
Total Records Processed =5
PKQRYCDB Scan ending-----
```

Request RUNTYPE(\*Level1) to generate and display a report containing additional information about the certificate.

#### → PKQRYCDB RUNTYPE(\*LEVEL1) FNAME('cn=will\*')

Request RUNTYPE(\*ALL) to generate and display a report containing additional information about the certificate.

#### → PKQRYCDB RUNTYPE(\*ALL) FTYPE(\*FILE) FNAME('/yourpath/PKWARE/Cstores/public/billSomebody03.cer')

```
PKQRYCDB QUERY SecureZIP Cert DataBase starting-----2004/11/16 07:43:50
 Public Key Found File </yourpath/PKWARE/Cstores/public/billSomebody03.cer>
            CN=William Somebody
            EM=bill.Somebody@pkware.com
           FN=William Somebody
--- Certificate ---
William Somebody
Subject:
   O=VeriSign, Inc.
   OU=VeriSign Trust Network
   OU=www.verisign.com/repository/RPA Incorp. by Ref.,LIAB.LTD(c)98
   OU=Persona Not Validated
   OU=Digital ID Class 1 - Microsoft Full Service
   CN=William Somebody
   E=bill.Somebody@pkware.com
Issuer:
  O=VeriSign, Inc.
   OU=VeriSign Trust Network
   OU=www.verisign.com/repository/RPA Incorp. By Ref.,LIAB.LTD(c)98
  CN=VeriSign Class 1 CA Individual Subscriber-Persona Not Validated
SerialNumber:
   3F55 2A91 2B5A 9F9B 46E0 D8A0 96DB DDAB
NotBefore:
  Mon Jul 21 19:00:00 2003
NotAfter:
  Wed Jul 21 18:59:59 2004
SHA-1 Hash of Certificate:
  D5 CE FF A5 72 EF B6 53 EA 75 F7 CA 2E 01 85 7B
   65 7C B8 E7
Public Key Hash:
   6E 16 CF EF FA AO 99 25 2B 79 DE E6 23 C7 D7 42
   80 82 F3 E4
End Entity
PKQRYCDB Run Totals:
   Total Records In Error =0
   Total Records Processed =1
PKQRYCDB Scan ending-----
```

The following table explains the fields of the certificate details in the display.

Heading	Description
Subject	Information about the entity to whom the certificate was issued
Issuer	Information about the entity that issued the certificate
SerialNumber	Serial number of the certificate
NotBefore/NotAfter	Date range for which the certificate is valid
SHA-1 Hash of Certificate	The SHA-1 algorithm hash, or "thumbprint," of the certificate
Public Key Hash	The hash, or "thumbprint," of the public key
Key Usage	Key usage flags that determine how the certificate was intended to be used

The public key hash value is the prime key used in the local certificate store index.

The *Issuer* fields are composed of several x.509 subfields. The exact set varies. The following table describes some of the most commonly used.

Code	Description
0	Organization
OU	Organizational Unit
CN	Common Name
E or EM	Email address
С	Country
ST	State or Province
L	Locality or City

The common name (CN) and email (E) fields can be searched to identify recipients.

Request RUNTYPE(\*SELECT) to generate a browse screen containing additional information about the certificate. This provides the ability to fold and unfold for more information. To display details as shown above, enter a 5.

## **→** PKQRYCDB RUNTYPE(\*SELECT) FNAME('cn=P\*')

#### Folded

```
4/06/05 08:20:04
                      Query Certificate Database
                                                                       PKQCD01D
                      *CN=PKWARE Test9
 Type option - Press Enter.
   5-View
             8-Verify
 Option
           Document
  CN=PKWARE Test1
  CN=PKWARE Test3
  CN=PKWARE Test3
  CN=PKWARE Test4
  CN=PKWARE Test4
  CN=PKWARE Test9
F3-Exit
                                   F9-Fold/UnFold
                                                      F12-Return
```

#### F9 to Unfold

4/06/05 08:20:04 Query Certificate Database PKQCD01D \*CN=PKWARE Test9 Type option - Press Enter. 5-View 8-Verify Document Option CN=PKWARE Test1 Public 04/14/2004-04/13/2024 NOTTRUSTED NOTREVOKED Code= CES EM=PKTESTDB1@nowhere.com File=/yourpath/testroot/CStore/Public/pktestdb1.cer CN=PKWARE Test3 Public 12/20/2004-12/13/2024 TRUSTED NOTREVOKED Code= E EM=PKTESTDB3@nowhere.com File=/yourpath/testroot/CStore/Public/pktestdb3.crt F3-Exit F9-Fold/UnFold F12-Return 4/06/05 08:20:04 Query Certificate Database PKQCD01D \*CN=PKWARE Test9 Type option - Press Enter. 5-View 8-Verify Option Document CN=PKWARE Test3 Private 12/20/2004-12/13/2024 TRUSTED NOTREVOKED Code= E EM=PKTESTDB3@nowhere.com File=/yourpath/testroot/CStore/Private/pktestdb3.p12 CN=PKWARE Test4 Public 12/20/2004-12/13/2024 TRUSTED NOTREVOKED Code= E EM=PKTESTDB4@nowhere.com File=/yourpath/testroot/CStore/Public/pktestdb4.crt F3-Exit F9-Fold/UnFold F12-Return 4/06/05 08:20:04 Query Certificate Database PKQCD01D \*CN=PKWARE Test9 Type option - Press Enter. 5-View 8-Verify Option Document CN=PKWARE Test4 Private 12/20/2004-12/13/2024 TRUSTED NOTREVOKED Code= E EM=PKTESTDB4@nowhere.com File=/yourpath/testroot/CStore/Private/pktestdb4.p12 CN=PKWARE Test9 Private 02/08/2005-12/14/2024 TRUSTED REVOKED Code= E EM=PKTESTDB9@nowhere.com File=/yourpath/testroot/CStore/Private/pktestdb9.pfx F3-Exit F9-Fold/UnFold F12-Return

**10** 

## **Processing with GZIP**

## Introduction to GZIP (GNU zip)

GZIP (GNU zip) is a compression utility designed to use a different standard for handling compressed data in an archive. Its main advantages over other compression utilities are much better compression and freedom from patented algorithms. It has been adopted by the GNU project and is now relatively popular on the Internet. GZIP was written by Jean-Loup Gailly (jloup@gzip.org) and Mark Adler (the decompression code).

GZIP (GNU zip) utility program (available on a number of platforms including MVS, UNIX, and PC) can be used like  $PKZIP^i$  to compress and extract data.  $PKZIP^i$  in producing GZIP archives implements two GZIP standard specifications:

**RFC 1952:** GZIP file format specification Version 4.3, which documents the GZIP specifications and the format of a GZIP archive file.

**RFC 1951:** DEFLATE Compressed Data Format Specification Version 1.3, which documents the compression algorithm used by GZIP processing.

The RFC is a process to promote specifications and standards throughout the Internet community and can be found at <a href="www.faqs.org/rfcs">www.faqs.org/rfcs</a>. Both RFC 1952 and RFC 1951 specifications are platform-independent; therefore, data that was compressed on one platform, for example, UNIX, may be decompressed on another platform, for example, iSeries or MVS.

The one significant advantage of GZIP archive files over ZIP archive files is the ability to handle larger (greater than 4 GB) file sizes. The standard ZIP archive format restricts processing to uncompressed files that are less than 4 GB and cannot create an archive containing multiple files that would meet or exceed the 4 GB limit. These restrictions are due to the size of the specified fields (4 byte fields) that contain the file size information within the archive. The GZIP archive format (see RFC 1952) can process files of any size. This format does not maintain a 'directory' of information for individual files and allows sizes to 'wrap' at 4 GB, so it does not suffer a size restriction.

## GZIP Archive Files Used By PKZIP/SecureZIP for iSeries

The term GZIP archive file is used to describe the file that holds data that has been compressed by one of the GZIP programs and meets the specifications of RFC 1952. At the end of the GZIP archive is a trailer that contains the file's compressed size, uncompressed size, and a CRC value for the file (which is used to verify that the decompressed data is identical to the data that was originally compressed).

A GZIP archive file can be transferred from one platform to another and can be decompressed by a GZIP-compatible application which is running on that platform. The internal format of a GZIP archive is identical, no matter what platform compressed the file.

**PKZIP** (by default) creates new archives as members of PF-DTA files with 132-byte records. The archive file is given a text field of 'file created by PKZIP iSeries.' The archive member is given a text field of 'Member created by PKZIP iSeries.' If you wish to create your own archive (perhaps because a larger record size would be convenient), then you can do so, but consider the following:

When creating the file, do not create any members in it.

After creating the file, change the MAXMBRS parameter for the file from 1 to \*NOMAX.

A GZIP archive holds files internally in either text or binary format, both of which are compatible with other platforms supported by GZIP. Because information held in a GZIP archive is defaulted for binary processing,  $PKZIP^i$  uses the parameter FILETYPE for text or binary processing. When transporting archives between machines that use different character sets for text, for example, EBCDIC and ASCII, the binary format may not be appropriate. Specifying that the file is to be compressed as FILETYPE(\*TEXT) will allow  $PKZIP^i$  to perform EBCDIC-to-ASCII conversion, as required. Specifying FILETYPE(\*TEXT) may also be useful when PKUNZIP is used on the iSeries to extract data that has been compressed on an ASCII system.

A GZIP archive is similar to a ZIP archive but normally only contains one compressed file or member. GZIP archives, like ZIP archives, use the Lempel-Ziv algorithm (inflate) to compress and decompress data. Unlike a ZIP archive, GZIP archives do not hold a lot of information in various information blocks throughout the archive. Instead, they contain only one information block at the beginning of the archive and locates size information at the end of the archive. Some GZIP text data, for example, file name and comments, use the ISO 8859-1 (LATIN-1) character set and therefore will be converted to and from LATIN-1 as required. When a GZIP archive is created, an information block is placed in the archive before the compressed version of the file. This information block includes the following information about the file:

- The compression method used on the file.
- The date and time of the last update to the file.
- A flag to indicate optional extended data exists (these fields are usually operating system-dependant and may be ignored if identification code is unrecognized).
- The name of the file that was compressed.
- An archive text comment.

• Compressed data followed by the GZIP trailer at the end of the archive. The trailer includes a CRC value and the original size of the uncompressed file.

## **Cross Platform Compatibility**

Since GZIP archive files adhere to RFC 1952, the files are compatible across all GZIP-supported platforms. If executable files and other platform-dependent objects are compressed on one platform and then decompressed on another, it is unlikely that they will work on the new platform. The same can be said about EBCDIC vs. ASCII. Because the extra information is platform dependent, most likely it will be ignored by another platform.

A major consideration for cross platform processing is when building the archive in the QSYS library file system you may end up with pad bytes at the end of the archive due to files have record lengths and the end of the archive will be padded to the record length. Some GZIP products cannot handle the extra pad bytes at the end of the archive. In this case, the archive should be stored in the IFS where the archive will be a true stream file with no pad bytes at the end of the archive.

## **GZIP Restrictions**

Filename encryption can not be used with GZIP.

## **Special Note on GZIP Passwords**

GZIP standard processing (RFC 1952) does not normally allow a password to be placed on a GZIP archive.  $PKZIP^i$  does allow this feature, but its use may cause compatibility issues with other platforms. PKZIP for MVS does use the same password standard, so GZIP archives with passwords can be exchanged between SecureZIP for ISeries, SecureZIP for ISeries, and ISERIE or ISERIE or ISERIE archives that are created with a password with ISERIE or ISERIE or ISERIE are not part of the GZIP standards, these files will probably appear to be corrupt on other platforms.

## **Processing GZIP Archives**

**PKZIP** can create and extract information from GZIP format archives similar to how it can be used to create and extract information from a ZIP archive. The creation of a GZIP archive and other parameters is exactly like all other processes in **PKZIP**, including use of extended attributes. To create a GZIP archive file, code the parameter GZIP(\*YES). The difference is that the archive can only have one (1) file, and the archive cannot be updated. The PKUNZIP program will identify the GZIP archive and process it accordingly.

The following are the specific GZIP Restrictions that pertain to the PKZIP and PKUNZIP programs:

## **GZIP Compressing**

The code used by **PKZIP** follows the standards specified in the two applicable RFC's. Specifically, these are RFC 1952 (GZIP file format specification Version 4.3) and RFC 1951 (DEFLATE Compressed Data Format Specification Version 1.3). **PKZIP** should always be able to create a GZIP compatible compressed file and extract data from a GZIP compressed file where the GZIP utility matches these two specifications.

- Parameter COMPRESS(\*NO) cannot be used because all GZIP archives must contain compressed data.
- Parameter COMPRESS(\*TERSE) cannot be used because terse compression is a non-standard compression method for GZIP.
- Parameter FTRAN is not valid for GZIP because filenames have to be held in the ISO 8859-1(LATIN-1) character set.
- Parameter TYPE (type of processing to be performed) cannot be specified because \*DELETE, \*UPDATE, \*FRESHEN, \*MOVEF, or \*MOVEU as a GZIP archive cannot be updated once created.

Only one file is supported per GZIP archive. When creating an archive, this means that only one file or member can be identified for inclusion in the archive.

If  $PKZIP^{j}$  is used to create and encrypt a GZIP archive using a password, other platforms may not be able to decrypt the data. The encryption algorithm used by  $PKZIP^{j}$  in a GZIP archive is similar to that used by PKZIP, but it is not supported as part of the specifications for GZIP.

Once an iSeries SAVF has been zipped into a GZIP archive, the archive will extract on another platform but will not be available as a SAVF. It will just be a binary file and of no use on another platform.

The file name stored in an archive created by  $PKZIP^{i}$  will typically contain library, file, and member names (directory components) which relate to the qualifiers of the original iSeries name. According to the GZIP specifications, the file name stored should be the original name of the file being compressed, with any directory components removed. Most GZIP utilities support directory components, and the default  $PKZIP^{i}$  processing will include library, file, and member names in the output file name. Note: It is not possible to create a GZIP archive using  $PKZIP^{i}$  that does not have the file name stored in the archive.

## **GZIP Extracting**

If a file name is present in the GZIP archive, it will be held in the ISO 8859-1 (LATIN-1) character set.

If there is no file name in the archive, one will use the default paths defined in the EXDIR parameter.

If there is more than one compressed file in the archive, only the first file can be processed.

When zipping a file into an archive, the archive cannot already exist. It is not possible to merge or update a GZIP archive.

VIEW processing may not show all of the details from the archive, since some information is not stored (or not stored in convenient locations) in the GZIP archive. For example, the compressed and uncompressed file sizes will typically be shown as zero.

To match the GZIP specifications, the time stored in the archive header will be in universal time format (seconds since 01/01/1970). Because of manipulation during processing, this time will have only a two-second accuracy (will always be divisible by 2) and therefore could be one second off from the original file time.

There is no standard iSeries method to set the creation date of a file. As a result, the time in the GZIP archive is ignored when creating the iSeries output file. It may be viewed by specifying the parameter TYPE(\*VIEW).

## Sample GZIP Processing

## Compressing a file

The following example shows how to compress a file into a GZIP archive. The PKZIP command is used to compress data into an archive. To select the GZIP format for the resulting archive, you must use the GZIP(\*YES) option with the PKZIP command:

## PKZIP ARCHIVE('MYLIB1/MYARCHFIL(GZ01)') FILES('TESTLIB1/FILE1TXT') TYPE(\*ADD) GZIP(\*YES)

The command above will compress the text file TESTLIB1/FILE1TXT into the archive MYLIB1/MYARCHFIL(GZ01) in GZIP format. The archive must not already exist or an error message will be generated and the operation will fail.

The output from the above command should look like the following:

```
File MYARCHFIL created in library MYLIB1.
SecureZIP for iSeries (tm) Data Compression Version 8.2, 2003/05/01
Copyright. 2004 PKWARE, Inc. All rights reserved.
PKZIP (R) is a registered trademark of PKWARE (R), Inc.
EVALUATION Running
EVALUATION, Warning - This license will expire in 29 days on 2003/06/02
Contact your dealer with the following information
Machine ID = 0107X8WT, Processor Group = P10
Scanning files for match ...
File MYARCHFIL in library MYLIB1 with member GZ01 not found.
Found 1 matching files
Member GZ01 added to file MYARCHFIL in MYLIB1.
Member GZ01 removed from file MYARCHFIL in MYLIB1.
Member PZ3AF2447F added to file MYARCHFIL in MYLIB1.
Compressing TESTLIB1/FILE1TXT(FILE1TXT) in TEXT mode
Add TESTLIB1/FILE1TXT/FILE1TXT --
                                     Deflating (31%)
Member PZ3AF2447F renamed to member GZ01.
Member GZ01 file MYARCHFIL in MYLIB1 changed.
PKZIP Compressed 1 files in GZIP Archive MYLIB1/MYARCHFIL(GZ01)
PKZIP Completed Successfully
```

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# PKWARE PartnerLink: SecureZIP Reader/SecureLink

This chapter applies only to participants in the PKWARE PartnerLink program. Other readers may skip this section.

PKWARE PartnerLink enables a PartnerLink *sponsor* organization that has *SecureZIP for iSeries* to:

- Give a partner organization that may not have SecureZIP for iSeries the ability to use the SecureZIP for iSeries Reader/SecureLink application to extract files from ZIP archives created by the sponsor
- Enable the partner organization to use SecureZIP for iSeries
   Reader/SecureLink to create archives of files for the sponsor organization.
   If the sponsor has arranged for partner-created archives to be encrypted, all such archives are strongly encrypted for a sponsor recipient designated in advance.

## About SecureZIP for iSeries Reader/SecureLink

**SecureZIP for iSeries Reader/SecureLink** is a special version of **SecureZIP for iSeries**. It has two modes of operation, **Reader** mode and **SecureLink** mode:

- **Reader mode**: Reader mode enables SecureZIP functionality used to extract files from a ZIP archive. In Reader mode, the program can decrypt and decompress files and authenticate digital signatures.
  - In Reader mode, the program only extracts; it does not add files to a new or existing archive and does not compress, encrypt, or sign files. Also, Reader works only with archives digitally signed by a sponsor.
- SecureLink mode: This mode enables SecureZIP functionality for adding files to a ZIP archive, including commands to compress, encrypt, and digitally sign files.
  - In SecureLink mode, the program can create and update archives, but only for a designated PartnerLink sponsor. If the sponsor has arranged for archives to be encrypted, all data archived by a partner is automatically encrypted for a sponsor recipient using a certificate belonging to the sponsor. Only the sponsor-associated recipient can decrypt and read the files in an archive

encrypted by SecureLink. SecureLink does not use password-based encryption.

For convenience of installation, configuration, maintenance and operation, **SecureZIP for iSeries Reader** and **SecureZIP for iSeries SecureLink** have been combined into a single software package. A single copy of the software can process ZIP archives from multiple sponsors.

See the chapter relating to PartnerLink in the *SecureZIP for iSeries System Administrator's Guide* for a description of administration and configuration activities unique to the Reader and SecureLink products.

## If You Are a Sponsor: Sign the Central Directory

A sponsor organization uses SecureZIP as usual to work with archives for, or from, a partner. There is just one special requirement when creating an archive for a partner: In order for the partner to be able to extract the archive you must sign the central directory of the archive using a certificate included in the Sponsor Distribution Package. A Sponsor Distribution Package is a package that PKWARE assembles for a sponsor to configure partners of that sponsor.

## **Terms and Acronyms Used in This Chapter**

The PKWARE PartnerLink program introduces some new concepts and terminology:

- **FF** Acronym for *full-featured* SecureZIP operations
- **SecureLink mode** The mode of SecureZIP ZIP processing that creates an encrypted ZIP archive for a particular configured PartnerLink sponsor
- Partner / Partner mode An installation configured using a particular sponsor's Sponsor Distribution Package (see below) to be a partner of that sponsor. A partner uses **SecureZIP for iSeries Reader/SecureLink** to work with archives from, or for, the sponsor. Depending on the Sponsor Distribution Package, a partner can use the program in **Reader** mode only or in either Reader mode or **SecureLink** mode (see below).
- Reader mode The mode of SecureZIP UNZIP processing that extracts archives from (and only from) a PartnerLink sponsor configured on the partner's system
- **Sponsor** An installation responsible for initiating and defining a PartnerLink sponsor-partner relationship with one or more other installations. A aponsor uses the full-featured SecureZIP product; a partner uses the special **SecureZIP for iSeries Reader/SecureLink** version.
- **Sponsor Distribution Package** A configuration package distributed to a partner on behalf of a sponsor to define the authorization requirements and provide the certificates needed to process ZIP archives from, or for, the sponsor. The package is digitally signed using a PKWARE-assigned certificate.
- Sponsor File A component file in a Sponsor Distribution Package
- **Sponsor Imprint** A unique digital representation of a registered sponsorpartner relationship within the PKWARE PartnerLink program. This may represent the unique identification of Distribution Package components or of ZIP archives being read.

• **Sponsor/Partner Registration ID** – A unique registration number that identifies a particular sponsor-partner relationship

## **PKWARE PartnerLink Program: Overview**

The PKWARE PartnerLink program provides a straightforward, secure way for an organization to exchange sensitive information with outside partners.

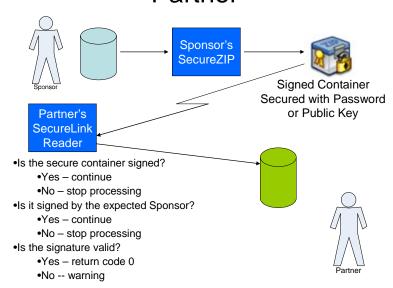
A PartnerLink *sponsor* organization establishes a PartnerLink *partner* relationship with another organization. As a PartnerLink partner, the external organization receives the *SecureZIP Reader/SecureLink* program to use to decrypt and extract archives created by the sponsor using the full SecureZIP program. The partner can also use the program to create archives for the sponsor that only the sponsor can decrypt.

The **SecureZIP Reader/SecureLink** program used by a PartnerLink partner extracts archives only *from a sponsor* and creates and encrypts archives only *for a sponsor*.

## **Decrypting and Extracting Sponsor Data (Reader Mode)**

When Reader/SecureLink is installed at a partner location, a sponsor can create, digitally sign, and encrypt SecureZIP secure containers (ZIP archives) for the partner. In Reader mode, the Reader/SecureLink program verifies that the data file received has the appropriate signature from the sponsor and that the signature is valid. This confirms that the data is from the expected sender and that no tampering has occurred. The partner can then decrypt and extract the data.

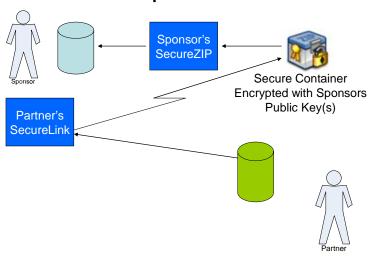
## Secure Data from Sponsor to Partner



## Partner (SecureLink) Data Exchange to Sponsor

A partner can also use SecureLink to create and, optionally, encrypt ZIP archives. If the sponsor has provided an encryption key, SecureLink uses it to automatically encrypt any data placed in an archive. The archive can then be transferred to media or transmitted to the sponsor electronically.

# Secure Data from Partner to Sponsor



## Requirements

## License

A license key is provided with the installation package for the system administrator to use to activate the *SecureZIP for iSeries Reader* and *SecureZIP for iSeries SecureLink* products. One license is used to activate both products for use from one set of execution libraries.

## **Operating Environment**

**SecureZIP for iSeries Reader** and **SecureZIP for iSeries SecureLink** require the same operating environment as full-featured **SecureZIP for iSeries**.

## **Sponsoring Configuration**

In order to fully process ZIP Archives, the system administrator for **SecureZIP for iSeries Reader** and/or **SecureZIP for iSeries SecureLink** must install one or more Sponsor Distribution Packages and provide the corresponding run-time configuration information for the ZIP and UNZIP jobs to use. The installed Sponsor Distribution Package determines which archive signatures are approved for Reader

Extract processing and defines the list of sponsor recipients for whom SecureLink encrypts new archives.

## **Functional Overview**

**SecureZIP for iSeries Reader** and **SecureZIP for iSeries SecureLink** enable a PartnerLink partner to exchange ZIP archives with a sponsor. A Sponsor Distribution Package provides the partner installation with qualifying controls for processing ZIP archives received from or created for a sponsor. Multiple sponsor profiles with unique processing requirements can be configured to support exchanges with multiple PKWARE PartnerLink sponsors.

A given sponsor profile defines the UNZIP and ZIP capabilities for a partner. In a given sponsor-partner relationship, a partner operates in Reader mode to extract archives and in SecureLink mode to create archives (if SecureLink functionality is licensed).

See the *SecureZIP for iSeries System Administrator's Guide* for information on installing Sponsor Distribution Packages.

## **General Restrictions**

Although many features of full-featured **SecureZIP for iSeries** are also available to **SecureZIP for iSeries Reader** and **SecureZIP for iSeries SecureLink**, some limitations apply for these products.

- **SecureZIP for iSeries Reader** (UNZIP Reader-mode) can only open a ZIP archive that has been digitally signed by a qualified and configured sponsor, as specified in the Sponsor Distribution Package.
- **SecureZIP for iSeries SecureLink** (ZIP SecureLink-mode) can only encrypt a ZIP archive for a sponsor-designated set of certificate-based recipients.

Attempts to use features that require operational characteristics outside of the bounds set above are rejected or ignored.

## PartnerLink IVP Examples

In the distributed SecureZIP library, there is a CL program named PLIVPZIP that runs an initial test with the test distributed package from PKWARE with a Sponsor Id number of 0. The following two examples excerpt steps from the CLP.

**READER Example**: Step EXTRACT will read in the signed archive by sponsor 0 and will extract the files to a file TMPTEST. To authenticate the signed archive, AUTHCHK((\*ARCHIVE \*SPONSOR 0)) is required to read in the sponsor ID number "0" sponsor authentication file.

PKUNZIP ARCHIVE('PKW82051L/PLIVPZIP(PLIVPZIP)')

TYPE(\*EXTRACT) EXDIR('PKW82051L/TMPTEST')

DROPPATH(\*ALL) PASSWORD('PKWARE, Inc.')

AUTHCHK((\*ARCHIVE \*SPONSOR 0))

Sample Results of Step EXTRACT:

```
PartnerLink SecureZIP(TM) for iSeries Version 8.2.0, 2005/10/03
Copyright. 1989-2005 PKWARE, Inc. All Rights Reserved.
SecureZip(tm) is a trademark of PKWARE (R), Inc.
SecureZIP for iSeries Reader/SecureLink License
Machine ID = 01041A6E, Processor Group = P05
Digital Certificate Request List: Archive Authenticator
Rgrd
       Pub *SPONSOR
                                        - a0000000.p7
Archive Authenticator List-----1 processed:
UNZIP Archive: PKW82051L/PLIVPZIP(PLIVPZIP)
Archive Comment: "SecureZIP for zSeries by PKWARE"
Searching Archive PKW82051L/PLIVPZIP(PLIVPZIP) for files to extract
Archive was signed by "PKWARE PartnerLink TEST Signing Certificate" and verified
Extracting file SECZIP/READER/README.TXT
Inflating *DB:PKW82051L/TMPTEST(READMETXT) Text
SecureUNZIP extracted
                         1 files
SecureUNZIP Completed Successfully
```

**SecureLink Example**: Step SLNKZIP will read the file that was extracted above and create a new archive by selecting files TMPTEST(READMETXT) for compression with AES256 encryption. The encryption will use the pubic certificates from the Sponsor ID number "0" recipient file with the parameter ENTPREC((\*SPONSOR 0)) or ENTPREC((\*SPONSOR 'R0000000.p7')).

```
PKZIP ARCHIVE('PKW82051L/PLIVPZIP(NEWTESTZ)')
FILES('PKW82051L/TMPTEST(READMETXT)') ADVCRYPT(AES256)
ENTPREC((*SPONSOR 0))
```

Sample Results of Step SLNKZIP:

```
PartnerLink SecureZIP(TM) for iSeries Version 8.2.0, 2005/10/03
Copyright. 1989-2005 PKWARE, Inc. All Rights Reserved.
SecureZip(tm) is a trademark of PKWARE (R), Inc.
SecureZIP for iSeries Reader/SecureLink License
Machine ID = 01041A6E, Processor Group = P05
Scanning files in *DB for match ...
Digital Certificate Request List: Encryption Recipients
Rard
       Pub *SPONSOR
/yourpath/PKWARE/PLstore/Sponsor/RECIP/r
0000000.p7
Encryption Recipients List-----1 processed:
CN=PKWARE PartnerLink TEST Encryption Certificate
EMail=PKWAREPartnerLinkCA@pkware.com
Found 1 matching files
Compressing PKW82051L/TMPTEST(READMETXT) in TEXT mode
Add PKW82051.L/TMPTEST/READMETX.T -- Deflating (69%) encrypt(BSAFE AES
256Key)
SecureZIP Compressed 1 files in Archive PKW82051L/PLIVPZIP(NEWTESTZ)
SecureZIP Completed Successfully
```

## Reader (UNZIP) Processing

The following features are provided by Reader:

• An AUTHCHK(Archive) is automatically performed whenever a ZIP archive is opened, except in the following cases:

- An AUTHCHK(ARCHIVE) is requested manually
- Any form of View action
- o A TEST action without any form of AUTHCHK request
- A TAMPERCHECK policy will always be enforced for authentication, regardless of the SecureZIP configuration policy settings.
- The certificate authority trust chain will automatically be honored from the installed and configured Sponsor Distribution Package during archive authentication even if the trusted root certificate is not installed in the local certificate ROOT store.
- If the sponsor also signed files in an archive with the same certificate used to sign the archive central directory, the same certificate authority trust chain used to authenticate the archive signature is used to authenticate signatures on the files.

#### Restrictions

The following limitations or special behavior applies when **SecureZIP for iSeries** is run in Reader/SecureLink mode:

- Archive types (such as GZIP) that do not support signing the archive central directory are not available
- Unsigned archives are rejected for processing

## **Archive Authentication Settings**

The archive authentication that is automatically performed when a ZIP archive is opened for Reader extract processing uses one or more Sponsor Authentication Configuration Settings to reference an installed Sponsor Authentication File in the certificate store. This is accomplished by including one or more AUTHCHK ((\*ARCHIVE \*SPONSOR x) ((\*ARCHIVE \*SPONSOR y)) parameters where x and y are sponsor ID numbers.

- At least one AUTHCHK((\*ARCHIVE \*SPONSOR x) ) command is required to access a ZIP archive for extract processing.
- If more than one Sponsor Authentication Configuration Setting command is provided, then the archive authentication will accept an archive from any of the represented sponsors.

#### Example: Unzipping and authenticating an archive from sponsor 0:

```
→ PKUNZIP ARCHIVE('PKW82051L/PLIVPZIP(PLIVPZIP)')

TYPE(*EXTRACT)

PASSWORD('PKWARE, Inc.') OVERWRITE(*YES)

EXDIR('PKW82051L/TMPTEST') DROPPATH(*ALL)

AUTHCHK((*ARCHIVE *SPONSOR 0) )
```

#### Sample Results:

```
PartnerLink SecureZIP(TM) for iSeries Version 8.2.0, 2005/10/11
Copyright. 1989-2005 PKWARE, Inc. All Rights Reserved.
SecureZip(tm) is a trademark of PKWARE (R), Inc.
SecureZIP for iSeries Reader/SecureLink License
Machine ID = 01041A6E, Processor Group = P05
Digital Certificate Request List: Archive Authenticator
Rgrd
      Pub *SPONSOR
                                        - a0000000.p7
Archive Authenticator List-----1 processed:
UNZIP Archive: PKW82051L/PLIVPZIP(PLIVPZIP)
Archive Comment: "SecureZIP for zSeries by PKWARE"
Searching Archive PKW82051L/PLTVPZTP(PLTVPZTP) for files to extract
Archive was signed by "PKWARE PartnerLink TEST Signing Certificate" and verified
Extracting file SECZIP/READER/README.TXT
Inflating *DB:PKW82051L/TMPTEST(READMETXT) Text
SecureUNZIP extracted
                         1 files
SecureUNZIP Completed Successfully
```

## **Decryption Certificate Selection**

RECIPIENT private-key/certificate selection follows the rules for full-featured **SecureZIP for iSeries** local certificate store administration and operations.

## File Signature Authentication Certificate Selection

In addition to supporting AUTHCHK \*FILES with implicit reference to the AUTHCHK \*ARCHIVE certificate validation, separate and distinct file signatory validation can be performed outside of the configured Sponsor Distribution Package. However, this operation is allowed only for files in a sponsor-provided data archive that have signatures for which certificates are not included in the Sponsor Distribution Package.

Public-key certificate files supporting file signature authentication can be supplied through the full-featured *SecureZIP for iSeries* CER certificate types in the local certificate store.

## SecureLink (ZIP) Processing

With SecureLink, a sponsor-authorized partner can generate a ZIP archive for the sponsor. Data files placed in the created archive are encrypted for a sponsor-designated set of certificate-based recipients. The following special features are provided by SecureLink:

- Unless otherwise specified, a minimum encryption method of AES128 is set for newly encrypted files.
- All recipients defined in the sponsor-defined recipient package (as configured from the Sponsor Distribution Package) are included in the encryption request.
- Recipients identified in the sponsor-defined recipient package are subject to the SecureZIP ENCRYPOL policy settings in the certificate store configuration. Individual recipients not passing the designated policy attributes are eliminated from encryption processing.
- The certificate authority trust chain from the installed and configured Sponsor Distribution Package is automatically honored for the designated recipients

- even if the trusted root certificate is not installed in the local certificate store ROOT. A trusted root is included in the sponsors authentication package.
- When a sponsor-created ZIP archive is used as input to create a new target archive, the same features in effect for Reader are activated for the input archive. In particular, a signed archive is validated with AUTHCHK.
- When a sponsor-source ZIP archive is used as input to create a new target archive, files copied from the original archive are retained in their original form.
- Newly created archives may be Viewed by the Reader in accordance with SecureZIP functionality.

#### Restrictions

The following features are not available or have limitations for **SecureZIP for iSeries SecureLink**:

- GZIP output is not available.
- Self-extracting archives cannot be created.
- An encryption method for supported recipient-based encryption must be used ("Standard" is not supported).
- Password-based encryption for new archives is not available.
- Encryption is only permitted for sponsor-provided keys.
- All archive creation actions require a qualified response recipient configuration as provided by the Sponsor Distribution Package.
- Directory Integration with LDAP access to public-key certificates for encryption and related command settings is not available.
- An archive can be created and encrypted only for recipients associated with a single sponsor: an ENTPREC request must target a configured sponsor, and an archive cannot be created for multiple sponsors. Note, however, that multiple public-key certificates can be included by a given sponsor in one Sponsor Distribution Package. This implementation rules out the use of DB: and LDAP: request formats for the ENTPREC command.
- An output archive with FNE(\*YES) can be created in accordance with the
  qualified sponsor recipient keys. However, because SecureLink can create and
  encrypt archives only for a sponsor, a partner cannot update a filenameencrypted archive from a sponsor for the partner.

## **Encryption Certificate Selection**

ENTPREC public-key/certificate selection is predefined by the Sponsor Distribution Package. The *SecureZIP for iSeries* local certificate store is extended to support sponsor-provided encryption keys with a lookup type of \*SPONSOR. The SecureLink ENTPREC command is limited to access only those public-keys supplied in the SecureLink Authorized Recipient File.

Sponsor encryption is accomplished by including the ENTPREC((\*SPONSOR x)) parameters, where x is the sponsor ID number or sponsor recipient file (R000000x).

One ENTPREC((\*SPONSOR x)) is required encrypt the files for the sponsor.

#### Example: Encrypting files into an archive for sponsor 0:

```
→ PKZIP ARCHIVE('PKW82051L/PLIVPZIP(NEWTESTZ)')

FILES(&FILES1)

ADVCRYPT(AES256)

ENTPREC((*SPONSOR 0))
```

#### Sample Results:

```
PartnerLink SecureZIP(TM) for iSeries Version 8.2.0,
                                                     2005/10/11
Copyright. 1989-2005 PKWARE, Inc. All Rights Reserved.
SecureZip(tm) is a trademark of PKWARE (R), Inc.
SecureZIP for iSeries Reader/SecureLink License
Machine ID = 01041A6E, Processor Group = P05
Scanning files in *DB for match ...
Digital Certificate Request List: Encryption Recipients
       Pub *SPONSOR
/yourpath/PKWARE/PLstore/Sponsor/RECIP/r0000000.p7
Encryption Recipients List-----1 processed:
CN=PKWARE PartnerLink TEST Encryption Certificate
EMail=PKWAREPartnerLinkCA@pkware.com
Found 1 matching files
Compressing PKW82051L/TMPTEST(READMETXT) in TEXT mode
Add PKW82051.L/TMPTEST/READMETX.T -- Deflating (69%) encrypt(BSAFE AES
256Key)
SecureZIP Compressed 1 files in Archive PKW82051L/PLIVPZIP(NEWTESTZ)
SecureZIP Completed Successfully
```

# A

## **Performance Considerations**

This appendix lists a few performance considerations when running **PKZIPi**. Most performance related issues can be controlled by the PKZIP/PKUNZIP parameters. However, it should be noted that PKZIP data compression is CPU intensive by its very nature, and that PKZIP/PKUNZIP parameters can only help to a limited degree. Therefore, it should be expected that a *reasonable* amount of CPU resources will be needed for such operations.

## **Interactive Performance**

When compressing large size files, PKZIP will sometimes use as much CPU resources as the system will allow. With this in mind, processing very large files may perform best as a submitted job. However, some iSeries environments have constraints on running interactive jobs. If those interactive jobs run for a long time and use a high amount of CPU resources, the system will slow down and may issue the message CPI1479 "Interactive activity approaching capacity of installed feature." In this case, review the details of this message. This usually means that the interactive systems are using more resources than the iSeries was configured to use.

## **Compression Type Performance**

Selecting a compression method is one way to get the smallest compressed file with the relationship to the CPU usage and run times. Sometimes, to get the best results, you may have to run several tests with the data to balance the compression ratio to the length of the run time. Running with \*MAX will usually get the best compression ratio but will also run the longest. In most of our test cases, \*MAX would run 30%-40% longer than \*NORMAL and might only gain less than 1% better ratio. This is why we recommend using SUPERFAST (the default) unless your testing implies otherwise.

To minimize the overhead needed to ZIP, the best thing (and the easiest) is to select a compression method other than \*MAX. PKZIP's default compression method is SUPERFAST.

When using the compression method of Maximum, you are only compressing the data by another 1-8% over a job that might use the SUPERFAST compression method. The archive file size change is minimal. However, the time difference

between a maximum and a SUPERFAST job can be measured in hours if the file is big enough!

You may read more about the compression levels by prompting the Compression Level parameter (F1).

Compression Level . . . . . . \*SUPERFAST \*FAST, \*NORMAL, \*MAX...

## **Data Type Selection**

Getting the best performance from your iSeries machine with regards to a PKZIP job can truly depend on the parameters you have selected for the job. In many cases, the compressed size of a file depends on the type of data (Binary vs. Text), and the compression type selected. Text will usually compress more since it has a higher probability of repeated characters.

Knowing the target platform of the data will help you resolve how PKZIP is to treat the data during the compression process. However, PKZIP treatment of data defaults to \*DETECT. \*DETECT means that PKZIP will scan the data (up to 97% of the input file) to determine whether the data that it is going to compress should be treated as TEXT or BINARY. This can be an especially painful process if you are selecting large files for compression. However, to get around the *scanning* overhead, if you know you are sending the archive or ZIP file to a PC or to a UNIX machine, you know that the data will need to be converted to TEXT (or ASCII). Therefore, you should select file Types(\*TEXT). If the data is targeted for another iSeries machine, then you should select \*BINARY. \*DETECT should only be used when you do not know the nature of the data.

You may read more about the data types by prompting the file Types parameter (F1).

File Types . . . . . . . . . \*DETECT \*DETECT \*TEXT \*BINARY ....

## **Archive Placement (IFS or in a Library)**

For best performance try to store the archives in the IFS. By placing the archive in the IFS instead of in a library/file reduces the overall CPU usage and in some cases can reduce the run times as much as 30%-40%.

It is recommended when using the ZIP process for large files that the ZIP archive be stored in the IFS. This method provides the best performance and makes the most efficient use of storage space for both ZIP archives and ZIP temporary files.

## **ZIP64 Processing Considerations**

When processing very large files or high volumes of files, the processing characteristics of PKZIP may vary depending on the phase of processing involved. Some common processing phases and their run-time characteristics are:

 ZIP file selection: When selecting a very large number of files through many directories and/or libraries, the initial selection requires IO time and memory per file to analyze and manage each of the file's properties. The more files to

- select, the more memory and initial startup overhead. Each site will have to discover their practical limits based on their environments and resources.
- Archive directory read processing: When updating an existing archive that
  contains a very large number of files, time and memory again are used to
  manage the archives and its directory. Or when using PKUNZIP to view the
  files, the more files in the archive, the more memory that is required and the
  more time that is involved when sorting the files in archive properties before
  displaying or printing the contents.
- Archive updating: When updating a large archive with large file sizes, there will be overhead to copy the files from the previous archive, before adding or updating new files to the archive. For example, if you have a 10 GB archive with 5 files that are each compressed, down to 2 GB, overhead will be required to copy the compressed files from the old archive to the new archive. This is another reason for storing the archive in the IFS, which can help reduce resources rather than storing the archive in a file in a library.
- When compressing large size files, PKZIP will sometimes use as much CPU as the system will allow. With this in mind, processing very large files may perform best as a submitted job. Some iSeries systems have constraints on running interactively, and if interactive jobs run a long time and use high amounts of CPU resources, their system will start slowing down and may issue the message CPI1479 "Interactive activity approaching capacity of installed feature." In this case they should review the details of this message, which usually means that their interactive systems are using more resources than the iSeries was configured to use.

## **Encryption Performance**

When using advanced encryption versus no encryption, there will be a slight increase in the overall size of the archive that contains the AES overhead (approximately 300 bytes per file in archive). The increase in size will be same whether you use AES 128, AES 192, or AES 256.

AES 256 being the most secure encryption algorithm, will also consume the most CPU usage. AES 128 on average could use around 9% more CPU than running with no encryption. AES 256 averages about 3.4% more usage when compared with AES 128 (or around 12.5% versus no encryption).

## **Extended Attributes Selections**

The extended attributes naturally contribute some overhead to the archive but it is minimal, unless you are compressing a database file in the QSYS library file system with the parameter DBSERVICE(\*YES). This size then depends on the definitions of the database (fields, headings, etc), but also is very important in rebuilding a DB2 database where it does not exist.

These extended attributes can be stored in two places, called the local header and central header directories.  $PKZIP^{i}$  8.2 and other current PKWARE products now only use the extended attributes from the central directory. PKZIP for OS/400 5.5 required some of the attributes in the local header.

To help reduce the archive overhead the parameter EXTRAFLD in **PKZIP** has been expanded to select where you want to store the attributes. By using EXTRAFLD(\*Central), you reduce the size of each file in the archive by the size of the extended attributes. Caution: If the attributes are required on another iSeries not running on 8.2 or above, use the option EXTRAFLD(\*BOTH) or EXTRAFLD(\*YES).

# B Examples

## **Example 1 - PKUNZIP Files to a New or Different Library**

To extract the files in the archive to a new library. An example of the files in the archives are:

```
PKUNZIP ARCHIVE('atest/qz/tstchg')
Archive: ATEST/QZ(TSTCHG) 88572 bytes 6 files
Length Method Size Ratio Date Time CRC-32
-----
                           ----
     259 Defl:F 178 01-16-01 08:24 b5dbf80c TESTLIB1/BEN/BEESON
                48720 01-16-01 14:46 94c7506c
  449664 Defl:F
TESTLIB1/MYSPLFTM.P/AQZIP123.4X
  205693 Defl:F 29687 01-16-01 14:46 e2473ea4
TESTLIB1/MYSPLFTM.P/LISTDBOB.X
   27488 Defl:F 6771 01-16-01 14:46 c264817a TESTLIB1/MYSPLFTM.P/QPRINT1X
    3352 Defl:F
                  800 01-16-01 14:46 3e485445
TESTLIB1/MYSPLFTM.P/T4RSTLIB.XY
   256 Stored 256 01-16-01 15:22 29058c73 TESTLIB1/TEST1/HEX
                _____
 -----
  686712
                  86412
                                                6 files
```

To extract to a new library, use the keyword EXDIR and DROPPATH

```
PKUNZIP ARCHIVE('atest/qz/tstchg') TYPE(*EXTRACT) EXDIR(mynewlib) DROPPATH(*LIB)
    PKUNZIP Archive: ATEST/QZ(TSTCHG)
     Searching Archive ATEST/QZ(TSTCHG) for files to extract
    Extracting file TESTLIB1/BEN/BEESON
                                                                NOTE path
    Library MYNEWLIB created.
                                                                NOTE Library
created
    File BEN created in library MYNEWLIB.
    Member BEESON added to file BEN in MYNEWLIB.
    Member BEESON file BEN in MYNEWLIB changed.
     Inflating: MYNEWLIB/BEN(BEESON)
                                                               NOTE new path
    {\tt Extracting \ file \ TESTLIB1/MYSPLFTM.P/AQZIP123.4X}
     File MYSPLFTMP created in library MYNEWLIB.
    Member AQZIP1234X added to file MYSPLFTMP in MYNEWLIB.
    Member AQZIP1234X file MYSPLFTMP in MYNEWLIB changed.
     Inflating: MYNEWLIB/MYSPLFTMP(AQZIP1234X)
```

Since the library mynewlib did not exist, it was created.

## Example 2 - CLP with Override for Stdout and Stderr to an OUTQ

The following is an example of overriding the PKZIP and PKUNZIP program output, and then redirecting the output to an OUTQ. This also provides an example of using mixed file systems, such as having the archive file in the IFS and selecting files from the QSYS library file system.

```
ZIPEXPL01:
              PGM
                        PARM(&OUTQ)
/* Program:
             ZIPEXPL01
                                   Example
/* Abstract: This is a example CL program has on parameter for
      the OUTQ for the processing of PKZIP for iSeries. If it is */
      *none or *NONE no overriding to QPRINT will take place.
      1. Will add the PKZIP for iSeries Library to Library List
        If it is already part of LIBL then note so as to not
          remove at the end.
     2. Set the Current Library (only required if parameters of */
         PKZIP leaves out the Library and a default is needed)
      3. An example of setting the current directory is IFS
      4. Check input OUTQ. If none keep processing
      5. Override the Stdout and Stderr to input outq
         This is where PKZIP will send messages when the
         MSGTYPE is (*PRINT) or (*BOTH).
      6. Run Test01 of PKZIP
     7. Run Test02 of PKZIP with archive in IFS
      8. Run Test03 of PKZIP with IFS system
     9. Run Test04 of PKUNZIP to view archive
    10. If the PKZIP Library was not present at the beginning
                                                                 */
        remove it from *LIBL.
             **********
 OUTO:
                        VAR(&OUTQ) TYPE(*CHAR) LEN(10)
                        VAR(&PKZIPLIB) TYPE(*CHAR) LEN(10) +
 PKZIPLIB:
            DCT.
                         VALUE(PKW82051S)
   /* if PKZIP library is in Libl do not remove it at the end */
 LIBLCHG:
            DCL
                       VAR(&LIBLCHG) TYPE(*CHAR) LEN(1) VALUE('Y')
 CURLIB:
            DCL
                        VAR(&CURLIB) TYPE(*CHAR) LEN(10) VALUE(MYLIB)
 ZIPDIR:
             DCT.
                        VAR(&ZIPDIR) TYPE(*CHAR) LEN(10) +
                         VALUE('/mydir')
     /* Add the PKZIP for iSeries library to library List */
             ADDLIBLE LIB(&PKZIPLIB)
             MONMSG
                       MSGID(CPF2103) EXEC(CHGVAR VAR(&LIBLCHG) +
                          VALUE('N'))
     /* Set Current Directory to MYLIB */
                /*(not Required for this test Just an example)*/
             CHGCURLIB CURLIB(&CURLIB)
     /* Set Current Directory to zip
               /*(not Required for this test Just an example)*/
                        DIR(&zipdir)
     /* Check input outq to see overides required
CHKOUTQ:
                       COND((&OUTQ *EQ '*none') *OR (&OUTQ *EQ +
                          '*NONE')) THEN(GOTO CMDLBL(NOOUTQ))
  /* change Stdout and Stderr to my outq */
             OVRPRTF FILE(STDOUT) TOFILE(*LIBL/QSYSPRT) OUTQ(&OUTQ)
             OVRPRTF
                       FILE(STDERR) TOFILE(*LIBL/QSYSPRT) OUTQ(&OUTQ)
NOOUTQ:
     /* Test basic PKZIP
 TEST01:
            PK7.TP
                       ARCHIVE('ATEST/PKZ2(MYSL04)') +
                         FILES('TESTLIB/MYSPLF(*ALL)') +
                          EXCLUDE('TESTLIB/MYSPLF(Q*)')
     /* Test basic PKZIP with archive in IFS and print only messages */
```

```
TEST02:
           PKZIP
                      ARCHIVE('/mydir /tmpsave/itest01') +
                         FILES('TESTLIB/TEST') FILETYPE(*BINARY) +
                         TYPARCHFL(*IFS) MSGTYPE(*PRINT)
    /* Test PKZIP with all files in IFS */
TEST03:
                      ARCHIVE('/mydir/tmpsave/itest02.zip') +
                        FILES('/mydir/test1/basetest') +
                         TYPARCHFL(*IFS) TYPFL2ZP(*IFS)
    /* Test PKUNZIP view of archive from test02 */
TEST04:
          PKUNZIP
                      ARCHIVE('/mydir/tmpsave/itest01') +
                        TYPARCHFL(*IFS) MSGTYPE(*PRINT)
    /* If PKZIP Library was added to LIBL then remove it */
                      COND(&LIBLCHG *EQ 'Y') THEN(RMVLIBLE +
ENDPGM:
                        LIB(&PKZIPLIB))
            ENDPGM
```

## **Example 3 - Creating an Archive in Personal Folders (QDLS)**

The following is an example of creating and processing the archive in the Document library Services file system (QDLS). First, assume a folder in QDLS with a name of MYFOLDER where the archives will be stored. To view the folders, issue the command <u>WRKLNK '/QDLS/\*'</u> (you could use WRKDOC and WRKFLR, but WRKLNK is better to use since PKZIP will be using /QDLS).

```
Work with Object Links
Directory . . . : /qdls
Type options, press Enter.
  3=Copy 4=Remove 5=Next level
                                  7=Rename
                                             8=Display attribu
 11=Change current directory ...
     Object link
                            Type
                                            Attribute
                                                         Text
                            FLR
                            FT.R
     MYFOLDER
                            FLR
     OBKBOOKS
                            FLR
```

Run the PKZIP command:

## PKZIP ARCHIVE('/QDLS/MYFOLDER/MYARCH1.ZIP') FILES('testlib/ben') TYPARCHFL(\*IFS)

The suffix .ZIP was added to help identify the file as an archive file.

```
PKZIP for iSeries (tm) Version
                                8.2,
                                      2003/08/21
Copyright. 2004. PKWARE, Inc. All Rights Reserved.
PKZIP is a registered trademark of PKWARE, Inc.
EVALUATION Running
EVALUATION, Warning - This license will expire in 29 days on 2003/09/20
Contact your dealer with the following information
               , Processor Group = P05
Machine TD =
Scanning files for match ...
Found 1 matching files
Compressing TESTLIB/BEN(BEESON) in TEXT mode
Add TESTLIB/BEN/BEESON -- Deflating (32%)
PKZIP Compressed 1 files in Archive /QDLS/MYFOLDER/MYARCH1.ZIP
PKZIP Completed Successfully
Press ENTER to end terminal session.
```

To see the file in the folders, run WRKLNK '/QDLS/MYFOLDER/\*'

Next, to view the contents, run:

#### PKUNZIP ARCHIVE('/QDLS/MYFOLDER/MYARCH1.ZIP') TYPARCHFL(\*IFS)

```
SecureZIP for iSeries (tm) Version 8.2

2003/08/21
Copyright. 2004. PKWARE, Inc. All Rights Reserved.
PKZIP is a registered trademark of PKWARE, Inc.
Archive: /QDLS/MYFOLDER/MYARCH1.ZIP 551 bytes 1 file
Length Method Size Ratio Date Time CRC-32 Name

259 Defl:F 177 32% 11-27-00 15:32 b5dbf80c TESTLIB/BEN/BEESON

259 177 32% 1 file
PKUNZIP extracted 0 files
PKUNZIP Completed Successfully
Press ENTER to end terminal session.
```

## **Example 4 - Processing Archive on a CD (QOPT)**

The following is an example of processing an archive that exists on a CD and using PKUNZIP to view or extract. Because the archive file is on a CD, and the file system QOPT controls the CD, this archive basically exists in the IFS.

First, check and ensure the archive is on the CD by doing a WRKLNK (you can use WRKOPTDIR, but using WRKLNK will show the actual paths required). Remember, the volume of the CD is also a directory in QOPT file system. If the file names are longer than eight characters, the file name will be changed, much like you see in DOS systems. It will contain a tilda (~) followed by a number for files found with excessive name lengths.

#### WRKLNK '/QOPT/\*'

```
Work with Object Links

Directory . . . : /QOPT

Type options, press Enter.

3=Copy 4=Remove 5=Next level 7=Rename 8=Display attributes
11=Change current directory . . .

Opt Object link Type Attribute Text
MYTESTLABEL DDIR
```

The above screen shows that the volume label of the CD is "MYTESTLABEL". Using the "5" for the next level option, you can navigate through the directories. You will then see the files and directories on the root of the CD. For example:

```
Work with Object Links
Directory . . . : /QOPT/MYTESTLABEL
Type options, press Enter.
 3=Copy 4=Remove 5=Next level 7=Rename 8=Display attributes
 11=Change current directory ...
Opt Object link
                           Type
                                          Attribute
                                                       Text
     ARCHIVE.ZIP
                          DSTMF
     GZIPPW.GAR
                          DSTMF
     OS_400~3.DOC
                          DSTMF
     PKZCVT~2.DOC
                          DSTMF
     PKW80~1.SAV
                          DSTMF
     PKW80~1.ZIP
                          DSTMF
```

To view the archive PKW80~1.ZIP (which is really the long name PKW82051S.ZIP) contents, use PKUNZIP with \*VIEW.

Use the command:

# PKUNZIP ARCHIVE('/QOPT/MYTESTLABEL/PKW80~1.ZIP') TYPARCHFL(\*IFS) TYPE(\*VIEW)

## **Example 5 - Compressing files from a CD (QOPT)**

Using the document (.DOC) files on the CD shown in Example 4, we can compress the files and store them in the archive in my archive library ATEST under the file V509 archives with an archive file member named CDTEST01.

PKZIP ARCHIVE('atest/v509/cdtest01')
FILES('/QOPT/MYTESTLABEL/OS\_400~3.DOC'
'/QOPT/MYTESTLABEL/PKZCVT~2.DOC') TYPFL2ZP(\*IFS)

```
SecureZIP for iSeries (tm) Version 8.2, 2003/08/22
Copyright. 2004. PKWARE, Inc. All Rights Reserved.
PKZIP (R) is a registered trademark of PKWARE (R), Inc. Scanning files for match ...
Found 2 matching files
Compressing /QOPT/MYTESTLABEL/OS_400~3.DOC in BINARY mode
Add /QOPT/MYTESTLABEL/OS_400~3.DOC -- Deflating (77%)
Compressing /QOPT/MYTESTLABEL/PKZCVT~2.DOC in BINARY mode
Add /QOPT/MYTESTLABEL/PKZCVT~2.DOC -- Deflating (79%)
PKZIP Compressed 2 files in Archive ATEST/V509(CDTEST01)
PKZIP Completed Successfully
```

Because you would not be able to extract them to the CD, you may want to use the parameter STOREPATH(\*NO) so that only file names OS\_400~3.DOC and PKZCVT~2.DOC are stored in the archive.

## **Example 6 - Compressing CL with MSG Checking**

The following brief example demonstrates using PKZIP in a CL passing the archive's library, file, and member as variables and then monitoring for errors from the PKZIP run.

```
ZIPEXPL03: PGM
                    PARM(&ZIPLIB &ZIPFILE &ZIPMBR)
/* Program: ZIPEXPL03
                                Example
/* Abstract: This is a example CL program that has 3 paramters */
    that specifies the archive's Library, File and Member.
     1. Will add the PKZIP for iSeries Library to Library List */
       If it is already part of LIBL then note so as to not
        remove at the end.
     2. Build the archive file namefor PKZIP by concatenating
       the inputted library, file and member names.
     3. Compress all files in TESTLIB with PKZIP Command
     4. Monitor for error messages from PKZIP.
        If errors send message.
     5. If the PKZIP Library was not present at the beginning
        remove it from *LIBL.
/****************
/* &PKZIPLIB contains the current PKZIP library
           DCL VAR(&PKZIPLIB) TYPE(*CHAR) LEN(10) +
                       VALUE(PKW82051S)
/* if PKZIP library is in Libl do not remove it at the end */
                    VAR(&LIBLCHG) TYPE(*CHAR) LEN(1) VALUE('Y')
           DCT.
 /* &ZIPLIB is Library where archive will be stored */
                    VAR(&ZIPLIB) TYPE(*CHAR) LEN(10)
           DCT.
 /* &ZIPFILE is File for the archive
           DCL VAR(&ZIPFILE) TYPE(*CHAR) LEN(10)
 /* &ZIPMBR is Member of the archive file
           DCT.
                    VAR(&ZIPMBR) TYPE(*CHAR) LEN(10)
 /* Archive file for PKZIP built with concatenation */
           DCL
                    VAR(&ZARCHF) TYPE(*CHAR) LEN(36)
 /* Add the PKZIP for iSeries library to library List */
           ADDLIBLE LIB(&PKZIPLIB)
                     MSGID(CPF2103) EXEC(CHGVAR VAR(&LIBLCHG) +
           MONMSG
                       VALUE('N'))
/*Concatenate the libraries, files and members for PKZIP of the archive*/
           CHGVAR VAR(&ZARCHF) VALUE(&ZIPLIB *TCAT '/' *TCAT +
                       &ZIPFILE *TCAT '/' *TCAT &ZIPMBR)
/* Compress all files in the library TESTLIB and
 /* store them in the archive specified in the
 /* calling of the CLP program
```

```
/* If messages AQZ0022 "PKZIP Completed with Errors." */
/* or AQZ0012 "PKZIP ending with Nothing to do"
                                                       */
/* are returned
/* from PKZIP, send message indicating an error
/* Occured.
            PKZIP
                       ARCHIVE(&ZARCHF) FILES('TESTLIB/*all(*all)') +
                         COMPRESS(*NORMAL) ARCHTEXT('This the text +
                         of the archive for example 3')
                       MSGID(AQZ0022) EXEC(SNDPGMMSG MSG('PKZIP +
            MONMSG
                         Ended with MONMSG for AQZ0022'))
            MONMSG
                       MSGID(AQZ0012) EXEC(SNDPGMMSG MSG('PKZIP +
                         Ended with MONMSG for AQZ0012'))
    /* If PKZIP Library was added to LIBL then remove it */
                       COND(&LIBLCHG *EQ 'Y') THEN(RMVLIBLE +
ENDPGM:
                         LIB(&PKZIPLIB))
            ENDPGM
EOJ:
```

## **Example 7 – Compressing Spool Files Samples**

The following are several samples demonstrating the selection of spool file for compression.

Sample 1: Select a specific spool file (MYSPLFFILE) for the specific job (jobname-WSSSPL, User-WSS and job number 11) in all output queues (the default of *SFQUEUE*) and convert the spool file to a PDF format *SFTARGET(\*PDFLETTER)* to fit a letter format. store the archive in the IFS with *TYPARCHFL(\*IFS)*.

```
PKZSPOOL ARCHIVE('/yourpath/bills/splftest01.zip') TYPARCHFL(*IFS)
SPLFILE(MYSPLFILE) SFUSER(*ALL) SFJOBNAM(11/WSS/WSSSPL)
SFTARGET(*PDFLETTER) SFTGFILE(*GEN1P)
```

Sample 2: Select all spool files belonging to users WSS and TAIT (*SPLUSERID*) that resides in the OUTQ QPRINTS (*SFQUEUE*) and compress them as spool files with *SFTARGET*(\**SPLF*). This might be done to save the spool files for later review since this OUTQ is purged on a regular basis.

```
PKZSPOOL ARCHIVE('/yourpath/bills/splftest02.zip') TYPARCHFL(*IFS)
SFUSER(WSS TAIT) SFQUEUE(QPRINTS) SFTARGET(*SPLF) SFTGFILE(*GEN1)
```

Sample 3: Using the archive from Sample 2, we want to restore or extract the spool files in order to print them again. Except in this case we want them to belong to the user MAS with *SPLUSERID* and place the spool files in the OUTQ MASQ (*SFQUEUE*) located in the library DEVPLIB.

```
PKUNZIP ARCHIVE('/yourpath/bills/splftest02.zip') TYPARCHFL(*IFS)
TYPE(*EXTRACT) SPLUSRID(MAS) SFQUEUE(DEVPLIB/MASQ)
```

Sample 4: Select the spool file QPRINTS (*SPLFILE*), spool file number 17 (*SPLNBR*), user MAS (*SFUSER*) and convert the file to a TEXT file with *SFTARGET*(\*TEXTFC). In this case, the file is needed to read into a PC program and the user wants the ANSI control characters in position 1 of each line.

```
PKZSPOOL ARCHIVE('/yourpath/bills/splftest04.zip') TYPARCHFL(*IFS)
SPLFILE(QPRINTS) SFUSER(MAS) SPLNBR(17)
SFTARGET(*TEXTFC) SFTGFILE(*GEN1P)
```

Sample 5: Now we want to extract the text file created in Sample 4 to one of our shared drives areas ('/yourpath/PCFILES') that our PCs can access. In this case the normal extraction would identify the file as a text file and would convert it to EBCDIC. Since the file will be used by a PC program that is expecting the data to be in ASCII, we will have to extract the file as binary since the internal file is already in ASCII. By specifying FILETYPE(\*BINARY), this ensures that no translation of the data takes place.

```
PKUNZIP ARCHIVE('/yourpath/bills/splftest04.zip') TYPARCHFL(*IFS) TYPFL2ZP(*IFS)
TYPE(*EXTRACT) FILETYPE(*BINARY)
EXDIR('/yourpath/PCFILES') DROPPATH(*ALL)
```

## Example 8 – PKZSPOOL The Last Spool File of Current Job

The following brief CLP example demonstrates using PKZSPOOL to compress to a PDF, only the last spool file that was written out by the current job.

```
ZIPEXPL07: PGM
           ZIPEXPL07
/* Program:
                                  Example
/*****************
/* Abstract: This is an example CL program that perform several */
/* task that prints reports. Then compresses only the LAST
/* spool file created to a PDF file in a archive
                                                              * /
/* display the properties of the files start with "i" in QIBM folder
                      OBJ('/QIBM/i*') OUTPUT(*PRINT) +
            DSPLNK
                        DETAIL(*EXTENDED) DSPOPT(*ALL)
/* display all libraries that start with Q and print
            DSPOBJD OBJ(*LIBL/Q*) OBJTYPE(*LIB) DETAIL(*BASIC) +
                        OUTPUT(*PRINT)
/* Compress the ONLY the last spool file created to a PDF file
            PKZSPOOL ARCHIVE('/yourpath/bills/PKZdata1.zip') +
                        SFJOBNAM(*) SPLNBR(*LAST) +
                        SFTARGET(*PDFLETTER) SFTGFILE(*GEN1P) +
                        TYPARCHFL(*IFS)
ENDOFJOB:
            ENDPGM
```

## Example 9 - CL to Compress All Spool Files for a Job to a PDF

The following brief example demonstrates using PKZIP in a CL to pass the archive's library, file, and member as variables and then monitor for errors from the PKZIP run.

```
ZTPEXPLO8: PGM
             ZIPEXPL08
/* Abstract: This is an example CL program that perform several */
/* task that prints reports. Then submits a job at the end of
/* the job that will compress all spool files to PDF files. The
  reason the job was submitted was to also compress the job log
/* to a PDF
            **********
        /*
              Current Job Name
                                  */
                      VAR(&MYJOBNM) TYPE(*CHAR) LEN(10) VALUE('')
            DCL
              Current Job User
                                  */
            DCL
                       VAR(&MYJUSER) TYPE(*CHAR) LEN(10) VALUE(' ')
             Current Job Number */
                       VAR(&MYJNBR) TYPE(*CHAR) LEN(10) +
                         VALUE('000000')
/* retrieve the job name, user, and job number for later use
            RTVJOBA
                     JOB(&MYJOBNM) USER(&MYJUSER) NBR(&MYJNBR)
/* this job to get a full job log
            CHGJOB
                       LOG(4 00 *SECLVL) LOGCLPGM(*YES)
/* display the properties of the files start with c in my folder
                       OBJ('/yourpath/bills/c*') OUTPUT(*PRINT) +
                         DETAIL(*EXTENDED) DSPOPT(*ALL)
            DSPAUT OBJ('/yourpath/BILLS') OUTPUT(*PRINT)
/* create an archive with one file
                       ARCHIVE('/yourpath/bills/PKZtest1.zip') +
                       FILES('/yourpath/bills/chartest2.zip') +
                       TYPARCHFL(*IFS) TYPFL2ZP(*IFS) STOREPATH(*NO)
   display detail attributes for the new archive created */
            DSPLNK
                       OBJ('/yourpath/bills/PKZtest1*') OUTPUT(*PRINT) +
                         DETAIL(*EXTENDED) DSPOPT(*ALL)
/st submit a job to create all spool Files including the job log into a st/
    PDF file and place them in archive PKZdata1
            SBMJOB
                       CMD(PKZSPOOL +
                         ARCHIVE('/yourpath/bills/PKZdata1.zip') +
                         SFJOBNAM(&MYJNBR/&MYJUSER/&MYJOBNM) +
                         SFTARGET(*PDFLETTER) SFTGFILE(*GEN1P) +
                         TYPARCHFL(*IFS)) JOB(&MYJOBNM) +
                         INLLIBL(*CURRENT)
ENDOFJOB:
            ENDPGM
```

## **Example 10 - Compress File with Public Digital Certificates**

#### **Requires SecureZIP**

The first ZIP test will use both of the public certificates and 256-bit AES algorithm to encrypt and compress one file to an archive in the folder that was created earlier. This test will use the \*MBRSET and \*FILE types for the selection of the certificates.

→PKZIP ARCHIVE('/myroot/pkware/CStore/Testzips/TestC01.zip')
FILES('PKW82051s/\$CONTACT') ADVCRYPT(AES256)
TYPARCHFL(\*IFS) TYPFL2ZP(\*DB)
ENTPREC((\*MBRSET pktestdb3.crt)
(\*FILE '/myroot/pkware/CStore/Public/pktestdb4.crt'))

```
Scanning files in *DB for match ...

Total Recipients processed 2
Archive Recipient List:
CN=PKWARE Test4 EMail=PKTESTDB4@nowhere.com
CN=PKWARE Test3 EMail=PKTESTDB3@nowhere.com
Found 1 matching files
Compressing PKW82051s/$CONTACT($CONTACT) in TEXT mode
Add PKW82051.S/$CONTACT/$CONTACT -- Deflating (80%) encrypt(BSAFE AES 256
Key)
SecureZIP Compressed 1 files in Archive /myroot/pkware/CStore/Testzips/TestC0
1.zip
SecureZIP Completed Successfully
```

The second ZIP test will use both of the public certificates and AES256 algorithm to encrypt and compress one file to an archive in the folder. This test will use the \*DB with email and common name for the selection of the certificates.

→PKZIP ARCHIVE('/myroot/pkware/CStore/Testzips/TestC02.zip')
FILES('PKW82051s/\$CONTACT') ADVCRYPT(AES256)
TYPARCHFL(\*IFS) TYPFL2ZP(\*DB)
ENTPREC((\*DB 'EM=PKTESTDB3@nowhere.com')
(\*DB 'CN=PKWARE Test4'))

```
Scanning files in *DB for match ...
Total Recipients processed 2
Archive Recipient List:
CN=PKWARE Test4 EMail=PKTESTDB4@nowhere.com
CN=PKWARE Test3 EMail=PKTESTDB3@nowhere.com
Found 1 matching files
Compressing PKW82051s/$CONTACT($CONTACT) in TEXT mode
Updating:PKW82051.S/$CONTACT/$CONTACT Deflating (80%) encrypt(BSAFE AES 2 56Key)
SecureZIP Compressed 1 files in Archive /myroot/pkware/CStore/Testzips/TestC0 2.zip
SecureZIP Completed Successfully
```

## **Example 11 - Decrypting File with Private Key Certificates**

#### **Requires SecureZIP**

In order to decrypt the file you will need to provide at least one valid private certificate with the password that matches a recipient on the archive.

→ PKUNZIP ARCHIVE('/myroot/pkware/CStore/Testzips/TestC01.zip')
TYPE(\*TEST)
TYPARCHFL(\*IFS)
ENTPREC((\*DB 'CN=PKWARE Test4' ('PKWARE')))

## **Example 12 - Sign Files and Archive with Private Keys**

#### Requires SecureZIP

Create an archive and sign the files in the archive by two signers and then sign the archive directory. Note that signing requires the private key.

→PKZIP ARCHIVE('/myroot/pkware/CStore/Testzips/TestC03.zip') FILES('PKW82051s/\$CONTACT') ADVCRYPT(AES256) TYPARCHFL(\*IFS) TYPFL2ZP(\*DB) ENTPREC((\*DB 'EM=PKTESTDB3@nowhere.com') (\*DB 'CN=PKWARE Test4')) SIGNERS((\*FILE \*MBRSET 'pktestdb3.p12' (PKWARE)) (\*ALL \*MBRSET 'pktestdb4.p12' (PKWARE)))

```
Scanning files in *DB for match ...
2 Encryption Recipients processed
Encryption Recipients List:
--CN=PKWARE Test3 EMail=PKTESTDB3@nowhere.com
--CN=PKWARE Test4 EMail=PKTESTDB4@nowhere.com
2 File Signers processed
File Signers List:
-- CN=PKWARE Test4 EMail=PKTESTDB4@nowhere.com
--CN=PKWARE Test3 EMail=PKTESTDB3@nowhere.com
1 Archive Signer processed
Archive Signer List:
--CN=PKWARE Test4 EMail=PKTESTDB4@nowhere.com
Found 1 matching files
Compressing PKW820XXS/$CONTACT($CONTACT) in TEXT mode
Add PKW820XX.S/$CONTACT/$CONTACT -- Deflating (80%) encrypt(BSAFE AES 256Key)
SecureZIP Compressed 1 files in Archive
/myroot/pkware/CStore/Testzips/TestC03.zip
SecureZIP Completed Successfully
```

## **Example 13 - Authenticate Signed Files and Archive**

#### **Requires SecureZIP**

When doing a basic view of the newly signed archive, notice that only the archive directory signatures are validated. To validate the signature of the files would require a TYPE(\*TEST).

→ PKUNZIP ARCHIVE('/myroot/pkware/CStore/Testzips/TestC03.zip')
TYPE(\*VIEW) TYPARCHFL(\*IFS) TYPFL2ZP(\*DB)
AUTHCHK((\*ARCHIVE \*MBRSET 'pktestdb4.crt')) AUTHPOL(\*WARN (\*ALL))

```
1 Archive Signer processed
Archive: /myroot/pkware/CStore/Testzips/TestC03.zip 7053 bytes 1 file

Length Method Size Ratio Date Time CRC-32 Name

5451 Defl:F 1702 69% 01-11-05 13:34 f091572d
!PKW820XX.S/$CONTACT/$CONTACT

5451 1702 69% 1 file
Archive has been Digitally Signed.
Archive was signed by "PKWARE Test4" and verified
SecureUNZIP extracted 0 files
SecureUNZIP Completed Successfully
```

When the files in the archive are tested or extracted, the archive signature is validated first and then, after each file has been tested, the file's signatures are tested. If no AUTHCHK parameter is entered, all signatures are validated.

→ PKUNZIP ARCHIVE('/myroot/pkware/CStore/Testzips/TestC03.zip') TYPE(\*TEST) TYPARCHFL(\*IFS) TYPFL2ZP(\*DB) ENTPREC((\*DB 'CN=PKWARE Test3' 'PKWARE'))

```
1 Encryption Recipients processed
UNZIP Archive: /myroot/pkware/CStore/Testzips/TestC03.zip
Searching Archive /myroot/pkware/CStore/Testzips/TestC03.zip for files to
extract
Archive was signed by "PKWARE Test4" and verified
Testing: PKW820XX.S/$CONTACT/$CONTACT
File was signed by "PKWARE Test4" and verified
File was signed by "PKWARE Test3" and verified
PKW820XX.S/$CONTACT/$CONTACT tested OK
No errors detected in compressed data of
/myroot/pkware/CStore/Testzips/TestC03.zip.
SecureUNZIP Completed Successfully
```

## Example 14 - Encryption using LDAP search for Recipients

#### Requires SecureZIP

→PKZIP ARCHIVE('/yourpath/aV82Test/test013.zip')
FILES('/yourpath/aV82Test/recp/Test cases.txt')
TYPARCHFL(\*IFS) TYPFL2ZP(\*IFS) TYPLISTFL(\*IFS)
STOREPATH(\*NO) ADVCRYPT(AES256)
ENTPREC((\*LDAP 'EM=bill.Somebody@pkware.com' \*N \*RQD))

Displayed output from example.

```
Scanning files in *IFS for match ...

Total Recipients processed 2

Archive Recipient List:
CN=PKWCADMIN EMail=none
CN=William Somebody EMail=bill.Somebody@pkware.com
Found 1 matching files
Compressing /yourpath/aV82Test/recp/Test cases.txt in BINARY mode
Add Test cases.txt -- Deflating (81%) encrypt(BSAFE AES 256Key)
SecureZIP Compressed 1 files in Archive /yourpath/aV82Test/test013.zip
SecureZIP Completed Successfully
```

# C List Files

The list file capabilities provided in the PKZIP and PKUNZIP commands can be a powerful tool for maintaining detailed selection criteria and to exclude files. PKZIP and PKUNZIP commands also allow creating a list of files that are located in a particular archive.

## **Creating List Files**

Both PKZIP and PKUNZIP can create a text format file of file names that meet criteria entered within the FILES and EXCLUDE parameters. In PKZIP, the output files contain the names of all files in the OS/400 format, depending on if the files are from the QSYS file system or IFS. The PKUNZIP program will produce a list of names in the format of the archive. To create an output list file, place the output file name in the parameter CRTLIST(). The default value is CRTLIST(\*NONE).

Depending on the value of the TYPLISTFL parameter, the output file can be put in either the QSYS file system or IFS.

**TYPLISTFL(\*DB):** When the file system is QSYS, the output file will create a physical file (PF-DTA) with a record length of 132. For the file format in CRTLIST, you can use any of the following formats: library/file, library/file(member), file, or file(member). When a member is not entered, the member name will be the same as the file name. You should use the utility that your organization uses to edit data files.

**TYPLISTFL(\*IFS):** When using the IFS, the output file will create a stream file (\*STMF object type). Most organization uses EDTF. For the file format in CRTLIST, you can use any of the following formats: file, file.suffix, dir1/file, dir1/dir2/../dirn/file, /dir1., etc. When the path does not start with '/', then the path starts in your current directory (relative path).

When creating a file manually, follow the creation attributes described above.

## **Using List Files as Input**

Both PKZIP and PKUNZIP programs can use list file parameters for both selections of files (INCLFILE('file name')) and/or the excluding of file (EXCLFILE('file name'). They can also use an inlist file for the encryption recipient ENTPREC. The file name of

parameters depends on the setting of TYPLISTFL (\*DB or \*IFS) and should follow the guidelines in "Creating List Files," above.

When using PKZIP, the format of files in the list file should be in the format of the iSeries files that will be processed. See the parameters FILES and EXCLUDE for specifications.

When using PKUNZIP, the format of the files in the list file should be in the format of the archive. See the parameters FILES and EXCLUDE for specifications.

PKUNZIP also has an option to create a list file in expanded mode, which will create the date and time along with the file names. This is accomplished by having a '>' character being the in the first position of the CRTLIST parameter. See the two examples below.

Create normal list file: → PKUNZIP ARCHIVE('atest/V800/listf') CRTLIST('atest/listfile(demo)')

Create an expanded list file: → PKUNZIP ARCHIVE('atest/V800/listf') CRTLIST('>atest/listfile(demo)')

# D

## **Translation Tables**

Text files (such as program source code) are usually held within an archive using the ASCII character set for compatibility with other versions of *PKZIP*. For these to be usable on OS/400, they must be converted to the IBM EBCDIC character set. *PKZIP* uses one of two possible internal translation tables, which should be suitable for most customers. These translation table members are used by parameters FTRAN and TRAN in both the PKZIP and PKUNZIP programs. Included (as part of the distribution) are a series of override translation tables. Some users may wish to define their own table.

The override translation tables included are stored as source members in file PKZTABLES  $PKZIP^i$  resources tables. By referencing the members in parameters TRAN and FTRAN,  $PKZIP^i$  will access the selected member in the PKZTABLES file and parse them to an internal hexadecimal table for use in translation.

The following translation tables are included:

Table Name	Translation from	Translation to	Explanation
ASCIIISO	EBCDIC	ASCII - iso	Translate Table
LATIN1	EBCDIC	ASCII	Latin Translate Table
NOOP	NO-OP		Translation Table Straight Hex
UKASCII	EBCDIC	UK	ASCII Translate Table
UKASCIIE	EBCDIC	UK	ASCII Translate Table-Euro
USASCII	EBCDIC	USA	ASCII Translate Table
			(Internal Default)
USASCIIE	EBCDIC	USA	ASCII Translate Table-Euro

## **Standard Code Page Support with Tables**

Three data translation tables are available to assist with one or more of the latest standard EBCDIC text translation to ASCII. These tables were built to relate directly to IBM code pages numbers.

Code page tables available are:

Table	ASCII	EBCDIC	Explanation
Name	Code Page	Code Page	
PKZ819037	819	037	ASCII-819 <-> EBCDIC-037 Translation
PKZ819273	819	273	ASCII-819 <-> EBCDIC-273 Translation German
PKZ819277	819	277	ASCII-819 <-> EBCDIC-277 Translation Den/Nor
PKZ819278	819	278	ASCII-819 <-> EBCDIC-278 Translation Fin/Swe
PKZ819280	819	280	ASCII-819 <-> EBCDIC-0280 Translation Italy
PKZ819284	819	284	ASCII-819 <-> EBCDIC-284 Translation Spanish
PKZ819297	819	297	ASCII-819 <-> EBCDIC-297 Translation French
PKZ819500	819	500	ASCII-819 <-> EBCDIC-500 Translation ISO8859-1
PKZ819871	819	871	ASCII-819 <-> EBCDIC-871 Translation Icelandic
PKZ850037	850	037	ASCII-850 <-> EBCDIC-037 Translation
PKZ850284	850	284	ASCII-850 <-> EBCDIC-284 Translation Spanish

## **International Code Page Support**

Some data-interchange environments require specialized multi-language character translation support.  $PKZIP^i$  provides tables for character based data translation through translation tables that are also included in the PKZTABLES.

The tables for the following international code pages are provided in the  $PKZIP^{I}$  PKZTABLES as members TRTxxyy (where xx = "from" and yy = "to").

Language	<b>EBCDIC</b>	ASCII	EURO/ASCII	FROM	то	EURO
German	273	850*	858	EB	AA	Al
Spanish	284	850	858	EJ	AA	Al
Portuguese	282	850	858	EI	AA	Al
Italian	280	850	858	EG	AA	Al
Danish	277	850	858	EE	AA	Al
Norwegian	277	850	858	EE	AA	Al
Swedish	278	850	858	EF	AA	Al
Finnish	278	850	858	EF	AA	Al
French	297	850	858	EM	AA	Al

<sup>\*</sup> IBM-850 = IBM-4946

These members are provided "as is." It is the responsibility of the user to ensure that data translation mapping is in accordance with their business needs.

### **Translation Table Layout**

There are two translation tables in PKZTABLES. The first table is a translation from ASCII to EBCDIC. The second is EBCDIC to ASCII.

In each table there are 256 entries representing hex values from x'00' thru x'FF'.

Each entry is represented as a 4-character field such as 0x00 and 0xFF.

On each line there must be 8 entries with each entry separated by a space. With 8 entries per line, there must be 32 lines of table entries for each table set, representing the 256 translation values.

The tables have embedded comments to help in their documentation.

In the table example below, to translate an ASCII character  $\bf A$  (hexadecimal x'41' or decimal value of '65'), go to entry 65 in the table (Line 8, entry 2) and find a hexadecimal x'C1' which is the EBCDIC  $\bf A$ .

See "Example of PKZTABLES (USASCII) Translation Table."

**Note:** Do not alter any other members found in the PKZTABLES file or  $PKZIP^{j}$  may not function correctly.

## **Creating New Translation Table Members**

Take the following steps to define your own translation table:

- **1.** Copy one of the distributed members in PKZTABLES to a member name of your choice.
- 2. Edit the new table using the OS/400 Source Entry Utility (SEU).
- 3. Change the values with respect to the layout describe above, making sure not

to alter the overall table layout. If the overall layout is altered, **PKZIP**<sup>i</sup> may not work correctly.

**4.** Save the member and test your changes.

## **Example of PKZTABLES (USASCII) Translation Table**

```
/* PKZIP/400 Translate Table USASCII to EBCDIC */
/*00-07*/ 0x00 0x01 0x02 0x03 0x37 0x2D 0x2E 0x2F
                                                   /*00-07*/
/*08-0f*/ 0x16 0x05 0x25 0x0B 0x0C 0x0D 0x0E 0x9F
/*10-17*/ 0x10 0x11 0x12 0x13 0xB6 0xB5 0x32 0x26
                                                  /*10-17*/
/*18-1f*/ 0x18 0x19 0x3F 0x27 0x1C 0x1D 0x1E 0x1F
                                                   /*18-1f*/
/*20-27*/ 0x40 0x5A 0x7F 0x7B 0x5B 0x6C 0x50 0x7D
                                                  /*20-27*/
/*28-2f*/ 0x4D 0x5D 0x5C 0x4E 0x6B 0x60 0x4B 0x61
                                                   /*28-2f*/
/*30-37*/ 0xF0 0xF1 0xF2 0xF3 0xF4 0xF5 0xF6 0xF7
                                                  /*30-37*/
/*38-3f*/ 0xF8 0xF9 0x7A 0x5E 0x4C 0x7E 0x6E 0x6F
                                                   /*38-3f*/
/*40-47*/ 0x7C 0xC1 0xC2 0xC3 0xC4 0xC5 0xC6 0xC7
                                                  /*48-4f*/
/*48-4f*/ 0xC8 0xC9 0xD1 0xD2 0xD3 0xD4 0xD5 0xD6
/*50-57*/ 0xD7 0xD8 0xD9 0xE2 0xE3 0xE4 0xE5 0xE6
                                                   /*50-57*/
                                                  /*58-5f*/
/*58-5f*/ 0xE7 0xE8 0xE9 0xBA 0xE0 0xBB 0xB0 0x6D
/*60-67*/ 0x79 0x81 0x82 0x83 0x84 0x85 0x86 0x87
                                                  /*60-67*/
/*68-6f*/ 0x88 0x89 0x91 0x92 0x93 0x94 0x95 0x96
                                                  /*68-6f*/
/*70-77*/ 0x97 0x98 0x99 0xA2 0xA3 0xA4 0xA5 0xA6
                                                   /*70-77*/
/*78-7f*/ 0xA7 0xA8 0xA9 0xC0 0x6A 0xD0 0xA1 0x07
                                                  /*80-87*/
/*80-87*/ 0x68 0xDC 0x51 0x42 0x43 0x44 0x47 0x48
/*88-8f*/ 0x52 0x53 0x54 0x57 0x56 0x58 0x63 0x67
/*90-97*/ 0x71 0x9C 0x9E 0xCB 0xCC 0xCD 0xDB 0xDD
                                                  /*90-97*/
/*98-9f*/ 0xDF 0xEC 0xFC 0x4A 0xB1 0xB2 0x3E 0xB4
                                                  /*98-9f*/
/*a0-a7*/ 0x45 0x55 0xCE 0xDE 0x49 0x69 0x9A 0x9B
                                                   /*a0-a7*/
/*a8-af*/ 0xAB 0x0F 0x5F 0xB8 0xB7 0xAA 0x8A 0x8B
                                                   /*a8-af*/
/*b0-b7*/ 0x3C 0x3D 0x62 0x4F 0x64 0x65 0x66 0x20
/*b8-bf*/ 0x21 0x22 0x70 0x23 0x72 0x73 0x74 0xBE /*b8-bf*/
/*c0-c7*/ 0x76 0x77 0x78 0x80 0x24 0x15 0x8C 0x8D
/*c8-cf*/ 0x8E 0x41 0x06 0x17 0x28 0x29 0x9D 0x2A
                                                  /*c8-cf*/
/*d0-d7*/ 0x2B 0x2C 0x09 0x0A 0xAC 0xAD 0xAE 0xAF
                                                   /*d0-d7*/
/*d8-df*/ 0x1B 0x30 0x31 0xFA 0x1A 0x33 0x34 0x35
                                                   /*d8-df*/
/*e0-e7*/ 0x36 0x59 0x08 0x38 0xBC 0x39 0xA0 0xBF
                                                   /*e0-e7*/
/*e8-ef*/ 0xCA 0x3A 0xFE 0x3B 0x04 0xCF 0xDA 0x14
                                                  /*e8-ef*/
/*f0-f7*/ 0xE1 0x8F 0x46 0x75 0xFD 0xEB 0xEE 0xED
                                                   /*f0-f7*/
/*f8-ff*/ 0x90 0xEF 0xB3 0xFB 0xB9 0xEA 0xBD 0xFF
                                                   /*f8-ff*/
/* PKZIP/400 Translate Table EBCDIC to USASCII */
/*00-07*/ 0x00 0x01 0x02 0x03 0xEC 0x09 0xCA 0x7F
                                                   /*00-07*/
/*08-0f*/ 0xE2 0xD2 0xD3 0x0B 0x0C 0x0D 0x0E 0xA9
                                                   /*08-0f*/
/*10-17*/ 0x10 0x11 0x12 0x13 0xEF 0xC5 0x08 0xCB
                                                  /*10-17*/
                                                   /*18-1f*/
/*18-1f*/ 0x18 0x19 0xDC 0xD8 0x1C 0x1D 0x1E 0x1F
/*20-27*/ 0xB7 0xB8 0xB9 0xBB 0xC4 0x0A 0x17 0x1B
/*28-2f*/ 0xCC 0xCD 0xCF 0xD0 0xD1 0x05 0x06 0x07
                                                  /*28-2f*/
/*30-37*/ 0xD9 0xDA 0x16 0xDD 0xDE 0xDF 0xE0 0x04
                                                  /*30-37*/
                                                  /*38-3f*/
/*38-3f*/ 0xE3 0xE5 0xE9 0xEB 0xB0 0xB1 0x9E 0x1A
/*40-47*/ 0x20 0xC9 0x83 0x84 0x85 0xA0 0xF2 0x86
                                                   /*40-47*/
/*48-4f*/ 0x87 0xA4 0x9B 0x2E 0x3C 0x28 0x2B 0xB3
                                                  /*48-4f*/
                                                   /*50-57*/
/*50-57*/ 0x26 0x82 0x88 0x89 0x8A 0xA1 0x8C 0x8B
/*58-5f*/ 0x8D 0xE1 0x21 0x24 0x2A 0x29 0x3B 0xAA
/*60-67*/ 0x2D 0x2F 0xB2 0x8E 0xB4 0xB5 0xB6 0x8F
                                                  /*60-67*/
/*68-6f*/ 0x80 0xA5 0x7C 0x2C 0x25 0x5F 0x3E 0x3F
                                                  /*68-6f*/
/*70-77*/ 0xBA 0x90 0xBC 0xBD 0xBE 0xF3 0xC0 0xC1
                                                  /*70-77*/
/*78-7f*/ 0xC2 0x60 0x3A 0x23 0x40 0x27 0x3D 0x22
                                                   /*78-7f*/
/*80-87*/ 0xC3 0x61 0x62 0x63 0x64 0x65 0x66 0x67
                                                  /*80-87*/
                                                   /*88-8f*/
/*88-8f*/ 0x68 0x69 0xAE 0xAF 0xC6 0xC7 0xC8 0xF1
/*90-97*/ 0xF8 0x6A 0x6B 0x6C 0x6D 0x6E 0x6F 0x70
/*98-9f*/ 0x71 0x72 0xA6 0xA7 0x91 0xCE 0x92 0x0F
                                                  /*98-9f*/
                                                  /*a0-a7*/
/*a0-a7*/ 0xE6 0x7E 0x73 0x74 0x75 0x76 0x77 0x78
/*a8-af*/ 0x79 0x7A 0xAD 0xA8 0xD4 0xD5 0xD6 0xD7
                                                  /*a8-af*/
                                                  /*b0-b7*/
/*b0-b7*/ 0x5E 0x9C 0x9D 0xFA 0x9F 0x15 0x14 0xAC
/*b8-bf*/ 0xAB 0xFC 0x5B 0x5D 0xE4 0xFE 0xBF 0xE7
                                                  /*b8-bf*/
/*c0-c7*/ 0x7B 0x41 0x42 0x43 0x44 0x45 0x46 0x47 /*c0-c7*/
```

```
/*c8-cf*/ 0x48 0x49 0xE8 0x93 0x94 0x95 0xA2 0xED /*c8-cf*/
/*d0-d7*/ 0x7D 0x4A 0x4B 0x4C 0x4D 0x4E 0x4F 0x50 /*d0-d7*/
/*d8-df*/ 0x51 0x52 0xEE 0x96 0x81 0x97 0xA3 0x98 /*d8-df*/
/*e0-e7*/ 0x5C 0xF0 0x53 0x54 0x55 0x56 0x57 0x58 /*e0-e7*/
/*e8-ef*/ 0x59 0x5A 0xFD 0xF5 0x99 0xF7 0xF6 0xF9 /*e8-ef*/
/*f0-f7*/ 0x30 0x31 0x32 0x33 0x34 0x35 0x36 0x37 /*f0-f7*/
/*f8-ff*/ 0x38 0x39 0xDB 0xFB 0x9A 0xF4 0xEA 0xFF /*f8-ff*/
/* PKZIP/400 Translate Tables end */
```

# E

## **SPOOL Files Considerations**

This appendix contains information on how **PKZIP** handles spool files in different scenarios that might be helpful to consider in planning for compressing spool files.

## **Spool File Selections**

Care should be taken when selecting spool files not to set all of the spool file selection parameters to \*ALL, as this will select all spool files on your iSeries. This is why the default for the user ID is SFUSER(\*CURRENT) to at least limit it to the current user in case a selection is not filled in correctly.

If a spool file is deleted after the selection but before the actual compression takes place, the PKZIP job will fail.

#### **SPLF Attributes**

When a spool file is selected and the parameter EXTRAFL is coded \*YES (the default), then the extended attributes listed below are stored in archive and can be viewed with PKUNZIP TYPE(\*VIEW) VIEWOPT(\*ALL). Also when the spool files are stored in the archive, the date and time for the file is the spool files creation date and time and can be viewed with PKUNZIP.

#### **Extended Attributes:**

- Description: The spool file description is built as follows:
   "Job-Name/User-Name/# Job-Number/Spool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool-File-Name/Espool
  - "Job-Name/User-Name/#Job-Number/Spool-File-Name/Fspool-File-Number.Suffix" For Example: "MYJOB/BILLS#152681/INVOICE/F0021.SPLF"
- Spool file type: \*SCS: SNA Character Stream, \*IPDS: An intelligent printer data stream, \*AFPDS: Advanced Function Print Data Stream, \*USERASCII: An ASCII data stream user defined, \*LINE: Line data that is very printer specific, and \*AFPDSLINE: Mixed data (line data and AFPDS data).
- Target file created: Describes the target type file created during compression. SPLF: Spool Files, TXT: ASCII Text Conversion, and PDF: Portable Document Format.
- Number of pages contained in the spool file.

An example of the attributes view seen with –VIEWOPT(\*ALL) for a spool file converted to a PDF might appear as follows:

Filename: CRTCM60.PDF Detected File type: Binary Created by: PKZIP for iSeries 8.2 PKZIP 2.x compatible Minimum to Extract: PKZIP 2.0 Or Greater Compression method: Deflated [Fast] 2003 Oct 17 07:22:00 Date and Time Compressed size: 2316 bytes 8146 bytes Uncompressed size: 32-bit CRC value (hex): 40950039 Extended attributes: yes, [Length = 112] Spool File Type:\*SCS, Target File:PDF, Nbr Of pages(3). SPLF Desc:EVWSS/EVWSS/#007892/CRTCM/F0060.PDF. File Comment: "none"

The preceding view comes from the following spool file:

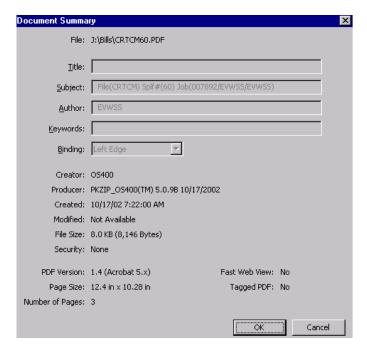
5722SS1 V5R1M0 Work With Output Queue QPRINT2 in QGPL 11/19/02 14:08:53 Page 1
File User User Data Status Pages Cpy Form Tp Pty File Number Job Number Date
Time
CRTCM EVWSS RDY 3 1 \*STD 5 60 EVWSS 007892 10/17/02
07:22:00

#### **PDF Creation Attributes**

When creating a PDF, the attributes are also stored in the PDF document to help trace back what spool file they originated from.

- The date and time of the spool file creation will be the PDF date and time of creation.
- The author will be the user ID that created the spool file.
- The subject will be made up of the spool file name, number, and the job (number/user ID/job name).

## An example of a PDF summary is:





## **Contact Information**

## PKWARE, Inc.

Web Site: www.pkware.com

For Licensing, please contact the Sales Division at 937-847-2374 or email PKSALES@PKWARE.COM.

For Technical Support assistance, please contact the Product Services Division at 937-847-2687 or visit the Support Web site.

#### PROBLEM REPORTING

Providing appropriate documentation on the initial call for a problem expedites the analysis and resolution process. The following sections describe the type of information that should be supplied for each category of problem.

## **PROBLEM REPORTING (General)**

When reporting a problem regarding **PKZIP** for **iSeries** or **SecureZIP** for **iSeries**, please be prepared to provide the following information:

- The displayed output from →CALL ziplib/WHATOSV or the details that WHATOSV provides
- Release level of the operating system
- Release level of PKZIP for iSeries being run
- A description of the process being run and any differentiating circumstances from job(s) that do run
- A display of the command problem with parameters
- A copy of the output and JobLog from the failing execution
- If run from a CL and practical, please include source listing of the CL
- If PKUNZIP is failing, provide the Output from the following:
  - → PKUNZIP TYPE(\*VIEW) VIEWOPT(\*ALL)

- If requested by Technical Support, the display with various tracing options turned on
- If practical, please include the archive/input file involved in the failing execution

## **PROBLEM REPORTING (Licensing)**

When reporting a problem regarding licensing, please be prepared to provide the following information:

- The displayed output from →CALL ziplib/WHATOSV
- A copy of the INSTPKLIC command and its parameters
- A copy of the output from the INSTPKLIC job

If the problem occurs in a **PKZIP**<sup>i</sup> job then follow the steps outlined above for **PKZIP** for **iSeries** or **SecureZIP** for **iSeries**.

## **Glossary**

This glossary provides definitions for items that may have been referenced in the PKZIP® documentation. It is not meant to be exhaustive. One Web site that provides excellent source documentation for computing terms is the IBM Terminology site:

http://www-306.ibm.com/ibm/terminology

#### **Absolute Path Name**

A string of characters that is used to refer to an object, starting at the highest level (or root) of the directory hierarchy. The absolute path name must begin with a slash (/), which indicates that the path begins at the root. This is in contrast to a Relative Path Name. See also Path Name.

#### **AES**

The Advanced Encryption Standard is the official US Government encryption stand for customer data.

#### American Standard Code for Information Interchange

The ASCII code (American Standard Code for Information Interchange) was developed by the American National Standards Institute for information exchange among data processing systems, data communications systems, and associated equipment and is the standard character set used on MS-DOS and UNIX-based operating systems. In a ZIP archive, ASCII is used as the normal character set for compressed text files. The ASCII character set consists of 7-bit control characters and symbolic characters, plus a single parity bit. Since ASCII is used by most microcomputers and printers, textonly files can be transferred easily between different kinds of computers and operating systems. While ASCII code does include characters to indicate backspace, carriage return, etc., it does not include accents and special letters that are not used in English. To accommodate those special characters, extended ASCII has additional characters (128-255). Only the first 128 characters in the ASCII character set are standard on all systems. Others may be different for a given language set. It may be necessary to create a different translation tables (see Translation Table) to create standard translation between ASCII and other character sets.

#### **American National Standards Institute (ANSI)**

An organization sponsored by the Computer and Business Equipment Manufacturers Association for establishing voluntary industry standards.

#### ANSI

See American National Standards Institute.

#### API

See Application Programming Interface, below.

#### **Application Programming Interface**

An interface between the operating system (or systems-related program) that allows an application program written in a high-level language to use specific data or services of the operating system or the program. The API also allows the user to develop an application program written in a high level language to access PKZIP data and/or functions of the PKZIP system.

#### Archive

The act of transferring files from the computer into a long-term storage medium. Archived files are often compressed to save space.

An individual file or group of files which must be extracted and decompressed in order to be used.

A file stored on a computer network, which can be retrieved by a file transfer program (FTP) or other means.

The PKZIP file that holds the compressed/zipped data file.

#### **ASCII**

See American Standard Code for Information Interchange.

#### **iSeries Object**

An object that exists in a library on the iSeries system and is represented by an object on the PC. For example, a user profile is an iSeries object represented on the PC by the user profile object.

#### **Binary File**

A file that contains codes that are not part of the ASCII character set. Binary files can utilize all 256 possible values for each byte in the file.

#### Code Page

A specification of code points for each graphic character set or for a collection of graphic character sets. Within a given code page, a code point can have only one specific meaning. A code page is also sometimes known as a code set.

#### **Command Line**

The blank line on a display console where commands, option numbers, or selections can be entered.

#### Control Language (CL) Program

A program that is created from source statements consisting entirely of control language commands.

#### **CRC**

See Cyclic Redundancy Check.

#### Cryptography

A method of protecting data. Cryptographic services include data encryption and message authentication.

In cryptographic software, the transformation of data to conceal its meaning; secret code.

The transformation of data to conceal its information content, to prevent its undetected modification, or to prevent its unauthorized use.

#### **Current Library**

The library that is specified to be the first user library searched for objects requested by a user. The name for the current library can be specified on the sign-on display or in a user profile. When you specify an object name (such as the name of a file or program) on a command, but do not specify a library name, the system searches the libraries in the system part of the library list, then searches the current library before searching the user part of the library list. The current library is also the library that the system uses when you create a new object, if you do not specify a library name.

#### Cyclic Redundancy Check (CRC)

A Cyclic Redundancy Check is a number derived from a block of data, and stored or transmitted with the data in order to detect any errors in transmission. This can also be used to check the contents of a ZIP archive. It's similar in nature to a checksum. A CRC may be calculated by adding words or bytes of the data. Once the data arrives at the receiving computer, a calculation and comparison is made to the value originally transmitted. If the calculated values are different, a transmission error is indicated. The CRC information is called redundant because it adds no significant information to the transmission or archive itself. It's only used to check that the contents of a ZIP archive are correct. When a file is compressed, the CRC is calculated and a value is calculated based upon the contents and using a standard algorithm. The resulting value (32 bits in length) is the CRC that is stored with that compressed file. When the file is decompressed, the CRC is recalculated (again, based upon the extracted contents), and compared to the original CRC. Error results will be generated showing any file corruption that may have occurred.

#### **Data Compression**

The reduction in size (or space taken) of data volume on the media when performing a save or store operations.

#### **Data Integrity**

The condition that exists as long as accidental or intentional destruction, alteration, or loss of data does not occur.

Within the scope of a unit of work, either all changes to the database management systems are completed or none of them are. The set of change operations are considered an integral set.

#### **DBCS**

See Double-byte Character Set.

#### **Double-byte Character Set (DBCS)**

A set of characters in which each character is represented by 2 bytes. Languages such as Japanese, Chinese, and Korean, which contain more symbols than can be represented by 256 code points, require double-byte character sets. Because each character requires 2 bytes, the typing, displaying, and printing of DBCS characters requires hardware and programs that support DBCS. Four double-byte character sets are supported by the system: Japanese, Korean, Simplified Chinese, and Traditional Chinese. See also the Single-Byte Character Set (SBCS).

#### **EBCDIC**

See the Extended Binary Coded Decimal Interchange Code, below.

#### **Encryption**

The transformation of data into an unintelligible form so that the original data either cannot be obtained or can be obtained only by decryption.

#### **Extended Attribute**

Information attached to an object that provides a detailed description about the object to an application system or user.

#### **Extended Binary Coded Decimal Interchange Code (EBCDIC)**

The Extended Binary Coded Decimal Interchange Code is an 8-bit binary code for larger IBM mainframes in which each byte represents one alphanumeric character or two decimal digits. The single-byte structure has a range of X'00' to X'FF'. Control commands are subset with a range of X'00' to X'3F' while graphic characters have a range from X'41' to X'FE'. The space character is represented by a X'40'. EBCDIC is similar in nature to ASCII code, which is used on many other computers. When ZIP programs compress a text file, they translate data from EBCDIC to ASCII characters within a ZIP archive using a translation table.

#### File Transfer Protocol (FTP)

In TCP/IP, an application protocol used for transferring files to and from host computers. FTP requires a user ID and possibly a password to allow access to

files on a remote host system. FTP assumes that the transmission control protocol (TCP) is the underlying protocol.

#### **FTP**

See File Transfer Protocol above.

#### **GZIP**

GZIP (also known as GNU zip) is a compression utility designed to utilize a different standard for handling compressed file data in an archive. Its main advantages over other compression utilities are much better compression and freedom from patented algorithms. It has been adopted by the GNU project and is now relatively popular on the Internet. Additional information can be found at <a href="http://www.qzip.org">http://www.qzip.org</a>.

#### Integrated File System

A function of the operating system that provides storage support similar to personal computer operating systems (such as DOS and OS/2) and UNIX systems.

#### Interactive Job

A job started for a person who signs on to a work station and communicates (or "converses") with another computing entity such as a mainframe or iSeries system. This is in contrast to a Batch Job.

#### Lempel-Ziv (LZ)

A technique for compressing data. This technique replaces some character strings, which occur repeatedly within the data, with codes. The encoded character strings are then kept in a common dictionary, which is created as the data is being sent.

#### **Library List**

A list that indicates which libraries are to be searched and the order in which they are to be searched. The system-recognized identifier is \*LIBL.

#### **Logical Partition**

A subset of a single iSeries system that contains resources (such as processors, memory, and input/output devices). A logical partition operates as an independent system. If hardware requirements are sufficient, multiple logical partitions can exist within a system.

#### **New ZIP Archive**

A new ZIP archive is the archive created by a compression program when either an old ZIP archive is updated or when files are compressed and no ZIP archive currently exists. It may be thought of as the "receiving" archive. Also see Old ZIP archive.

#### **Null Value**

A parameter position within a record for which no value is specified.

#### n-way Processor Architecture

A processor architecture that provides expandability for future system growth by allowing for additional processors. To the user, the additional processors are transparent because they separately manage the work load by sharing the work evenly among the n-way processors.

#### **Old ZIP Archive**

An old ZIP archive is an existing archive which is opened by a compression program to be updated or for its contents to be extracted. It may be thought of as the "sending" archive. Also see New ZIP archive.

#### **Output Queue**

An AS/400 object that contains entries for spooled output files to be written to an output device.

#### **Packed Decimal Format**

A decimal value in which each byte within a field represents two numeric digits except the far right byte, which contains one digit in bits 0 through 3 and the sign in bits 4 through 7. For all other bytes, bits 0 through 3 represent one digit; bits 4 through 7 represent one digit. For example, the decimal value +123 is represented as 0001 0010 0011 1111 (or 123F in hexadecimal).

#### **Path Name**

A string of characters used to refer to an object. The string can consist of one or more elements, each separated by a slash (/), and may begin with a slash. Each element is typically a directory or equivalent, except for the last element, which can be a directory or another object (such as a file).

A sequence of directory names followed by a file name, each separated by a slash.

In a hierarchical file system (HFS), the name used to refer to a file or directory. The path name must start with a slash (/) and consist of elements separated by a slash. The first element must be the name of a registered file system. All remaining elements must be the name of a directory, except the last element, which can be the name of a directory or file. See also Absolute Path Name and Relative Path Name.

The name of an object in the integrated file system. Protected objects have one or more path names.

#### **Physical File**

Describes how data is to be presented to (or received from) a program and how data is stored in the database. A physical file contains a single record format and at least one member.

#### **Production Library**

A library which contains objects needed for normal processing. This contrasts with a Test Library.

#### QSYS

The library shipped with the iSeries system that contains objects, such as authorization lists and device descriptions created by a user, and the system commands and other system objects required to run the system. The system identifier is QSYS.

#### **Qualified Name**

The full name of the library that contains the object and the name of the object.

#### **Relative Path Name**

A string of characters that is used to refer to an object, starting at some point in the directory hierarchy other than the root. A relative path name does not begin with a slash (/). The starting point is frequently a user's current directory. This is in contrast to an Absolute Path Name. See also Path Name.

#### **Return Code**

A value generated by operating system software to a program to indicate the results of an operation by that program. The value may also be generated by the program and passed back to the operator.

#### SBCS

See Single-Byte Character Set.

#### Single-Byte Character Set (SBCS)

A coded character set in which each character is represented by a one-byte code point. A one-byte code point allows representation of up to 256 characters. Languages that are based on an alphabet, such as the Latin alphabet (as contrasted with languages that are based on ideographic characters) are usually represented by a single-byte coded character set. For example, the Spanish language can be represented by a single-byte coded character set. See also the Double-Byte Character Set (DBCS).

#### Source File

A file of programming code that has not yet been compiled into machine language. A source file can be created by the specification of

FILETYPE(\*SRC) on the create command. A source file can contain source statements for such items as high-level language programs and data description specifications. Source files maintained on a PC typically use a .TXT as the extension. On a mainframe, source files are typically found in a partitioned data set or are maintained within a library management tool.

#### **Spool File**

Files that exist in an "output queue" which contain reports to printed on the AS/400 system. Theses files along with attributes can then be directed and transformed to a printer attached to your system.

#### Stream File

A data file that contains continuous streams of bits such as PC files, documents, and other data stored in iSeries folders. Stream files are well suited for storing strings of data such as the text of a document, images, audio, and video. The content and format of stream files are managed by the application rather than by the system.

#### System Library

The library shipped with the operating system that contains objects such as authorization lists and device descriptions created by a user. Also included are system commands and other system objects required to run the system. The system identifier is QSYS.

#### **Translation Table**

Translation tables are used by the PKZIP and PKUNZIP programs for translating characters in compressed text files between the ASCII character sets used within a ZIP archive and the EBCDIC character set used on IBM-based systems. These tables may be created and modified by the user as documented in the User's Guide.

#### **Trigger**

A set of predefined actions that run automatically whenever a specified action or change occurs, for example, a change to a specified table or file. Triggers are often used to automate environments, such as running a backup when a certain number of transactions are processed.

#### Truncate

To cut off or delete the data that will not fit within a specified line width or display. This may also be attributed to data that does not fit within the specified length of a field definition.

#### **User Interface**

The actions or items that allow a user to interact with (and/or perform operations on) a computer.

#### ZIP64

ZIP64 is reference to the archive format that supports more than 65,534 files per archive, uncompressed files greater than 4 Gig and archives greater than 4 Gig.

#### **ZIP Archive**

A ZIP archive is used to refer to a single file that contains a number of files compressed into a much smaller physical space by the ZIP software.

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